

SECRET KEY CRYPTO



Anwitaman DATTA
SCSE, NTU Singapore

Acknowledgement: The following lecture slides are based on, and uses material from the text book **Cryptography and Network Security** (various eds) by **William Stallings**

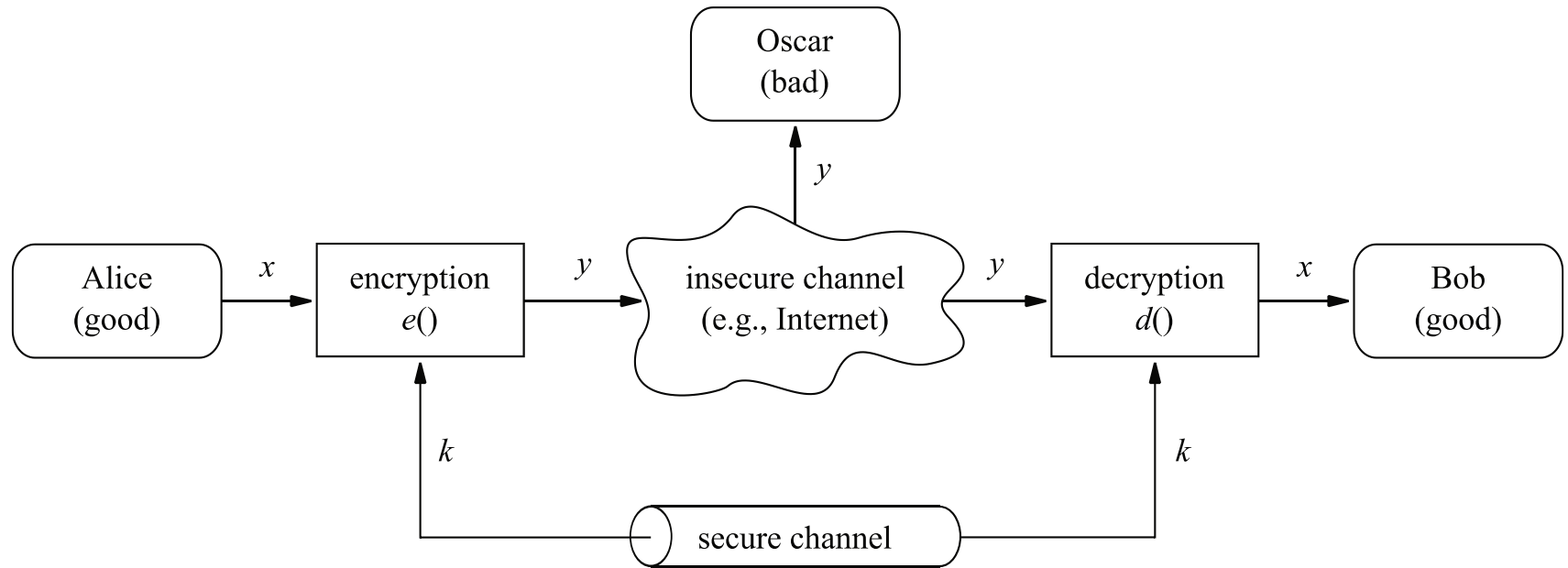
⌘ Stream and Block ciphers

⌘ DES and AES algorithms

⌘ Modes of operations

**SECRET
KEY
CRYPTO**

System model

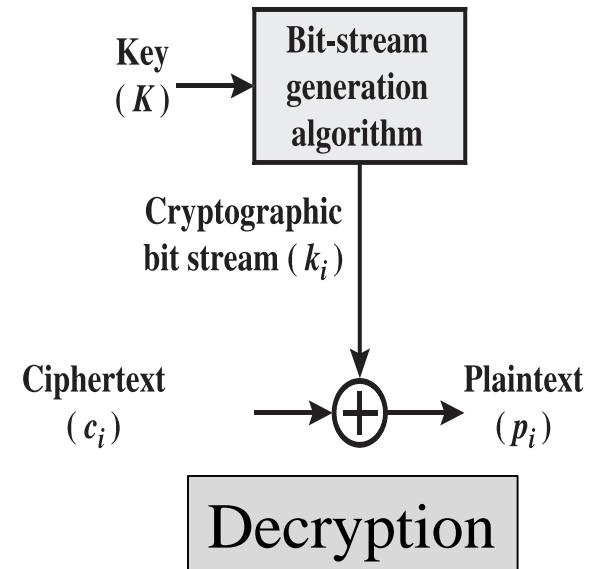
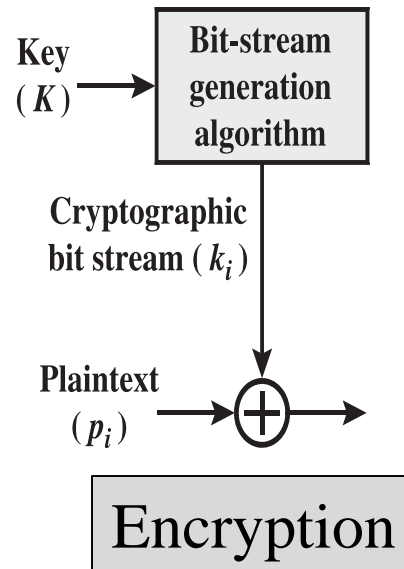


⌘ Secret key (a.k.a **symmetric key**) cryptography

Stream ciphers

Process one symbol (e.g. bit/byte)
at a time, e.g.:

- Vigenère and Vernam ciphers
- one time pad
- **ChaCha20** used in
TLS/SSL implementations



Block ciphers

A block of plaintext is processed together, to create a block of ciphertext (of same size).

e.g.: **DES**, **AES**, ...

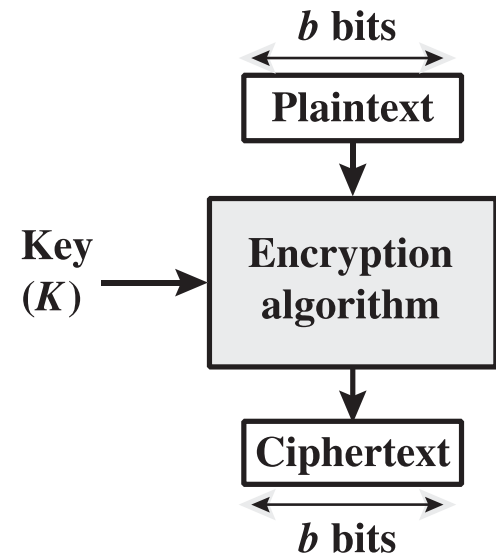
- can be used to create a stream cipher

Essentially *a mapping* (for a b -bits block)

- Input space: 2^b
- Output space: 2^b

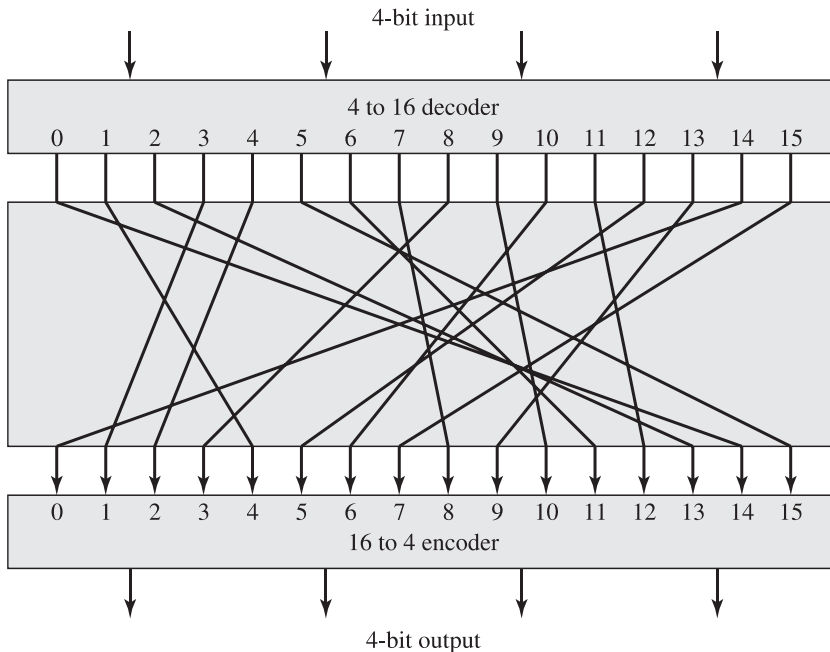
How many such mappings exist?

- In general?
- That are reversible? $(2^b)!$



Block ciphers

4-bits example



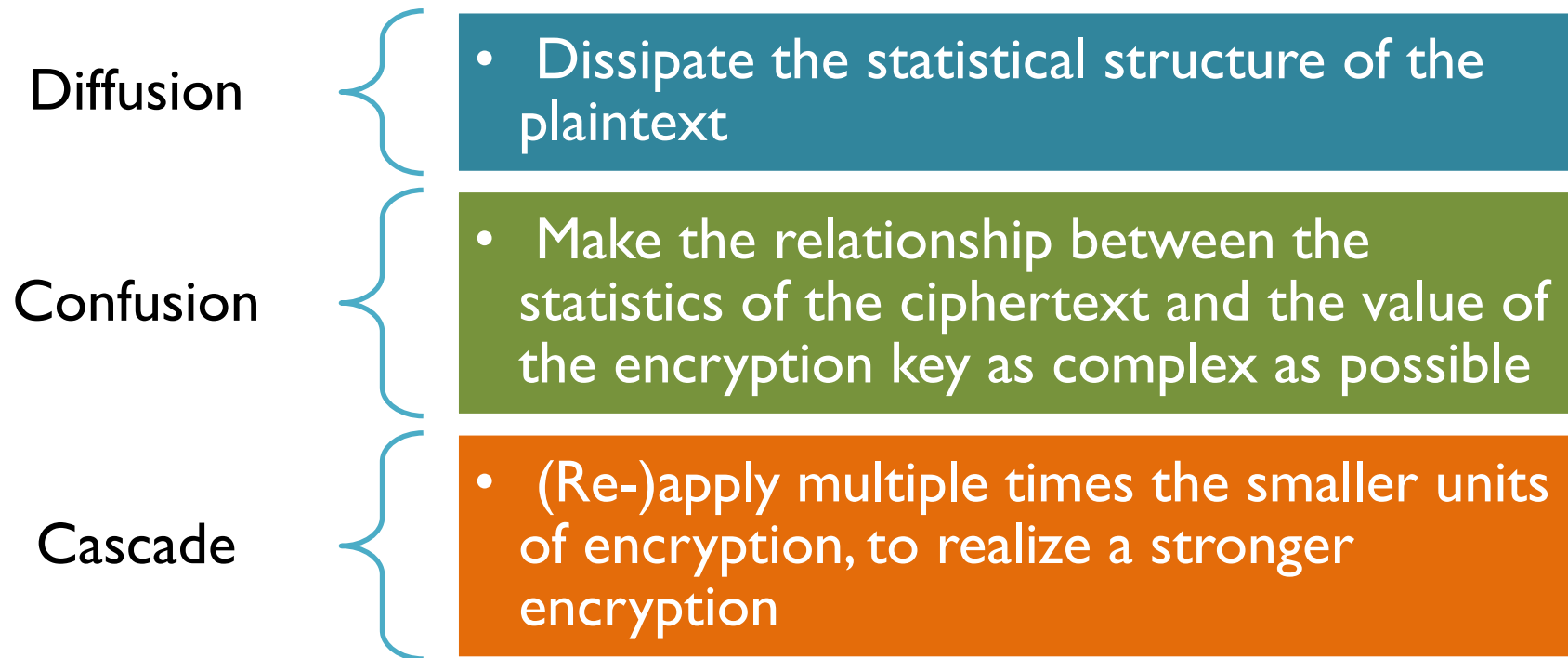
Plaintext	Ciphertext
0000	1110
0001	0100
0010	1101
0011	0001
0100	0010
0101	1111
0110	1011
0111	1000
1000	0011
1001	1010
1010	0110
1011	1100
1100	0101
1101	1001
1110	0000
1111	0111

4×2^4 bits required to represent mapping

- Ideal block cipher
- Practical?

In absence of an ideal cipher ...

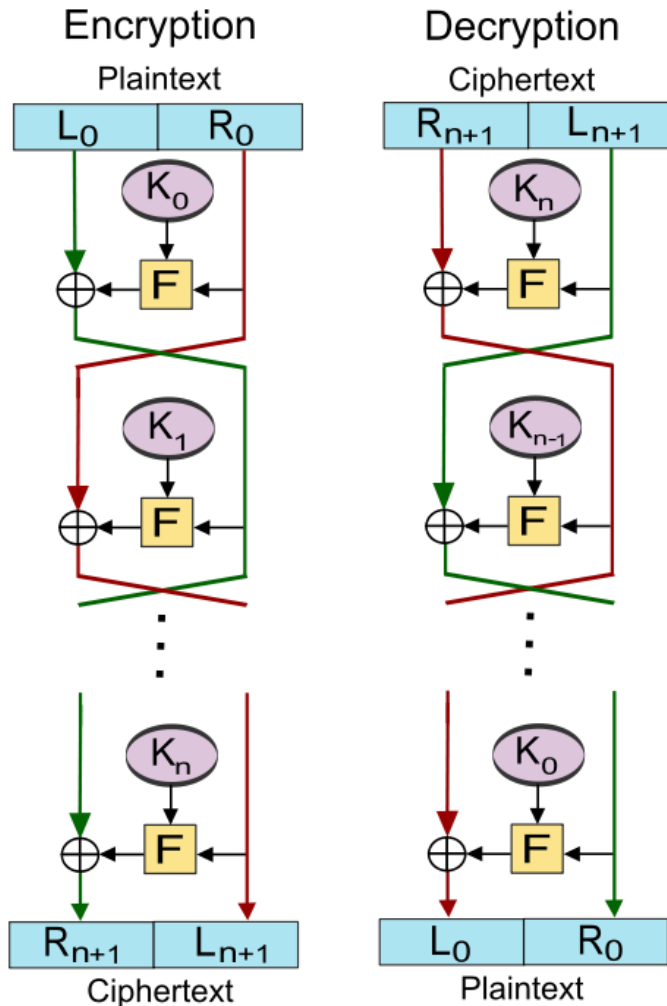
Use tractable building blocks



⌘ Often achieved with a **Substitution-Permutation network**
e.g., AES, somewhat open to interpretation: Feistel network (used in DES)

Check also: https://en.wikipedia.org/wiki/Confusion_and_diffusion

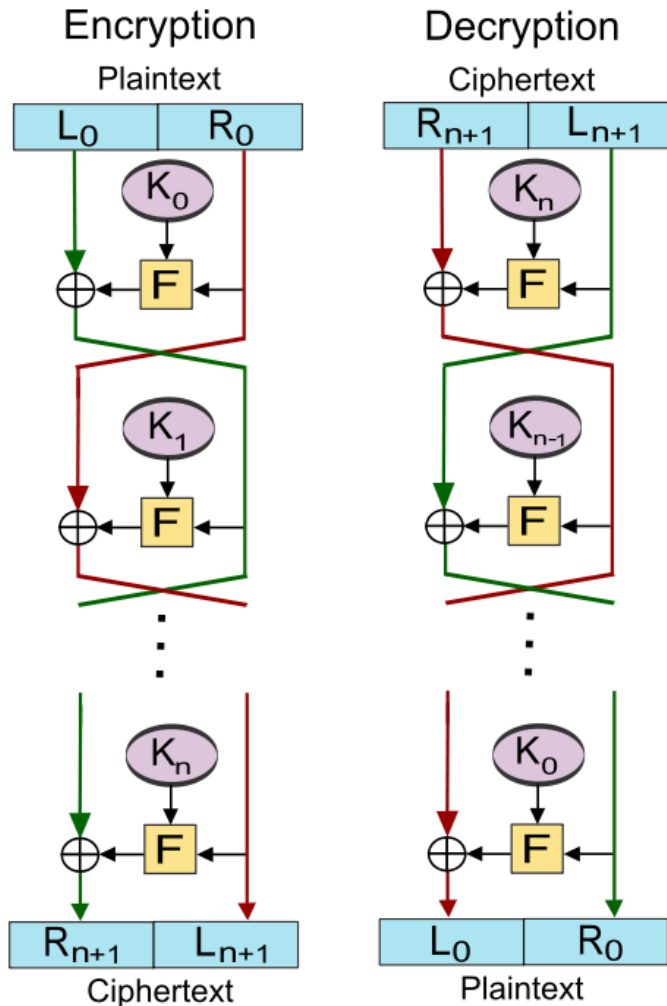
Feistel cipher



Horst Feistel
1915-1990

- Split input in two halves
- Alternatively repeat:
 - **Substitution** with round function $F(-, K_i)$ and XOR
 - **Permutation**: swap to halves

Feistel cipher

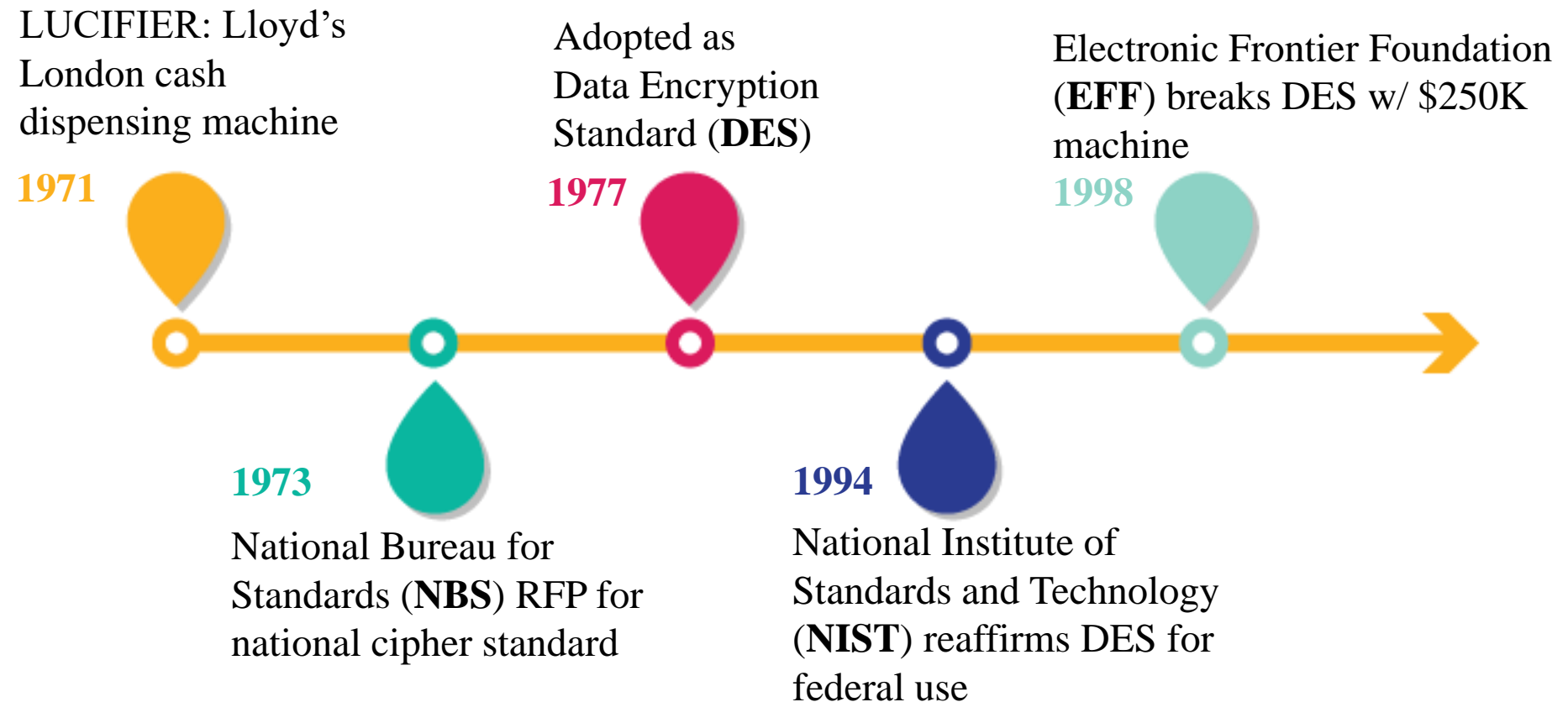


Considerations

- Block size
- Key size
- Number of rounds

DES: Data Encryption Standard

Based on Feistel's work at IBM since late 1960s



DES

Big picture

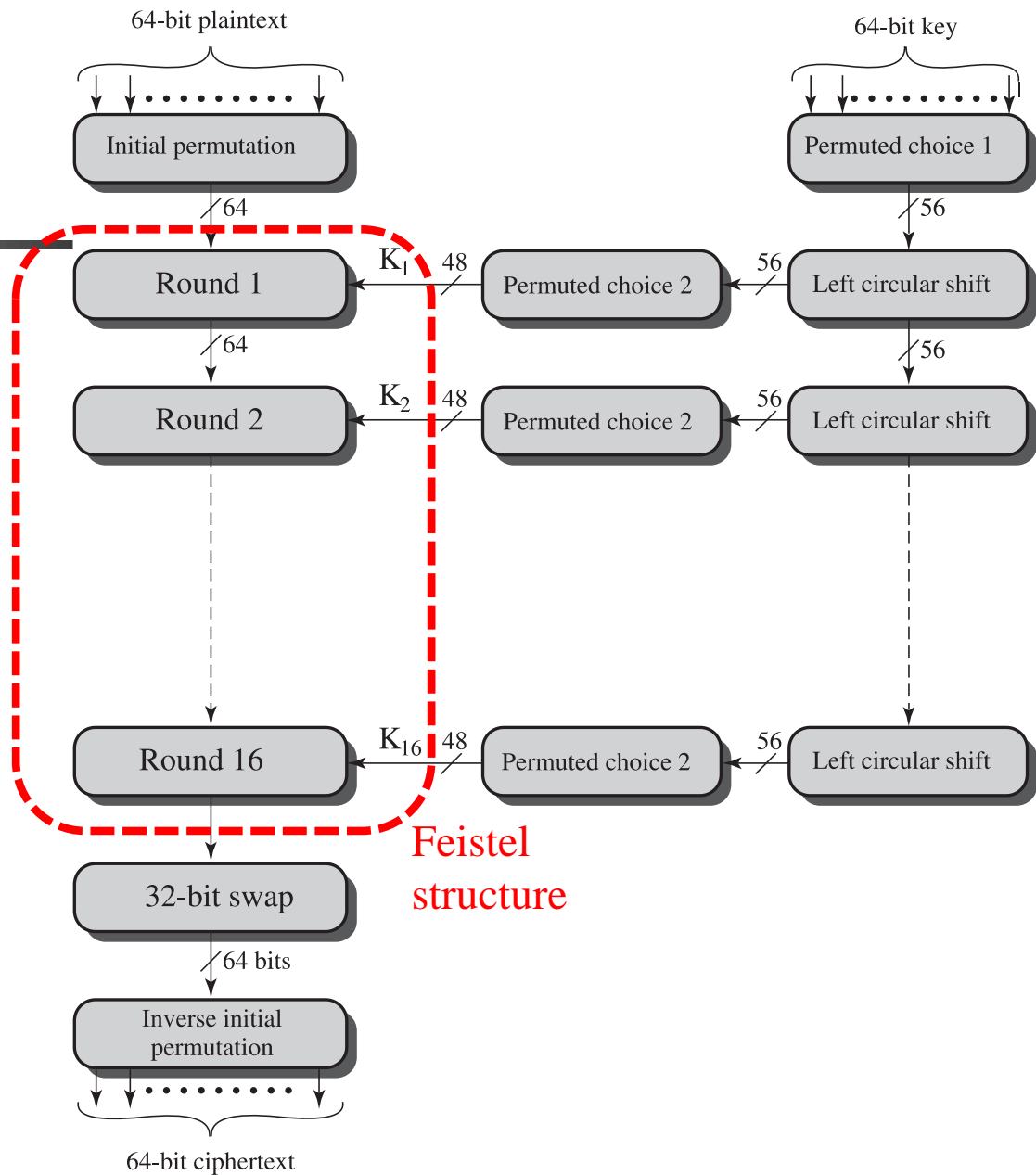
64 bit blocks

64 bit keys

- Only 56 bits used

3 phases

- Initial permutation (IP)
- Repeated rounds
- Feistel structure
- IP⁻¹



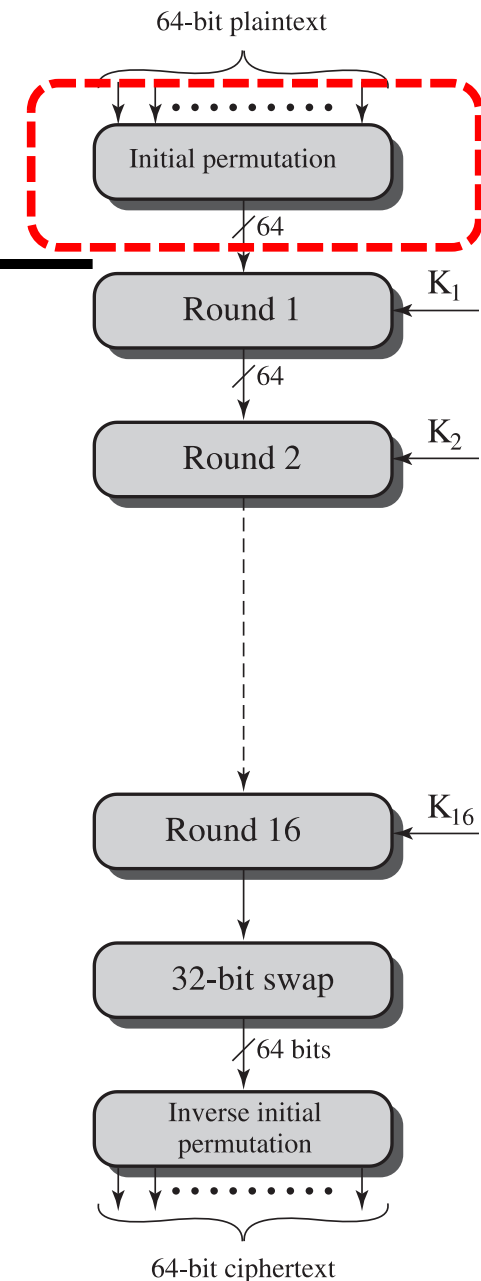
DES

Big picture

3 phases

- Initial permutation (IP)
- Repeated rounds
Feistel structure
- IP⁻¹

58	50	42	34	26	18	10	2
60	52	44	36	28	20	12	4
62	54	46	38	30	22	14	6
64	56	48	40	32	24	16	8
57	49	41	33	25	17	9	1
59	51	43	35	27	19	11	3
61	53	45	37	29	21	13	5
63	55	47	39	31	23	15	7



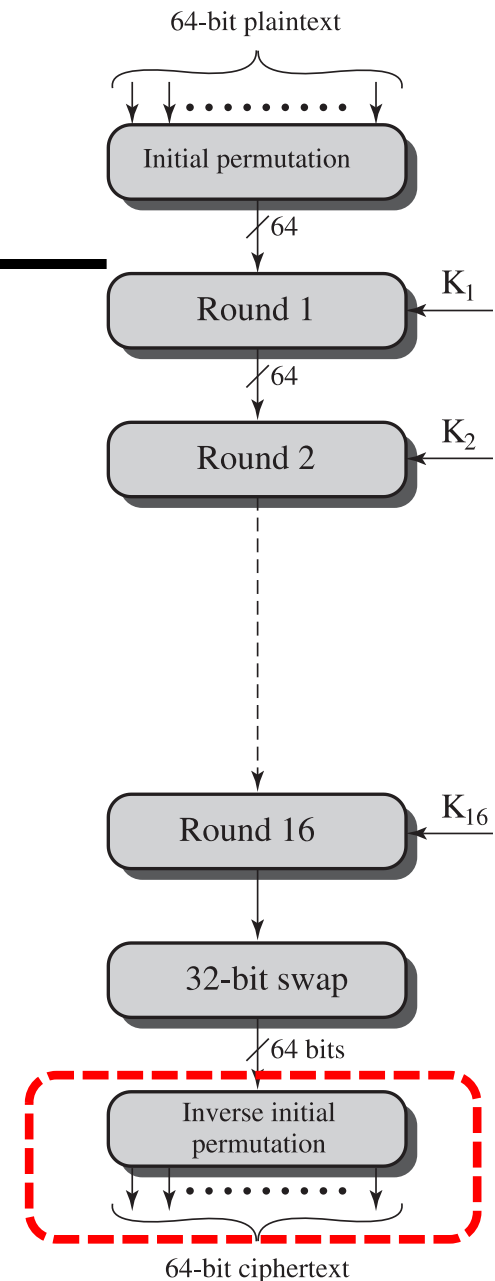
DES

Big picture

3 phases

- Initial permutation (IP)
- Repeated rounds
Feistel structure
- **IP⁻¹**

40	8	48	16	56	24	64	32
39	7	47	15	55	23	63	31
38	6	46	14	54	22	62	30
37	5	45	13	53	21	61	29
36	4	44	12	52	20	60	28
35	3	43	11	51	19	59	27
34	2	42	10	50	18	58	26
33	1	41	9	49	17	57	25

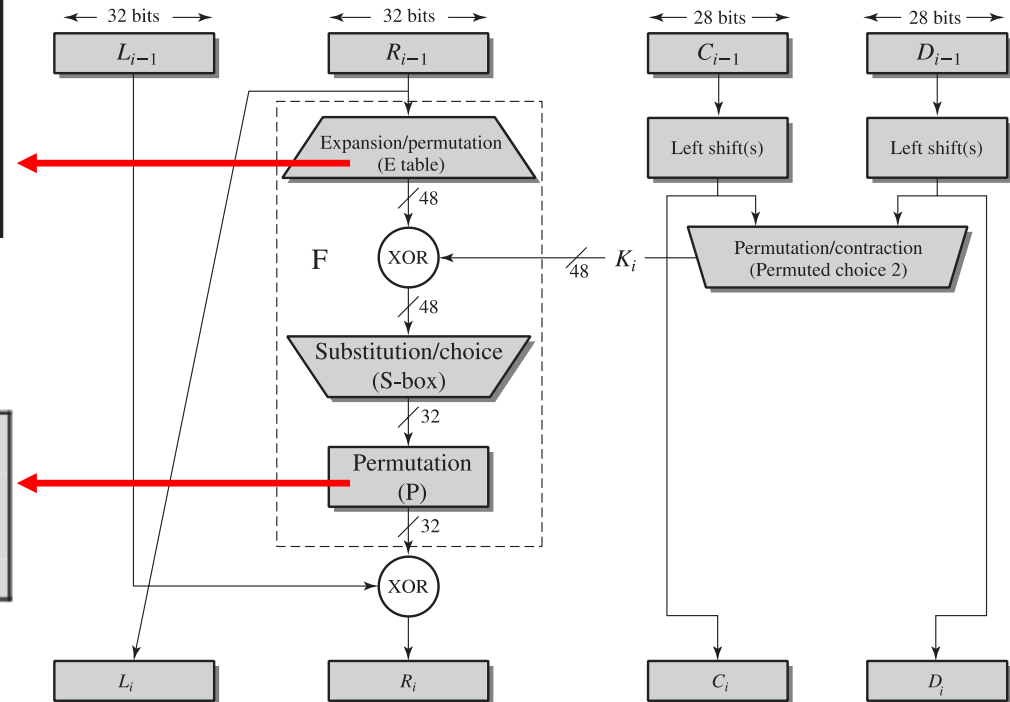


DES

Inside a round of DES

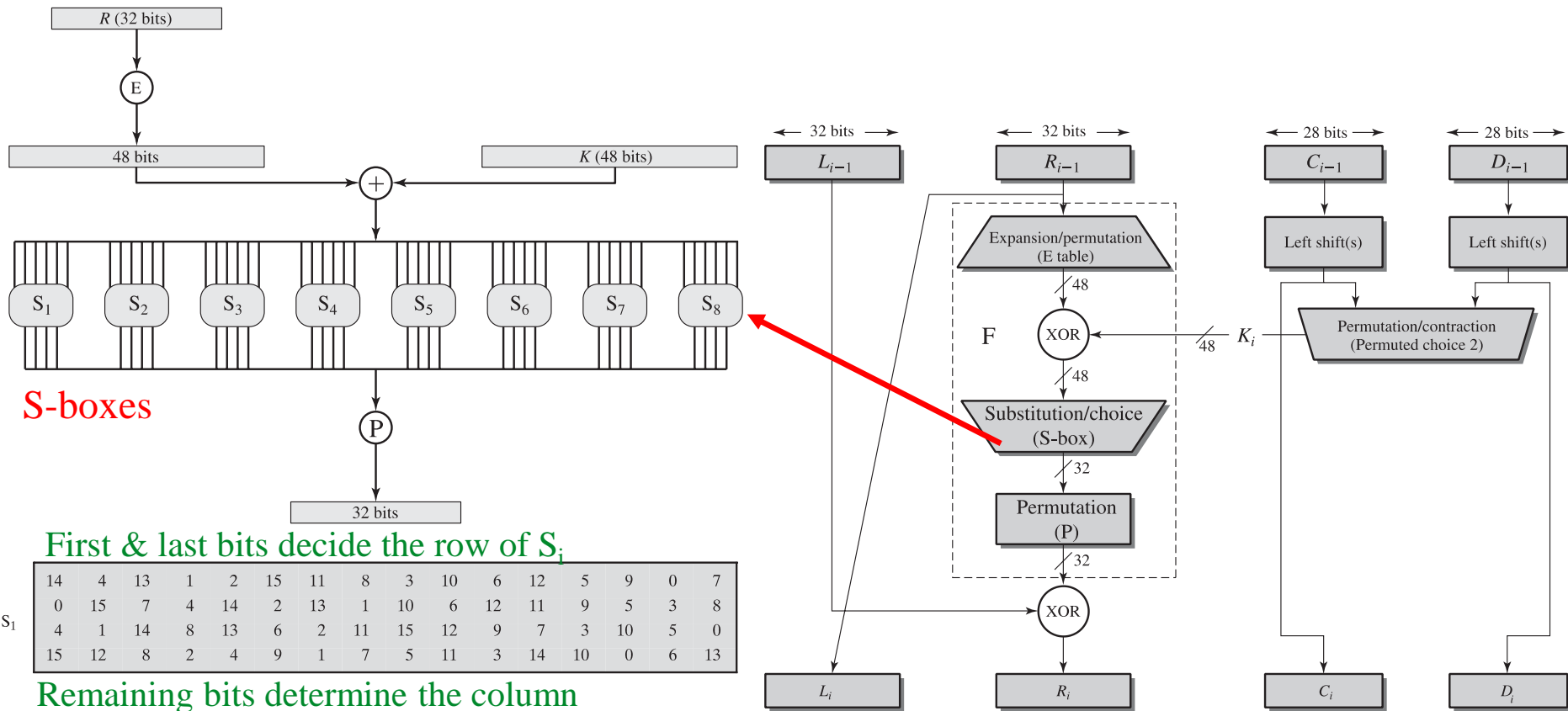
32	1	2	3	4	5
4	5	6	7	8	9
8	9	10	11	12	13
12	13	14	15	16	17
16	17	18	19	20	21
20	21	22	23	24	25
24	25	26	27	28	29
28	29	30	31	32	1

16	7	20	21	29	12	28	17
1	15	23	26	5	18	31	10
2	8	24	14	32	27	3	9
19	13	30	6	22	11	4	25



DES

Inside a round of DES



DES

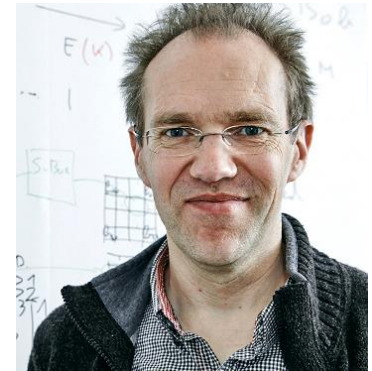
Self study: Sections 4.1 through 4.3 of the (7ed) Stallings textbook

AES: Advanced Encryption Standard

aka Rijndael



Vincent Rijmen
born in 1970



Joan Daemen
born in 1965

➔ [AES-128 web demo](#)

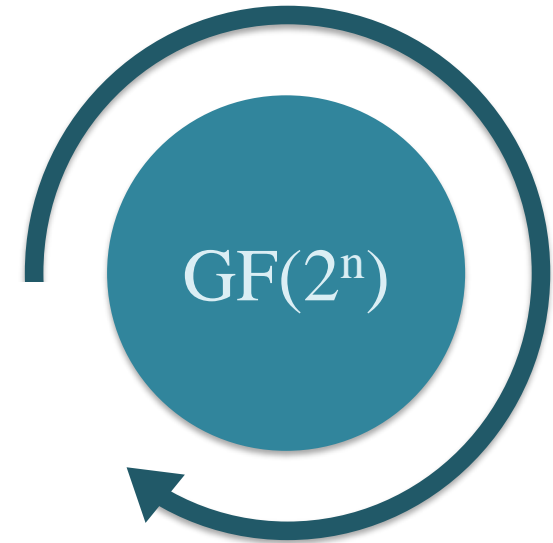
AES: Advanced Encryption Standard

aka Rijndael

All AES operations are on 8-bit byte strings

- Addition, multiplication and division in $\text{GF}(2^8)$
- Recall: need *polynomial arithmetic*
- All AES $\text{GF}(2^8)$ computations are based on the *irreducible polynomial*

$$x^8 + x^4 + x^3 + x + 1$$

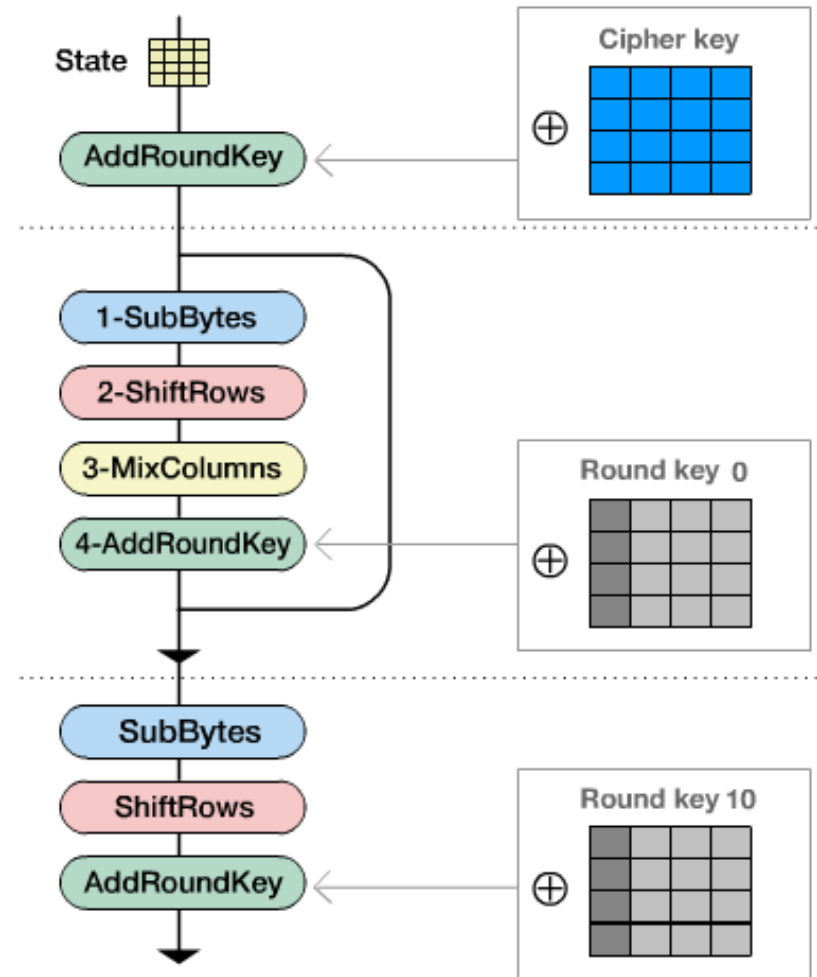


AES

Big picture

Substitution-Permutation network:

- 128 bits plaintext input
- 16/24/32 byte keywords
AES-128, AES-192, AES-256
- 10/12/14 rounds
- Four types of transforms
per round



AES

Big picture

Inputs:

- Plaintext 4×4 column major order matrix of bytes (termed as the **state**)
- Cipher key
 - which is *expanded into round keys*
 - serves as an input to **AddRoundKey** transformation in each round

in_0	in_4	in_8	in_{12}
in_1	in_5	in_9	in_{13}
in_2	in_6	in_{10}	in_{14}
in_3	in_7	in_{11}	in_{15}

AES

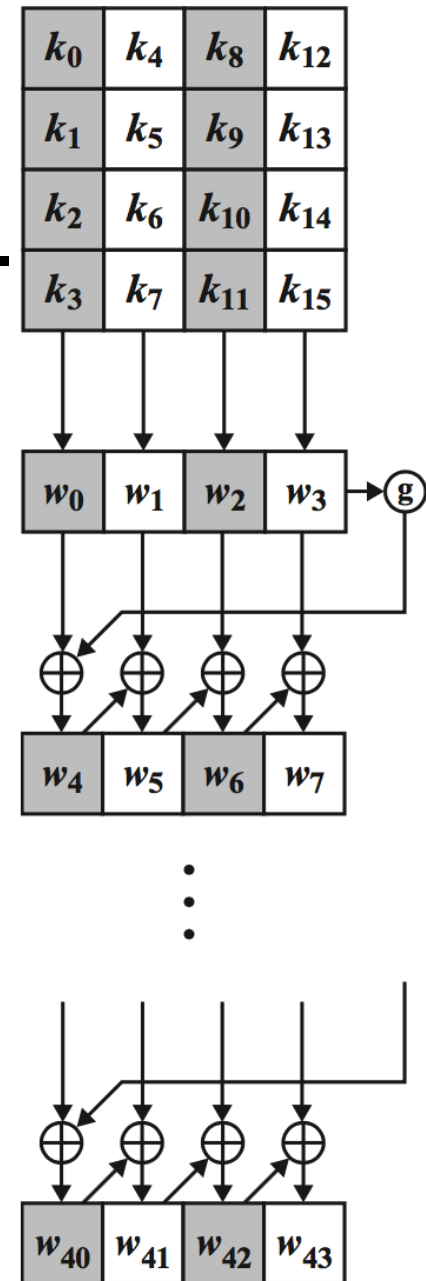
Key expansion: round keys generation

Expand into $N+1$ round keys

- Four word (16 byte) key mapped into a linear array of 44 words (176 bytes)
- Function $g()$ involves byte rotation, substitution and XOR with some round constant

Purpose

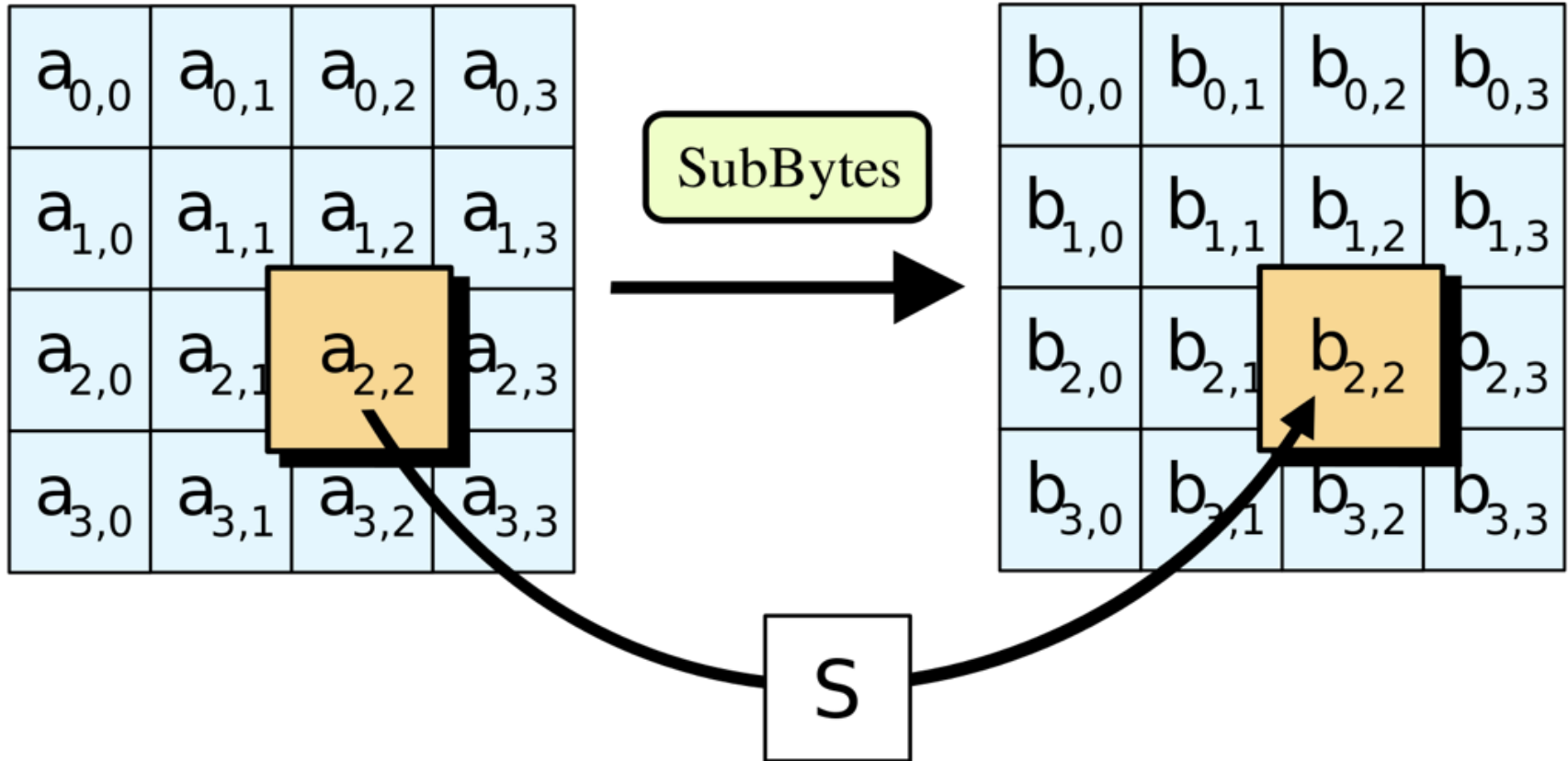
- Diffusion of cipher key differences
- Non-linearity and elimination of symmetries

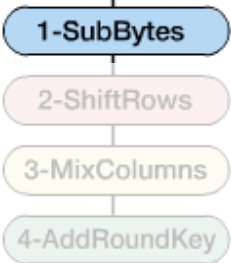


AES

Substitute Bytes Transform

- 1-SubBytes
- 2-ShiftRows
- 3-MixColumns
- 4-AddRoundKey





AES S-box: look-up table

→ AES S-box: calculation [web demo](#)

		y															
		0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
x	0	63	7C	77	7B	F2	6B	6F	C5	30	01	67	2B	FE	D7	AB	76
	1	CA	82	C9	7D	FA	59	47	F0	AD	D4	A2	AF	9C	A4	72	C0
	2	B7	FD	93	26	36	3F	F7	CC	34	A5	E5	F1	71	D8	31	15
	3	04	C7	23	C3	18	96	05	9A	07	12	80	E2	EB	27	B2	75
	4	09	83	2C	1A	1B	6E	5A	A0	52	3B	D6	B3	29	E3	2F	84
	5	53	D1	00	ED	20	FC	B1	5B	6A	CB	BE	39	4A	4C	58	CF
	6	D0	EF	AA	FB	43	4D	33	85	8E	54	9F	50	3C	9F	A8	
	7	51	A3	40	8F	92	9D	38	F5	8C	9E	21	10	FF	F3	D2	
	8	CD	0C	13	EC	5F	44	45	C4	A7	7E	3D	64	5D	19	73	
	9	60	81	4F	DC	22	2A	88	46	EE	B8						
	A	E0	32	3A	0A	49	06	24	5C	C2	D3	A					
	B	56	37	6D	8D	D5	4E	A9	6C	56	F4						
	C	85	1E	2E	1C	A6	B4	C6	E8	DD	74	1F	4B	DD	8B	8A	
	D	66	48	03	F6	0E	61	35	57	B9	86	C1	1D	9E			
	E	98	11	69	D9	8E	94	9B	1E	87	E9	CE	55	28	DF		
	F	8C	A1	89	0D	BF	E6	42	68	41	99	2D	0F	B0	54	BB	16

e.g., 95 → 2A

Contains permutation for all 256 possible 8-bit values

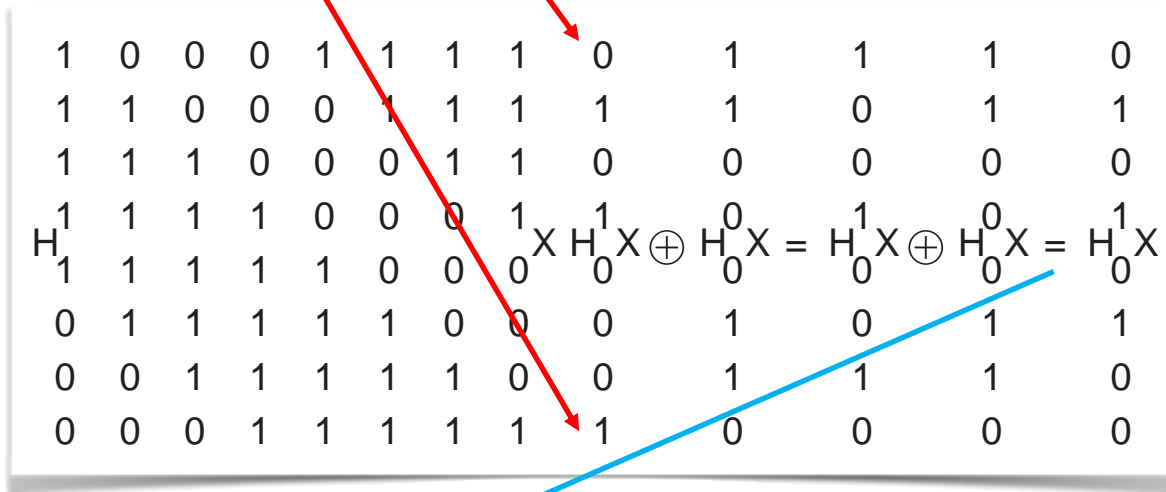
left 4-bits to determine row
right 4-bits for column

AES S-box: construction

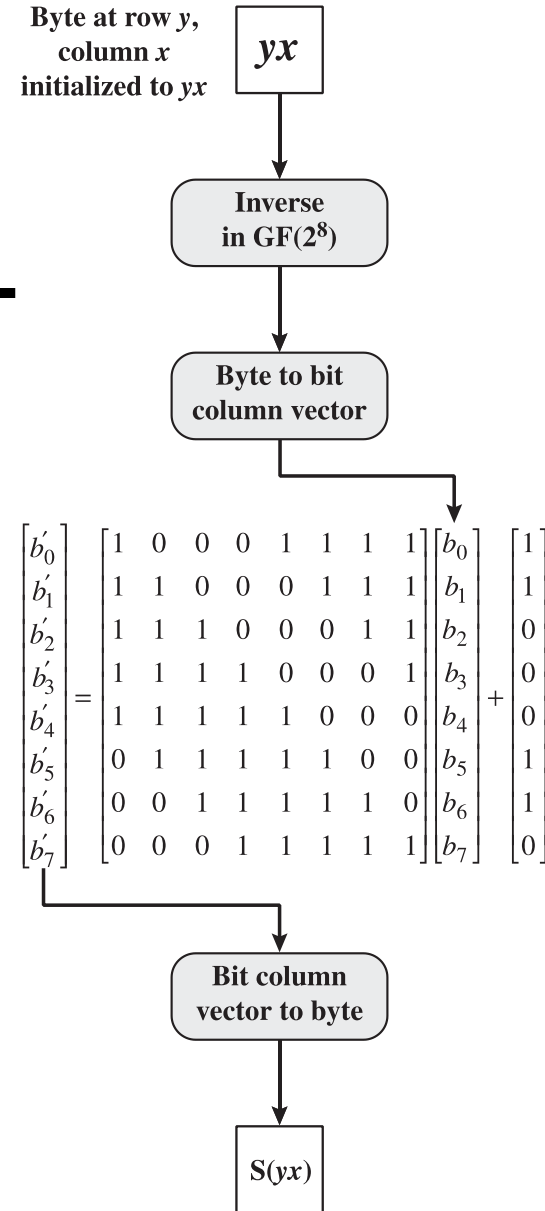
➔ AES S-box: calculation [web demo](#)

Example:

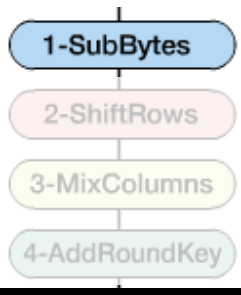
- $95_{\text{HEX}} \in \text{GF}(2^8)$
- $95^{-1} = 8A$ [refer to question pool 2: Q8]
- $8A_{\text{HEX}} = 10001010_2$



- $S(95) = 2A$



(a) Calculation of byte at row y , column x of S-box

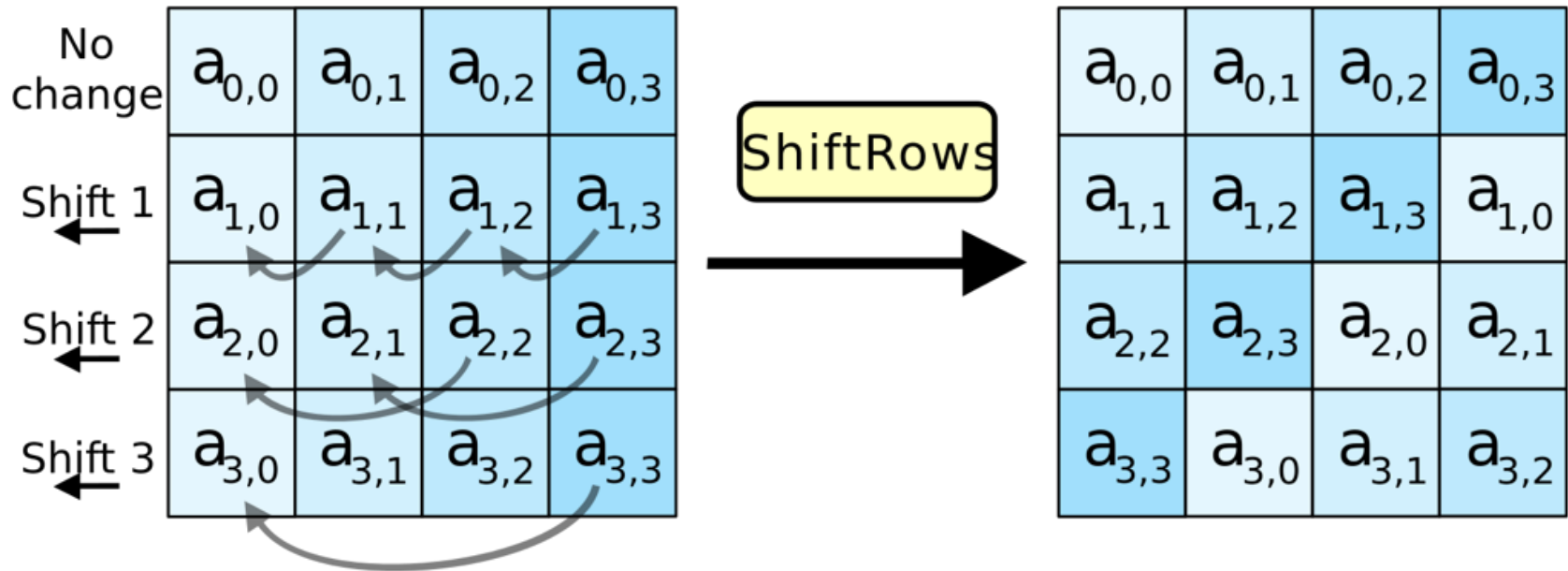
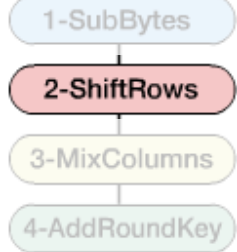


AES S-box: design rationale

- Low correlation between input/output bits
- Output a **non-linear** function of input
using multiplicative inverse provides non-linearity
- **Constant** chosen so that:
 - there are **no fixed points**: $S(a)=a$
 - there are **no opposite fixed points**: $S(a)=\bar{a}$
 - \bar{a} is the bit-wise complement of a
- S-box is **invertible**, but there are **no self-inverses**
 $S(a) \neq IS(a)$

AES

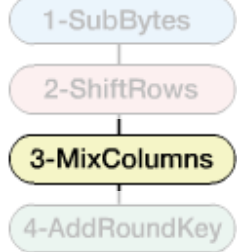
ShiftRows Transform



- i^{th} row gets $i-1$ left circular shift
4 bytes of a column are spread to four different columns

AES

MixColumns Transform



GF(2⁸) operations

$$\begin{bmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{bmatrix} \times \begin{bmatrix} \\ \\ \\ \end{bmatrix} = \begin{bmatrix} \\ \\ \\ \end{bmatrix}$$

$s_{0,0}$	$s_{0,1}$	$s_{0,2}$	$s_{0,3}$
$s_{1,0}$	$s_{1,1}$	$s_{1,2}$	$s_{1,3}$
$s_{2,0}$	$s_{2,1}$	$s_{2,2}$	$s_{2,3}$
$s_{3,0}$	$s_{3,1}$	$s_{3,2}$	$s_{3,3}$

$s'_{0,0}$	$s'_{0,1}$	$s'_{0,2}$	$s'_{0,3}$
$s'_{1,0}$	$s'_{1,1}$	$s'_{1,2}$	$s'_{1,3}$
$s'_{2,0}$	$s'_{2,1}$	$s'_{2,2}$	$s'_{2,3}$
$s'_{3,0}$	$s'_{3,1}$	$s'_{3,2}$	$s'_{3,3}$

$$\begin{aligned} s'_{0,j} &= (2 \cdot s_{0,j}) \oplus (3 \cdot s_{1,j}) \oplus s_{2,j} \oplus s_{3,j} \\ s'_{1,j} &= s_{0,j} \oplus (2 \cdot s_{1,j}) \oplus (3 \cdot s_{2,j}) \oplus s_{3,j} \\ s'_{2,j} &= s_{0,j} \oplus s_{1,j} \oplus (2 \cdot s_{2,j}) \oplus (3 \cdot s_{3,j}) \\ s'_{3,j} &= (3 \cdot s_{0,j}) \oplus s_{1,j} \oplus s_{2,j} \oplus (2 \cdot s_{3,j}) \end{aligned}$$

AES

MixColumns Transform

- 1-SubBytes
- 2-ShiftRows
- 3-MixColumns
- 4-AddRoundKey

implementation of multiplication of 2 or 3 is easy to realize with XOR operations

GF(2⁸) operations

$$\begin{bmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{bmatrix} \times \begin{bmatrix} \\ \\ \\ \end{bmatrix} = \begin{bmatrix} \\ \\ \\ \end{bmatrix}$$

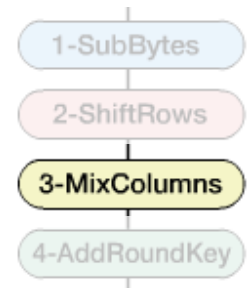
mix column transformation combined with the shift row transformation ensures that after a few rounds all output bits depend on all input bits

$s_{0,0}$	$s_{0,1}$	$s_{0,2}$	$s_{0,3}$
$s_{1,0}$	$s_{1,1}$	$s_{1,2}$	$s_{1,3}$
$s_{2,0}$	$s_{2,1}$	$s_{2,2}$	$s_{2,3}$
$s_{3,0}$	$s_{3,1}$	$s_{3,2}$	$s_{3,3}$

$s'_{0,0}$	$s'_{0,1}$	$s'_{0,2}$	$s'_{0,3}$
$s'_{1,0}$	$s'_{1,1}$	$s'_{1,2}$	$s'_{1,3}$
$s'_{2,0}$	$s'_{2,1}$	$s'_{2,2}$	$s'_{2,3}$
$s'_{3,0}$	$s'_{3,1}$	$s'_{3,2}$	$s'_{3,3}$

AES

MixColumns Transform: Example



$$\begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} 87 & F2 & 4D & 97 \\ 6E & 4C & 90 & EC \\ 46 & E7 & 4A & C3 \\ A6 & 8C & D8 & 95 \end{bmatrix} = \begin{bmatrix} 47 & 40 & A3 & 4C \\ 37 & D4 & 70 & 9F \\ 94 & E4 & 3A & 42 \\ ED & A5 & A6 & BC \end{bmatrix}$$

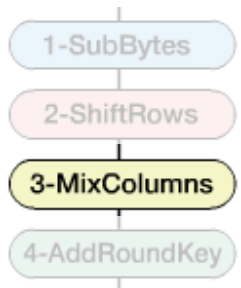
Note (see Section 6.3, Stallings text book, 7ed):

- **Multiplication by 2** (is essentially multiplication by x in polynomial representation) can be realized using a **1-bit left shift**
- Followed by a **conditional XOR** with **00011011** if leftmost bit of original value prior to shift is 1.

Why? Hint: Something to do with the irreducible polynomial ...

AES

MixColumns Transform: Example



02	03	01	01
01	02	03	01
01	01	02	03
03	01	01	02

87	F2	4D	97
6E	4C	90	EC
46	E7	4A	C3
A6	8C	D8	95

=

47	40	A3	4C
37	D4	70	9F
94	E4	3A	42
ED	A5	A6	BC

$$(\{02\} \cdot \{87\}) \oplus (\{03\} \cdot \{6E\}) \oplus \{46\} \oplus \{A6\} = \{47\}$$

$$\{02\} \cdot \{87\} = (0000\ 1110) \oplus (0001\ 1011)$$

Note: {87}=1000 0111

$$\{03\} \cdot \{6E\} = \{6E\} \oplus (\{02\} \cdot \{6E\}) = (0110\ 1110) \oplus (1101\ 1100)$$

Note: {6E}=0110 1110

$$\{02\} \cdot \{87\} = 0001\ 0101$$

$$\{03\} \cdot \{6E\} = 1011\ 0010$$

$$\{46\} = 0100\ 0110$$

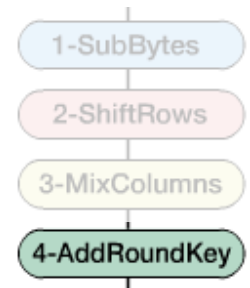
$$\{A6\} = 1010\ 0110$$

$$\begin{array}{r} 1010\ 0110 \\ \oplus 0100\ 0111 \\ \hline 0100\ 0111 \end{array} = \{47\}$$



AES

AddRoundKey Transform: Example



47	40	A3	4C
37	D4	70	9F
94	E4	3A	42
ED	A5	A6	BC

 \oplus

AC	19	28	57
77	FA	D1	5C
66	DC	29	00
F3	21	41	6A

 =

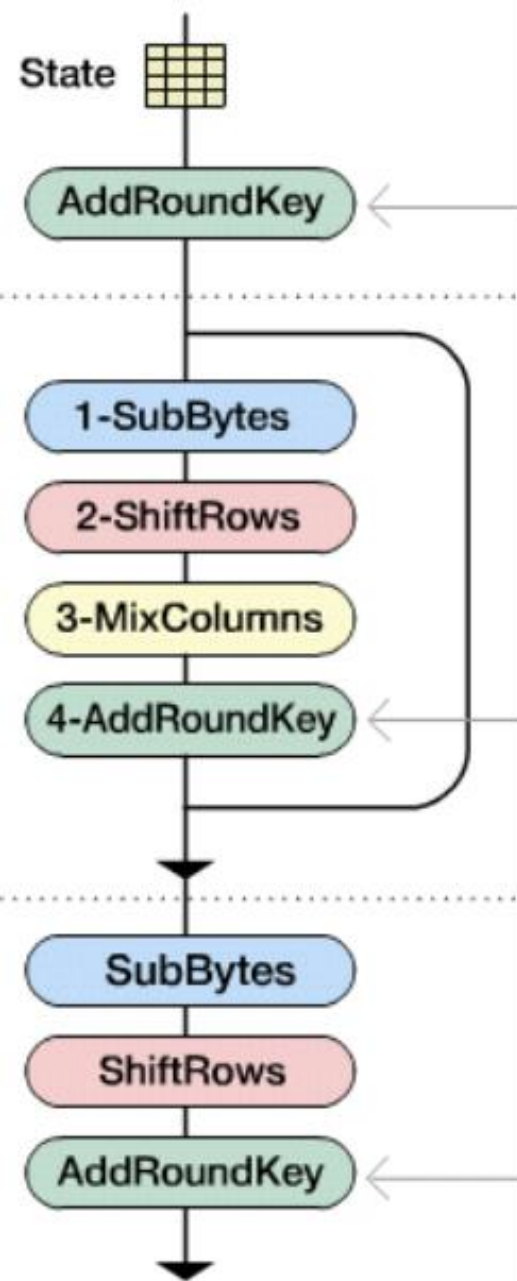
EB	59	8B	1B
40	2E	A1	C3
F2	38	13	42
1E	84	E7	D6

The 128 bits of the state are bitwise XORed with the 128 bits of the round key

AES: Wrap-up

- The cipher begins and ends with an AddRoundKey stage. **Why?**
It's in effect a Vernam (one-time pad) cipher
- The other three stages together provide confusion, diffusion and non-linearity, but by themselves, they provide no security.
Why? No secrets involved in the other steps

➔ [AES-128 web demo](#)

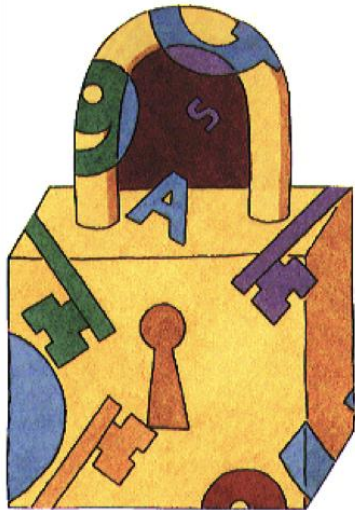


AES

Self study: Sections 6.1 through 6.5 of the (7ed) Stallings textbook

Beyond a block cipher

- Making do with a broken/obsolete cipher
- Encrypting data larger than the block size
- Realizing stream cipher using a block cipher



Someone broke DES, now what?



1998

Electronic Frontier Foundation
(EFF) breaks DES w/ \$250K
machine

Someone broke DES, now what?



Use DES multiple times in a cascade!
How many times?

Double DES

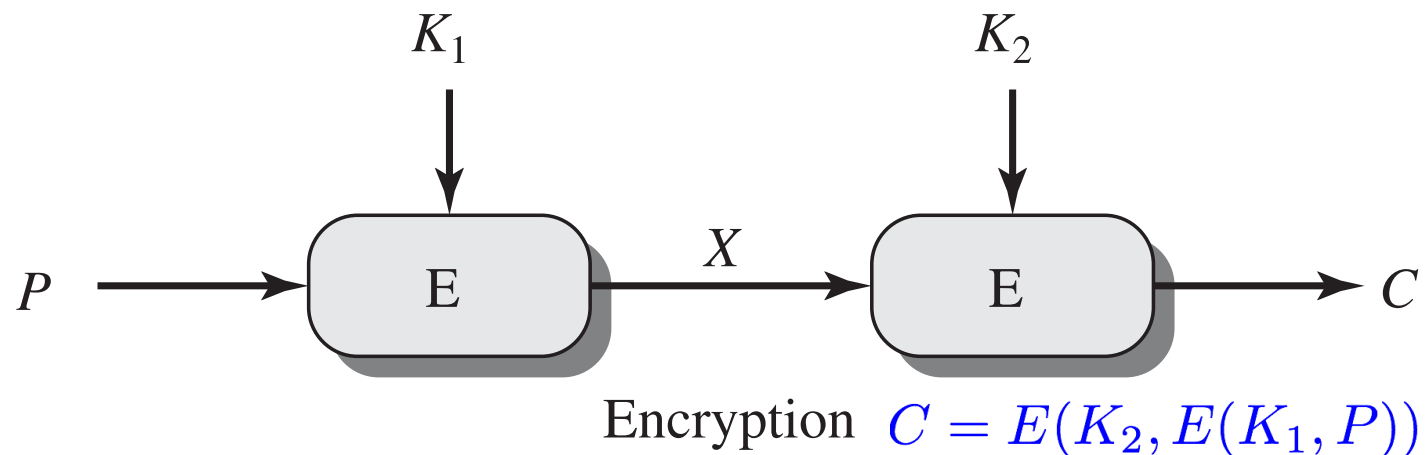


Use DES multiple times in a cascade!

How many times?

Use **DES twice**, with two keys

- It “may” help us achieve an effective key size of $2 \times 56 = 112$ bits?



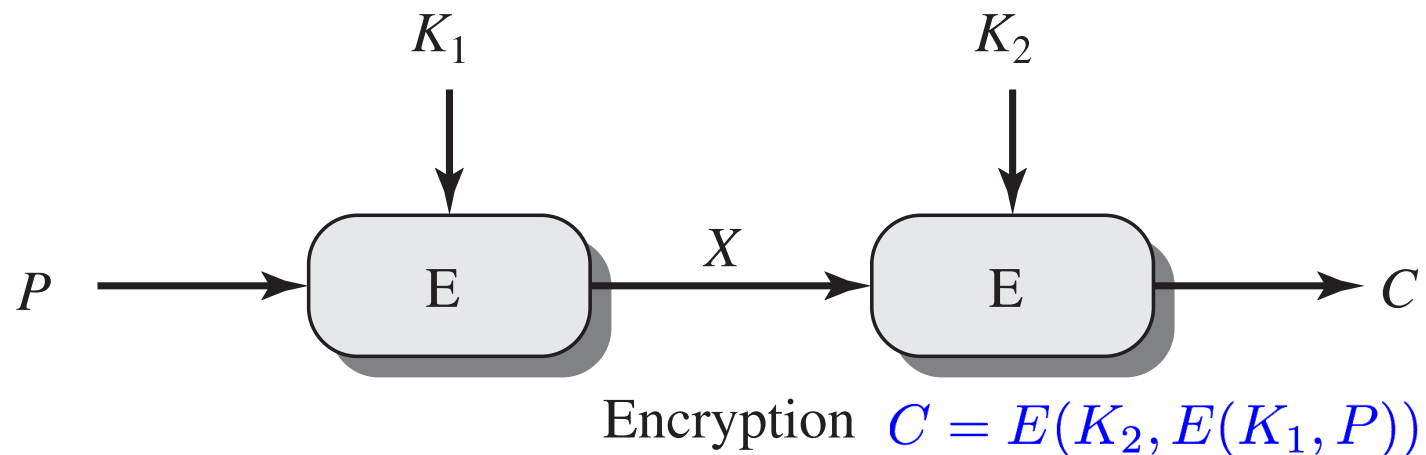
Double DES: Two potential issues

Use **DES twice**, with two keys

- It “may” help us achieve an effective key size of $2 \times 56 = 112$ bits?

Potential issue #1:

- What if: $E(K_2, E(K_1, P)) \equiv E(K_3, P)$
Turns out not to be of concern!



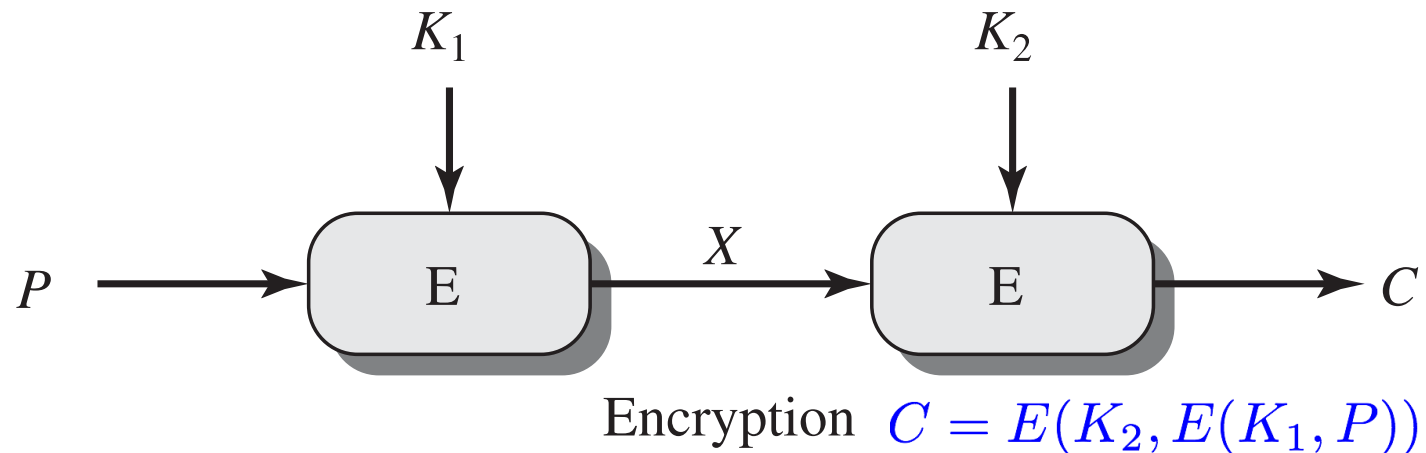
Double DES: Two potential issues

Use **DES twice**, with two keys

- It “may” help us achieve an effective key size of $2 \times 56 = 112$ bits?

Potential issue #2:

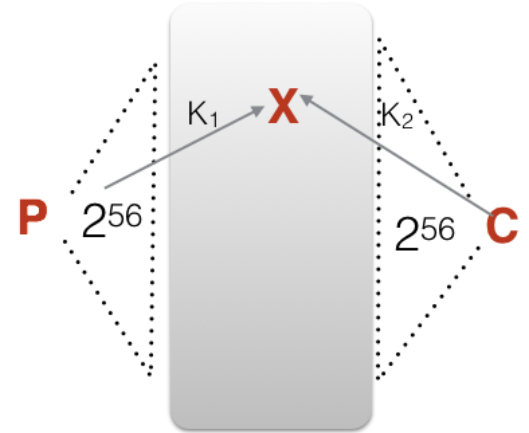
- Exploit: $C = E(K_2, E(K_1, P)) \implies \exists X = E(K_1, P) = D(K_2, C)$
Meet-in-the-middle attack using known plain/cipher-text pairs



Meet-in-the-middle (MITM) attack

A generic attack, but why double DES is not used ...

- A **known plain-text/cipher-text pair** attack
- Encrypt the plain-text with all possible 2^{56} keys
- Likewise, “decrypt” the cipher-text with all possible 2^{56} keys
- Look for matching “X”es
- One such match: 2^{48} false alarms
- Two such matches: Chance of false alarm 2^{-16}



With **two pairs of known plain-text/cipher-text**, double DES key can be guessed with very high confidence, for roughly **same computational complexity as breaking DES** itself!



3DES (Triple DES)



IDEA

Use DES multiple times in a cascade!

How many times?

Use **DES thrice**: third time lucky!

If three stages of DES are used, with three keys:

- Uses a rather long $3 \times 56 = 168$ bits key
- MITM attack cost will be 2^{112}

3DES with two keys:

- Equivalent security (as with 3 keys) against standard MITM

$$C = E(K_1, D(K_2, E(K_1, P)))$$

$$P = D(K_1, E(K_2, D(K_1, P)))$$

Not fitting in a block, now what?



1 byte of data comes intermittently, block cipher has 128 bits input/128 bits output

.

.

.

1KB data, block cipher has 128 bits input

.

.

.

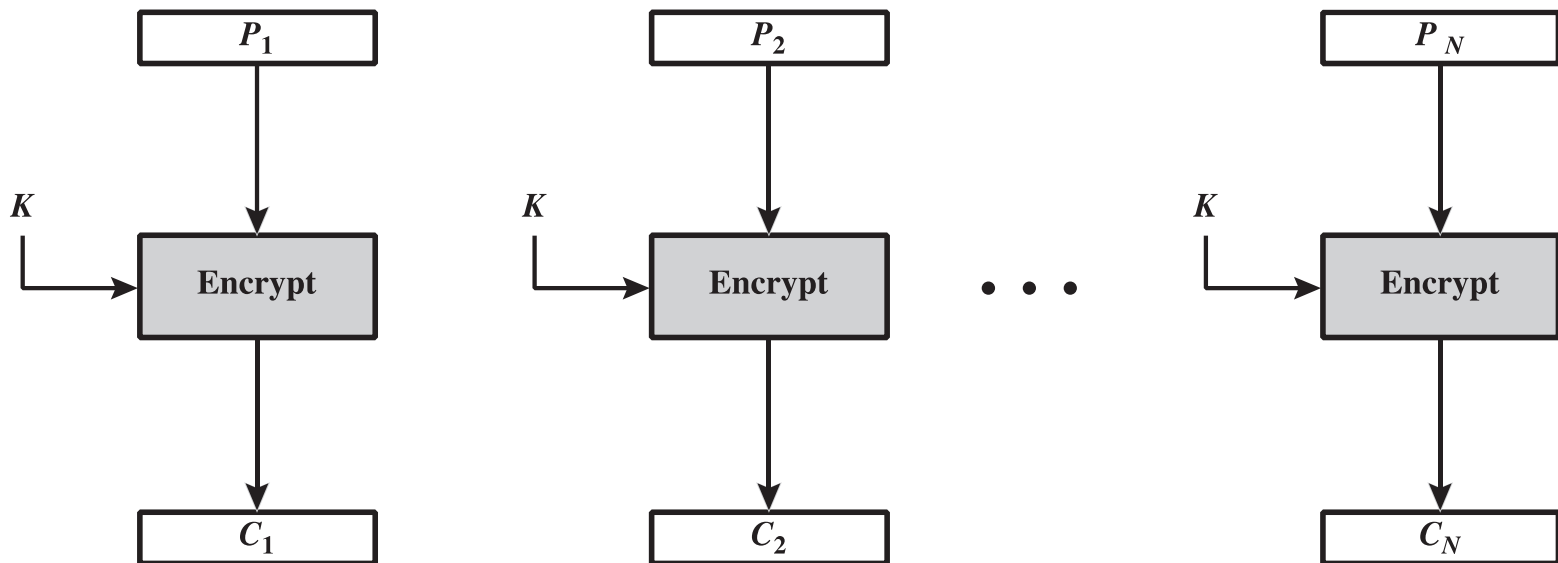
1TB data, block cipher has 128 bits input

Not fitting in a block, now what?

Scenario: Plaintext is larger than block size

- Simplest solution: Just chunk the plaintext and encrypt separately
- This is known as **Electronic Code Book (ECB)**

$$C_j = E(K, P_j)$$



Not fitting in a block, now what?

Scenario: Plaintext is larger than block size

- Simplest solution: Just chunk the plaintext and encrypt separately
- This is known as **Electronic Code Book (ECB)**

$$C_j = E(K, P_j)$$

- ECB is good for short messages, but not for large ones (particularly if plain-text is likely to repeat, since then, so will the cipher-text!)



Original Image



Encrypted using
ECB mode

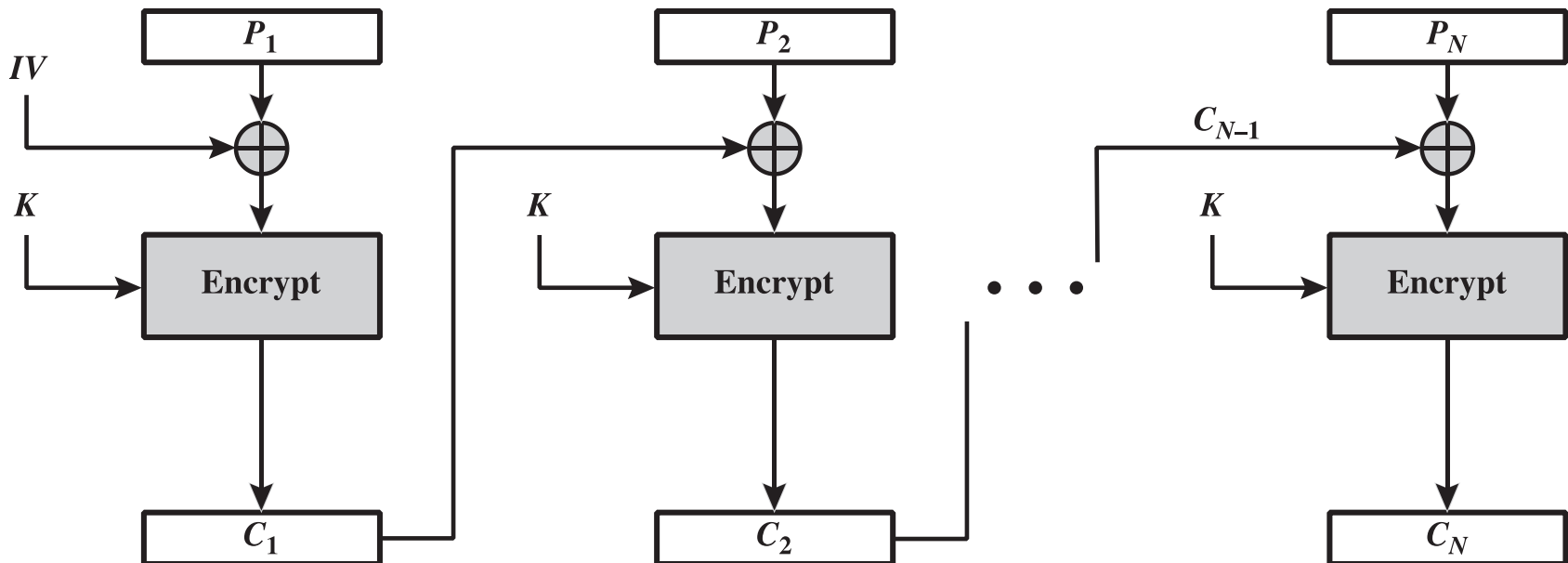


Encrypted using
other mode

Not fitting in a block, now what?

Cipher block chaining (CBC) $C_j = E(K, C_{j-1} \oplus P_j)$

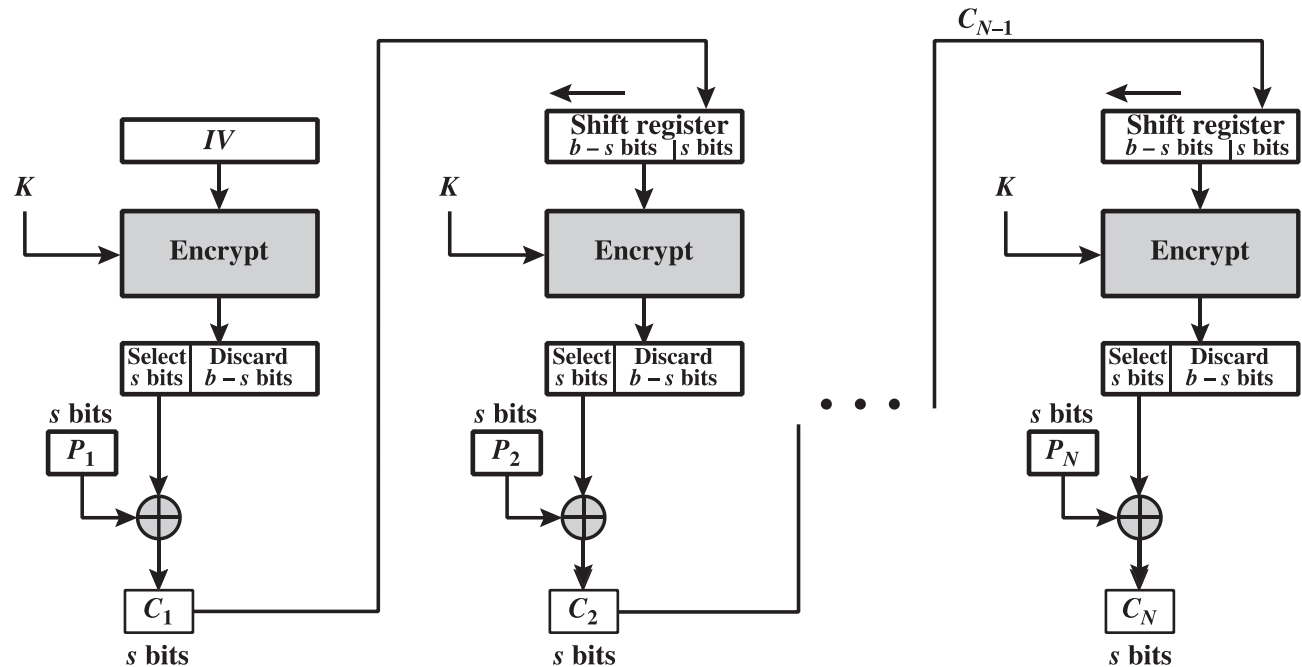
- Design concern: choosing a good **initial vector** (IV)
- Limitation: **No “random access”** since decryption is possible only in stages



Stream cipher with a block cipher?

Cipher Feedback Mode (CFB)

- The plain-text is not itself being input to the cipher, but the bit-string being XORed with the plaintext depends on the prior plain-text



- Note: there are other ways to realize a stream cipher using a block cipher

Block cipher operations

Self study: Sections 7.1 through 7.7 of the (7ed) Stallings textbook

- Note: there are several modes of operations not discussed in lectures. (self study)