

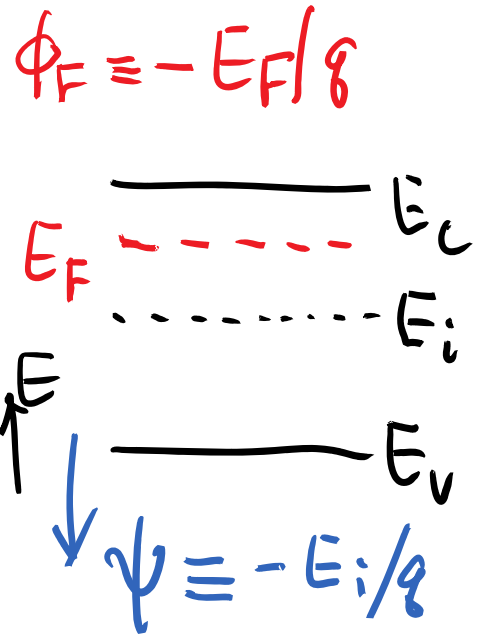
# EE3013 - Summary

## 1. Basics.

$$n = n_i e^{(E_F - E_i)/kT} = n_i e^{(\psi - \phi_F)/V_H}$$

$$p = n_i e^{(E_i - E_F)/kT} = n_i e^{(\phi_F - \psi)/V_H}$$

$$n_0 p_0 = n_i^2 \quad (\text{equilibrium})$$



$$p_0 + N_D - n_0 - N_A = 0 \quad (\text{charge neutrality})$$

$$\Rightarrow n_0 \approx N_D, \quad p_0 \approx N_A \quad (\text{"neutral"})$$

bands - flat

Non equilibrium — external bias — Quasi-Fermi Level

$$n = n_i e^{(E_{Fn} - E_i)/kT} = n_i e^{(\psi - \phi_{Fn})/v_{th}} \quad (\text{"Imref"})$$

$$\Rightarrow \phi_{Fn} = -E_{Fn}/q = \psi - v_{th} \ln(n/n_i)$$

$$\Rightarrow \frac{d\phi_{Fn}}{dx} = \frac{d\psi}{dx} - v_{th} \frac{1}{n} \frac{dn}{dx}$$

$$\Rightarrow \bar{J}_n = qn\mu_n \left( -\frac{d\phi_{Fn}}{dx} \right) = qn\mu_n \left( -\frac{d\psi}{dx} \right) + qn v_{th} \mu_n \frac{dn}{dx}$$

"drift" + "diff"

$$-qnv$$

$$(v = -\mu E)$$

# Basic Concept of Fermi Level: *Electrochemical Potential*

$$E_F = \Phi - q\Psi$$

The **Fermi level** ( $E_F$ ) plays an important role in formulating **equilibrium** conditions when two different systems of different allowable energies are brought into contact. The combined system will be in thermal equilibrium only when  $E_F$  is the same in both parts. If  $E_F$  (relative to a common datum) in each system is not initially equal before contact, then on contact there will be a flow of electrons from the system with the higher initial  $E_F$  to the system with the lower initial  $E_F$ . This electron flow will continue until equality of the Fermi energies of the two systems is achieved.

$$E_{F1} = E_{F2} \text{ or } \Phi_1 - q\psi_1 = \Phi_2 - q\psi_2$$

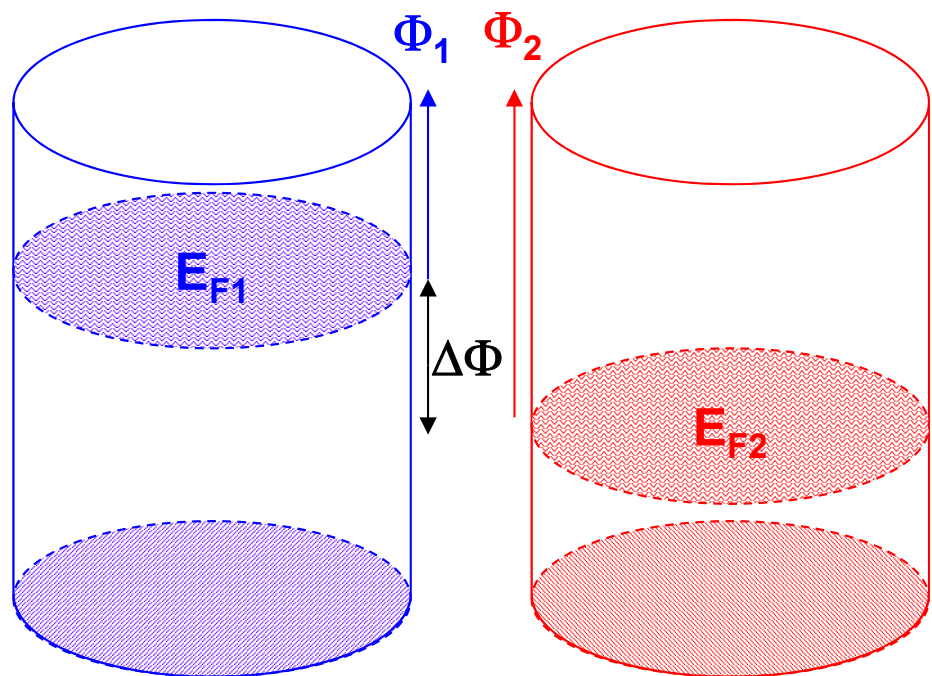
Let the work done in removing an electron from one material in isolation be  $\Phi_1$  and from the other,  $\Phi_2$ , which are the *chemical potentials* (work functions in eV) of electrons in the two materials. Then, one might think equilibrium on contact would occur when  $\Phi_1 = \Phi_2$ . However, for charged particles like electrons, transfer is accompanied by *charging* of the materials. As a result, the two materials acquire potentials  $\psi_1$  and  $\psi_2$ . The work done on transfer of an electron of charge ( $-q$ ) now will be zero provided that  $\Phi_1 - q\psi_1 = \Phi_2 - q\psi_2$ . In fact, this condition for equilibrium is identical to the condition of equality of **Fermi levels** (another name – **electrochemical potentials**):  $E_F = \Phi - q\psi$

*chemical potential*      *electrostatic potential*

# Visualizing “Fermi Level” (Electrochemical Potential)

$\Phi$ : “Work function” (chemical potential)  
 — amount of work to bring “water” out.

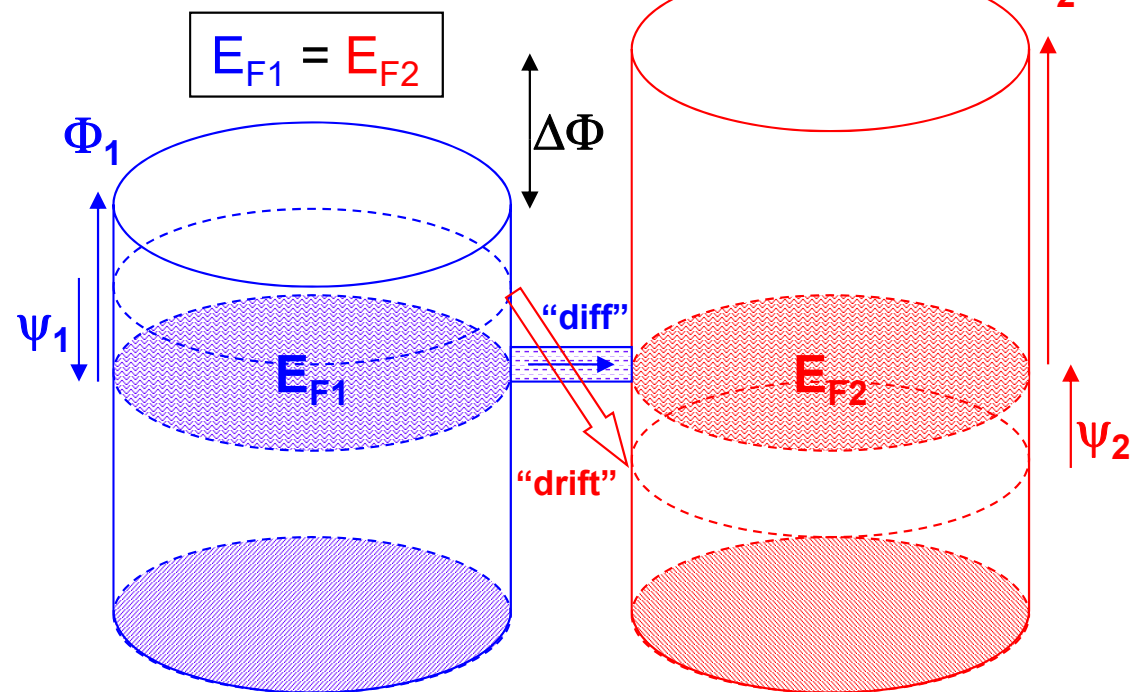
$$\boxed{\Phi_1 < \Phi_2} \quad (E_{F1} > E_{F2})$$



(“Open” System: separate equilibrium)

**On connection:** water flows from high level ( $E_{F1}$ ) to low level; and water levels changing (“charging”) until  $\Phi_1 - \psi_1 = \Phi_2 - \psi_2$ .

$$(\Phi_2 - \Phi_1 = \psi_2 - \psi_1)$$



(“Short” System: new equilibrium)

# PN-junction : Built-in Potential

$$V_{bi} = \frac{kT}{q} \ln \frac{p_{0p} \sim N_A}{p_{0n} \sim \frac{n_i^2}{N_D}} \approx \frac{kT}{q} \ln \left( \frac{N_A N_D}{n_i^2} \right)$$

$$\Phi_P - q\psi_P = \Phi_N - q\psi_N$$

which leads to:

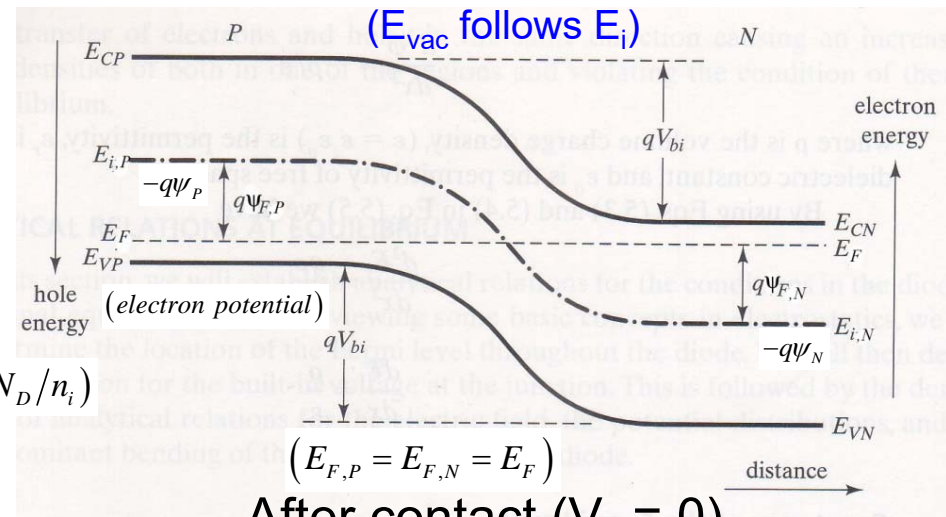
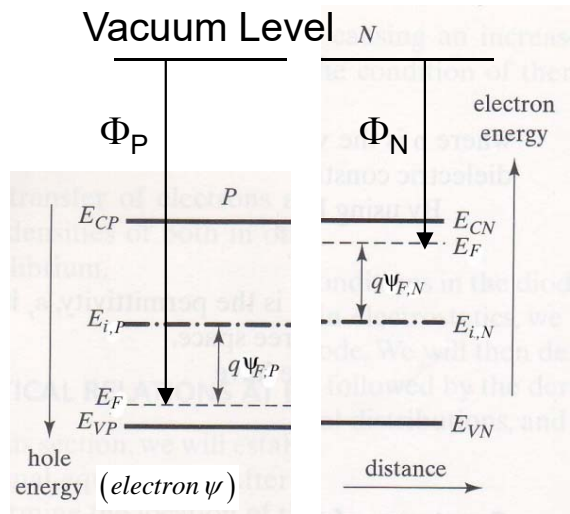
$$-(\Phi_N - \Phi_P) = -q(\psi_N - \psi_P)$$

$$\begin{aligned} & \text{Before contact} & \text{After contact} \\ & E_{F,N} - E_{F,P} & E_{i,N} - E_{i,P} \\ & \parallel & \parallel \\ & kT \ln \left( \frac{N_D N_A}{n_i^2} \right) & = qV_{bi} \end{aligned}$$

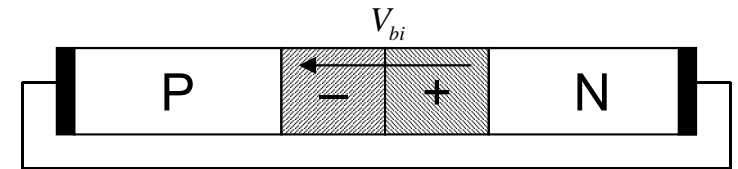
$$E_{F,P} = E_i - kT \ln(N_A/n_i) \quad E_{F,N} = E_i + kT \ln(N_D/n_i)$$

( $E_{i,P} = E_{i,N} = E_i$ )

Before contact ( $V_a = \infty$ )



After contact ( $V_a = 0$ )



$$\psi_{F,P} \equiv -(E_F - E_{i,P})/q \quad V_a = 0 \quad \psi_{F,N} \equiv -(E_F - E_{i,N})/q$$

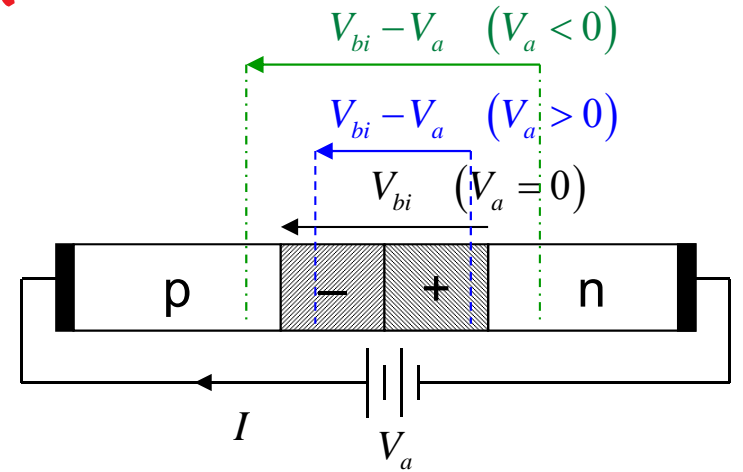
$$= \phi_F - \psi_P \quad = \phi_F - \psi_N$$

# pN-junction - with bias ( $V_a$ )

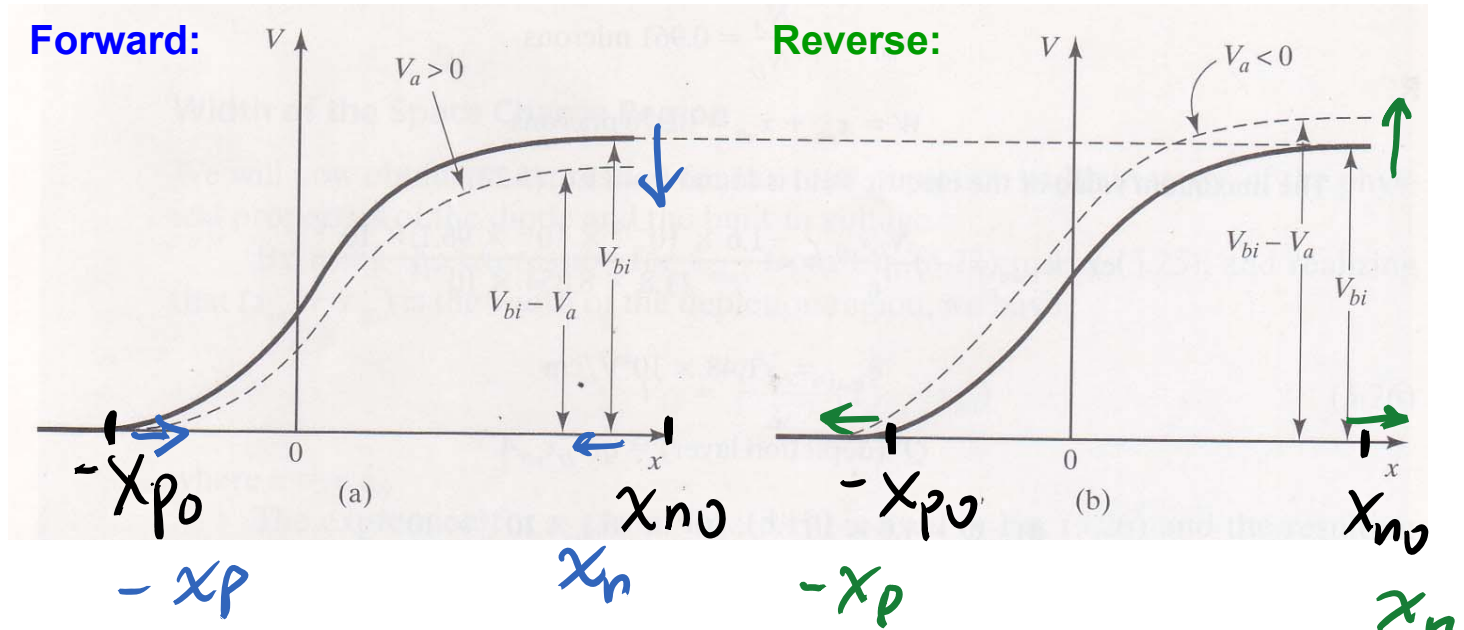
$$V_j = V_{bi} - V_a \quad \xrightarrow{V_a=0} V_{bi}$$

Fwd ( $V_a > 0$ ):  $V_j \downarrow$ ,  $w \downarrow$

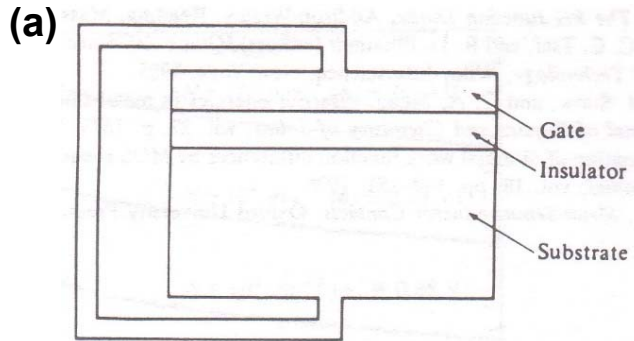
Rev ( $V_a < 0$ ):  $V_j \uparrow$ ,  $w \uparrow$



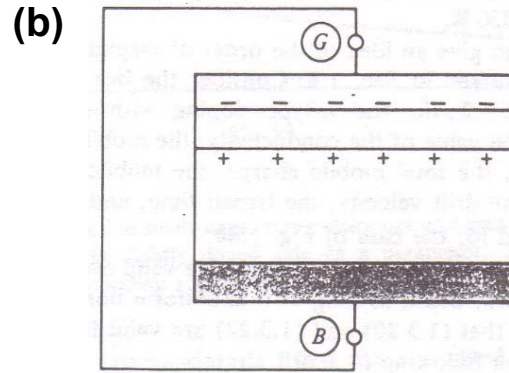
$V_j$ : "Junction voltage"  
"barrier height"



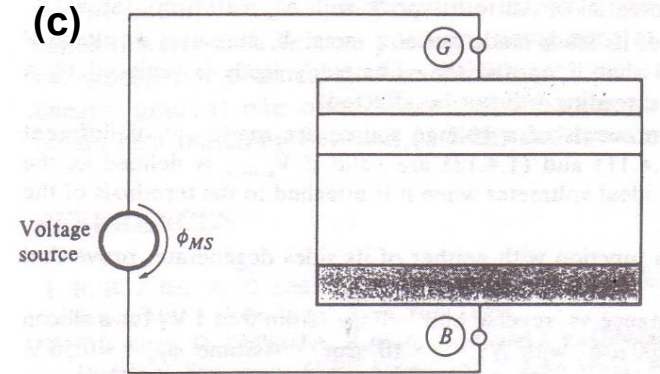
# MOS: Concept of the Flatband Voltage



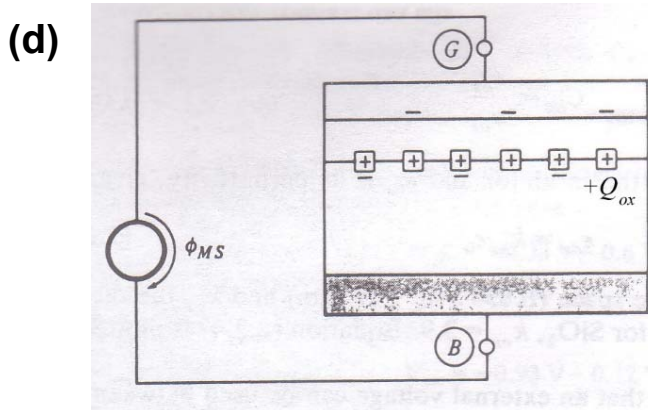
A 2-terminal MOS structure with gate, substrate (bulk), and short-circuiting external connection all made out of the same semiconductor material.



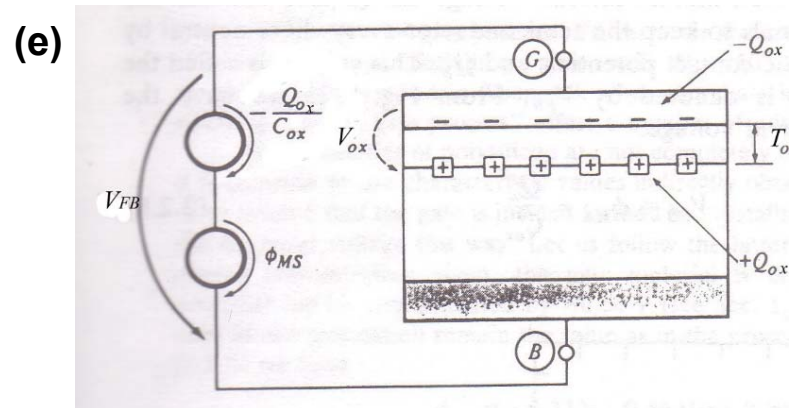
A MOS (with gate and bulk made of different materials) with zero oxide charge and with gate–bulk terminals short-circuited.



The structure of (b) with a voltage source ( $\phi_{MS}$ ) so that the surface charge becomes zero.



Effect of non-zero effective oxide charge.



The structure of (d) with additional external bias ( $-Q_{ox}/C_{ox}$ ) so that the surface charge becomes zero.

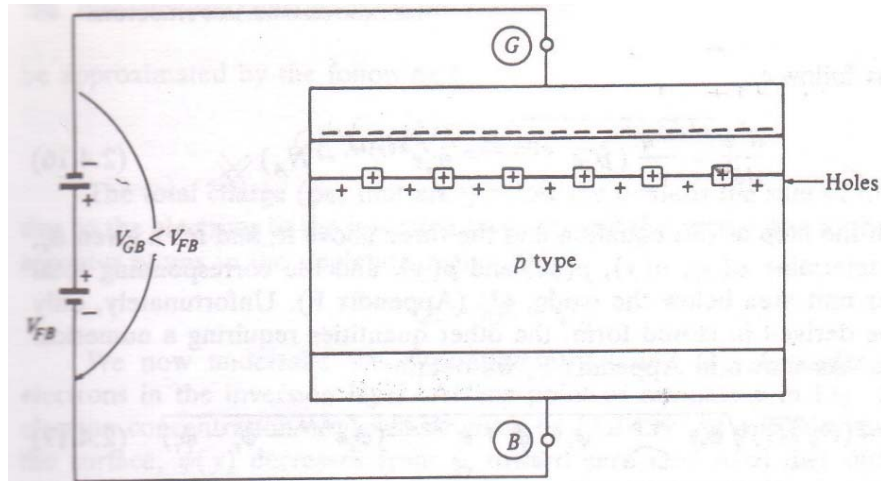
## Flatband voltage:

$$V_{FB} = \phi_{MS} - Q_{ox}/C_{ox}$$

With an external bias  $V_{FB}$ , the MOS structure becomes “ideal” (bands become flat).

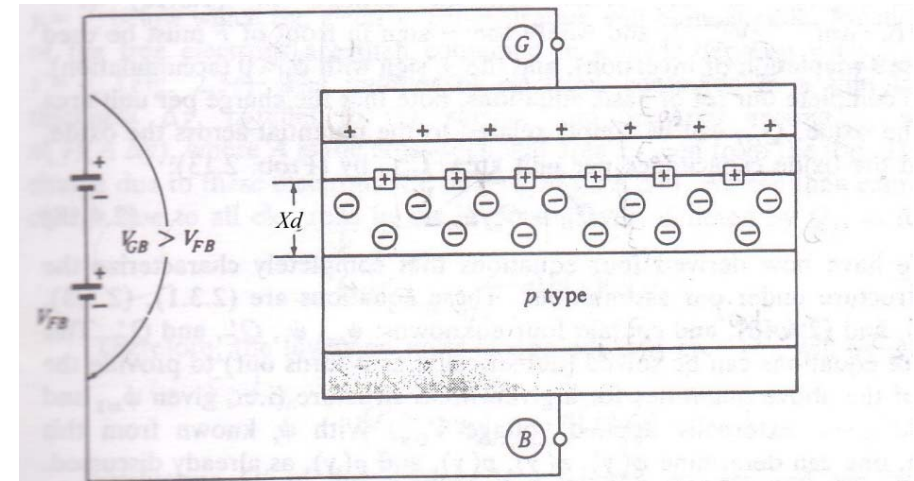
# MOS: Accumulation and Depletion

## Accumulation:



A negative bias is applied on top of  $V_{FB}$  (so the total gate–bulk voltage is below flatband voltage). The negative charge on the gate induces (attracts) the mobile holes towards the (p-type) Si surface, forming a thin layer of positively-charged holes “accumulated” at the surface. The induced hole layer is very thin since hole concentration is an exponential function of the surface potential.

## Depletion:

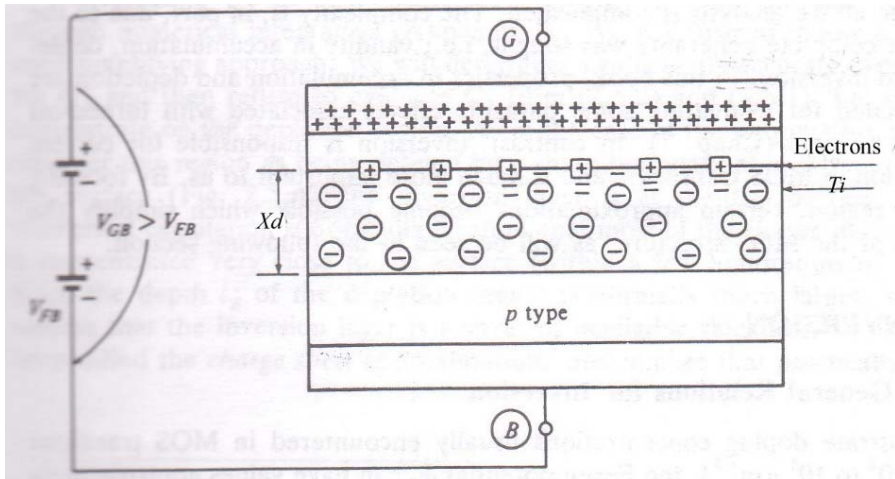


A positive bias is applied on top of  $V_{FB}$  (so the total gate–bulk voltage is above flatband voltage but still below the “threshold voltage”). The positive charge on the gate repels the mobile holes towards the Si substrate, leaving behind negatively-charged ionized acceptors, forming a depletion layer (“depleted” of holes) with its thickness increasing as  $V_{GB}$  is increased for balancing the gate charge.

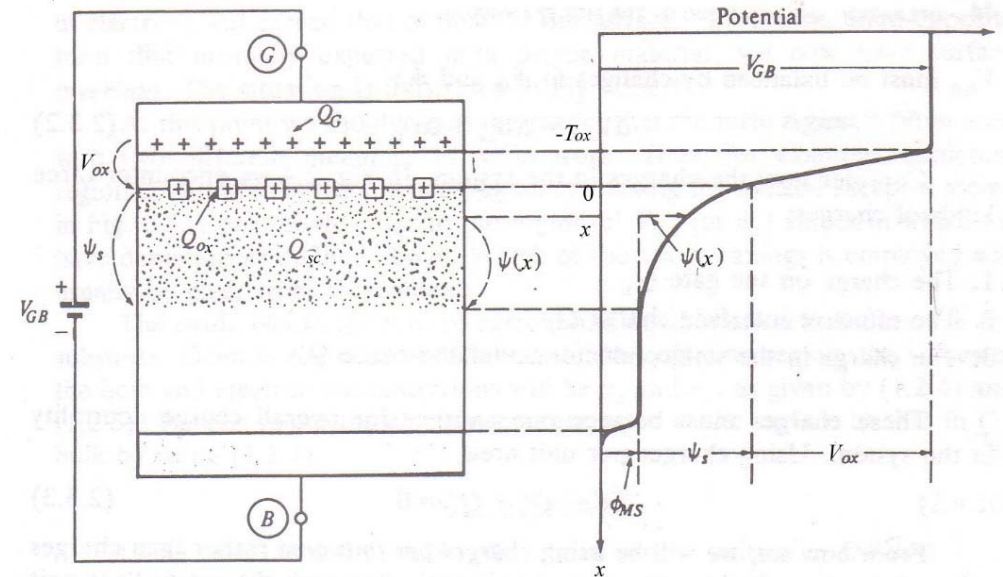


# MOS: Strong Inversion and Potential Distribution

## Strong inversion:



As the positive bias is further increased much larger than flatband voltage, the positive charge on the gate starts to attract electrons towards the Si surface (while expanding the depletion layer at the same time), forming an inversion layer (“inverted” charge of its original majority carrier). Beyond the onset of strong-inversion (called “threshold voltage”), electrons are plenty to screen the gate charge in a very thin layer, and depletion layer is reaching a maximum.



- The total gate–bulk voltage ( $V_{GB}$ ) is the sum of the voltage drop across the oxide ( $V_{ox}$ ), across the induced space-charge layer in Si ( $\psi_s$ ), and the contact potential due to work function difference ( $\phi_{MS}$ ) — **potential balance** (or KVL: total voltage around a loop is zero).
- The total charge on the gate ( $Q_g$ ) and inside the oxide ( $Q_{ox}$ ) are always balanced by the induced charge in Si ( $-Q_{sc}$ ), which consists of bulk/depletion charge ( $-Q_b$ ) and inversion charge ( $-Q_i$ ) — **charge balance** (or charge neutrality: total charge in MOS is zero).

# MOS Charge and Surface-Potential Relations

## Surface/bulk charge density $(np = n_i^2)$

General carrier-potential relations (any x):

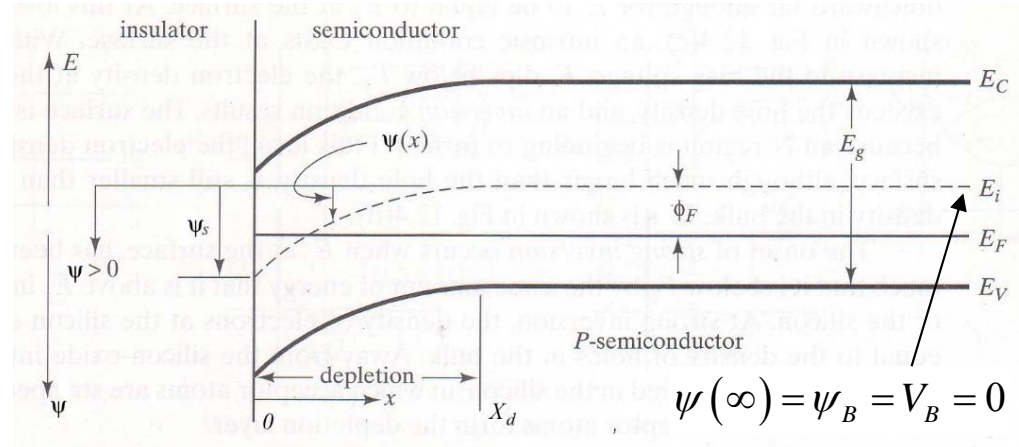
$$p = n_i e^{(E_i - E_F)/kT} = n_i e^{-(\psi - \phi_F)/v_{th}} \quad n = n_i e^{(E_F - E_i)/kT} = n_i e^{(\psi - \phi_F)/v_{th}}$$

At surface (x = 0):

$$p_s = p(0) = n_i e^{-(\psi_s - \phi_F)/v_{th}} \quad n_s = n(0) = n_i e^{(\psi_s - \phi_F)/v_{th}}$$

In neutral bulk (x > X<sub>d</sub>):

$$p_0 = p|_{x \geq X_d} = n_i e^{\phi_F/v_{th}} \quad n_0 = n|_{x \geq X_d} = n_i e^{-\phi_F/v_{th}}$$



## Regions of operation in terms of surface potential

Surface potential	Terminal bias	Surface condition	Surface carrier density
$\psi_s < 0$	$V_{GB} < V_{FB}$	Accumulation	$p_s > p_0 = N_A$
$\psi_s = 0$	$V_{GB} = V_{FB}$	Flatband	$p_s = p_0 = N_A$
$0 < \psi_s < \phi_F$	$V_{FB} < V_{GB} < V_L$	Depletion	$n_s < p_s < p_0 = N_A$
$\psi_s = \phi_F$	$V_{GB} = V_L$	Intrinsic	$n_s = p_s = n_i$
$\phi_F < \psi_s < 2\phi_F$	$V_L < V_{GB} < V_T$	Weak inversion	$p_s < n_s < p_0 = N_A$
$\psi_s = 2\phi_F$	$V_{GB} = V_T$	Threshold	$n_s = p_0 = N_A$
$\psi_s > 2\phi_F$	$V_{GB} > V_T$	Strong inversion	$n_s > p_0 = N_A$

- In **accumulation**, holes ( $p_s$ ) dominate
- In **depletion** (including weak-to-moderate inversion), depletion charge ( $N_A$ ) dominates
- In **strong inversion**, electrons ( $n_s$ ) dominate, in addition to depletion charge ( $N_A$ )

# 3. MOS

- Potential balance:

$$V_{GB} = \phi_{ms} + V_{ox} + \psi_s$$

- Charge balance:

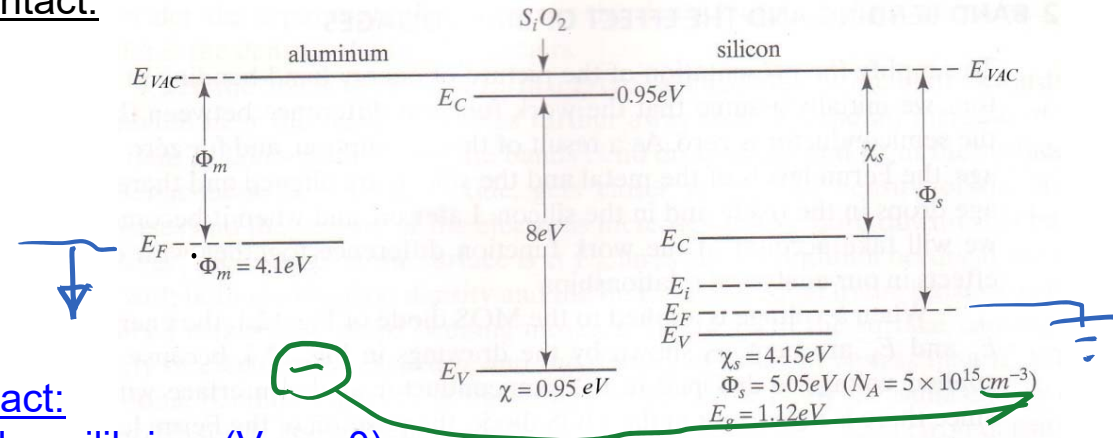
$$Q_g + Q_{ox} + Q_{sc} = 0$$

- Gauss Law:

$$Q_g = \epsilon_{ox} \epsilon_{ox} = C_{ox} V_{ox} \quad \left( \epsilon_{ox} = \frac{V_{ox}}{T_{ox}} \right)$$

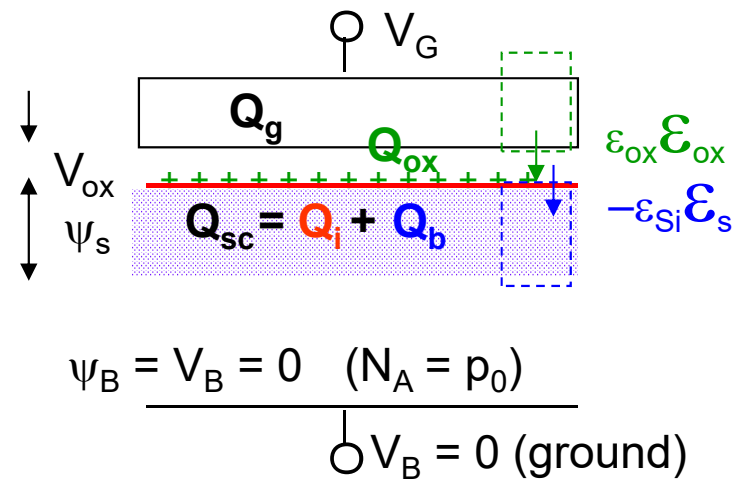
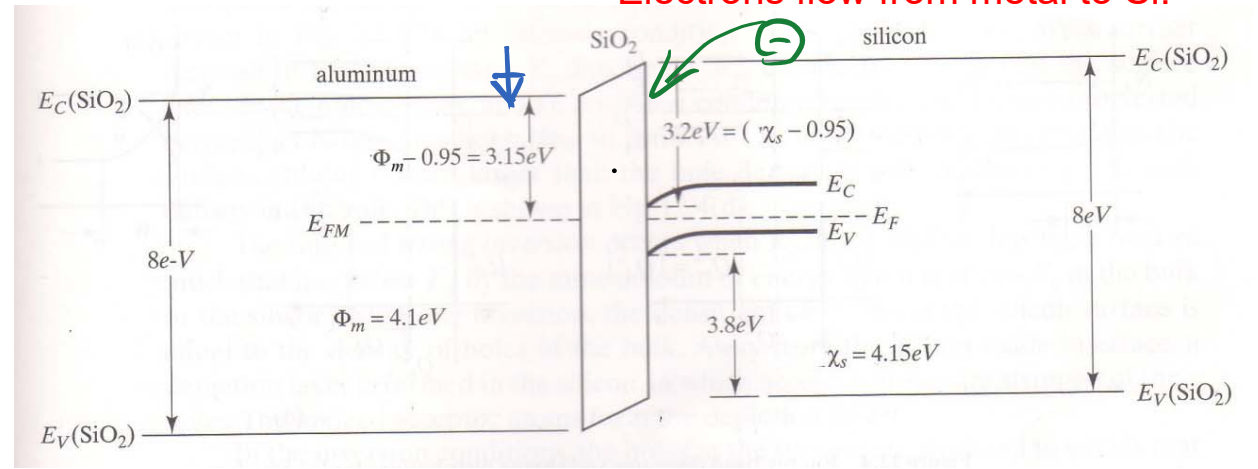
$$Q_{sc} = -\epsilon_{Si} \epsilon_s \quad \left( C_{ox} = \frac{\epsilon_{ox}}{T_{ox}} \right)$$

Before contact:



After contact:  
at thermal equilibrium ( $V_{GB} = 0$ )

Electrons flow from metal to Si.



$$V_{GB} = \phi_{ms} + \frac{Q_g}{C_{ox}} + \psi_s = -(\underbrace{Q_{ox} + Q_{sc}}_{V_{FB}}) + \psi_s$$

$$= \phi_{ms} - \frac{Q_{ox}}{C_{ox}} - \frac{Q_{sc}}{C_{ox}} + \psi_s$$

$$\therefore V_{GF} \equiv V_{GB} - V_{FB} = -\frac{Q_{sc}}{C_{ox}} + \psi_s$$

$$V_T \equiv V_{GB} / \psi_s = 2\phi_F$$

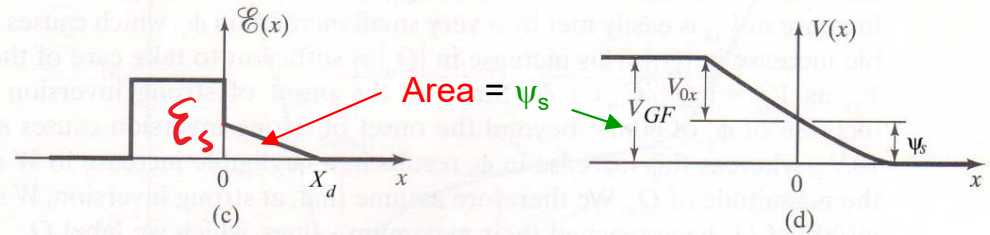
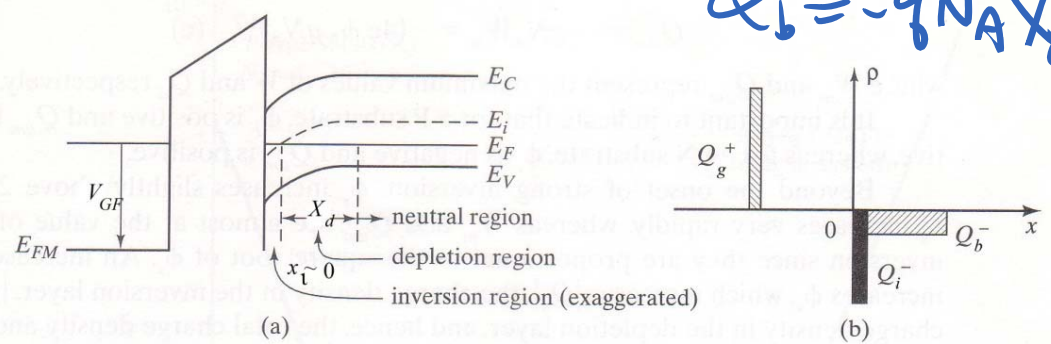
$$= V_{FB} - \frac{Q_b(\psi_s = 2\phi_F)}{C_{ox}} + 2\phi_F$$

$$= V_{FB} + \underbrace{\gamma}_{\text{body factor}} \sqrt{2\phi_F} + 2\phi_F$$

(body factor:  $\gamma = \sqrt{2q\epsilon_{Si}N_A/C_{ox}}$ )

CSA:  $Q_{sc} = Q_b + Q_i$

Full depletion:  $Q_i \approx 0$   
 $Q_b = -qN_A X_d$

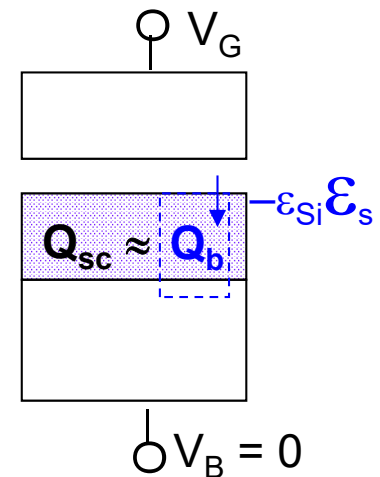


$$\psi_s = \frac{1}{2} X_d \cdot \epsilon_s$$

$$= \frac{1}{2} X_d \frac{qN_A X_d}{\epsilon_{Si}}$$



$$X_d = \sqrt{2\epsilon_{Si}\psi_s / qN_A}$$



$$-\epsilon_{Si} \epsilon_s = Q_b = -qN_A X_d$$

# 4. MOSFET

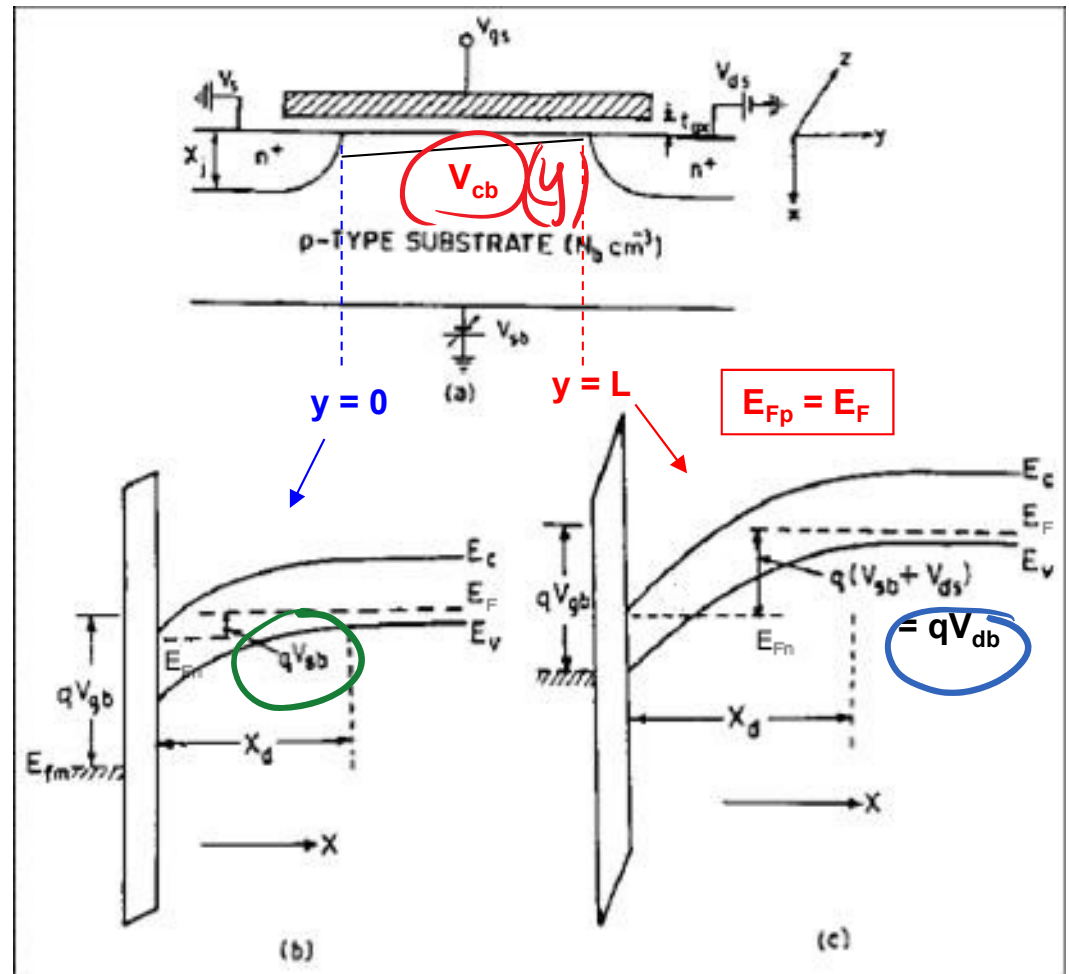
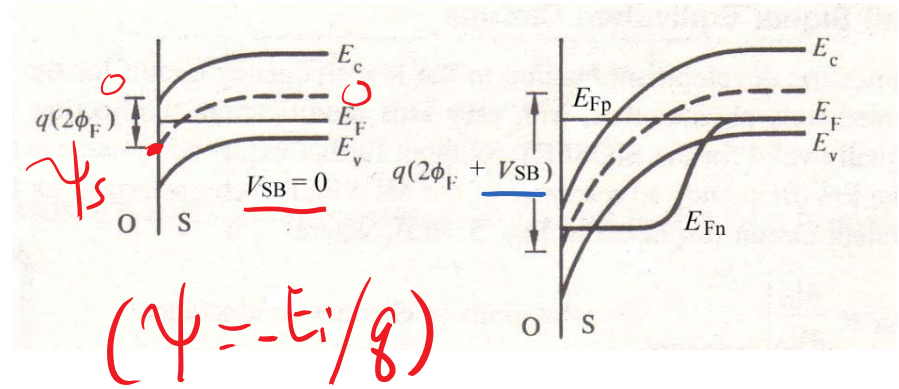
Quasi-Fermi Level difference  
 ("imref-split") =  
 external bias

$$V_{cb}(y) \begin{cases} V_{sb} (y=0) \\ V_{db} (y=L) \end{cases}$$

$$\approx V_{sb} + V_{ds}$$

$$V_{ds} \neq 0 \Rightarrow I_{ds} \neq 0$$

(non equilibrium)



$$V_T \equiv V_{GS} \Big|_{\psi_s = 2\phi_F + V_{SB}} = V_{FB} + \gamma \sqrt{2\phi_F + V_{SB}} + 2\phi_F$$

Strong Inversion ..  $\psi_s = \psi_s(0) + V_{CB}(y) = 2\phi_F + V_{SB} + V(y)$  ( $Q_i \ll Q_b$ )

$$V_{GB} - V_{FB} = - \frac{Q_i + Q_b}{C_{ox}} + \psi_s \quad (0 < V < V_{ds})$$

$$\Rightarrow Q_i = -C_{ox} (V_{GB} - V_{FB} - \psi_s) - Q_b \quad Q_b \approx -\gamma C_{ox} \sqrt{2\phi_F + V_{SB}}$$

Linear (drift) current:  $J_{n, drift} = q n v = \mu Q_i \left(-\frac{d\psi}{dy}\right)$

$$I_{DS} = \mu_n \left(\frac{W}{L}\right) \int_0^{V_{ds}} (-Q_i) dV = \mu_n C_{ox} \frac{W}{L} (V_{GS} - V_T - \frac{1}{2} V_{DS}) V_{DS}$$

Saturation current: ("pinch-off":  $V_{DS} \geq V_{DSat} = V_{GS} - V_T$ )

$$I_{DS} = \frac{1}{2} \mu_n C_{ox} \frac{W}{L} (V_{GS} - V_T)^2 \quad (\text{or: } V_{GB} \leq V_T)$$

# Summary of Ideal (Long-Channel) MOSFET Equations

**DC current** (For PMOS, all taking absolute values.)

$$I_{DS} = \begin{cases} 0 & V_{GS} \leq V_T \\ K_n (V_{GS} - V_T - \frac{1}{2}V_{DS}) V_{DS} & V_{GS} > V_T; V_{DS} \leq V_{Dsat} \\ \frac{1}{2}K_n (V_{GS} - V_T)^2 & V_{GS} > V_T; V_{DS} > V_{Dsat} \end{cases}$$

**Transconductance**

$$g_m \equiv \frac{dI_{DS}}{dV_{GS}}$$

$$g_m = \begin{cases} 0 & \text{Cut-off} \\ K_n V_{DS} & \text{Linear} \\ K_n (V_{GS} - V_T) & \text{Saturation} \end{cases}$$

**Gain factor**

$$K_n = \kappa (W/L) = \mu_n C_{ox} (W/L)$$

$\kappa = \mu_n C_{ox}$  Process-dependent transconductance parameter

**Saturation voltage**

$$V_{Dsat} = V_{GS} - V_T$$

**Depletion width / charge**

$$X_d = \sqrt{\frac{2\varepsilon_{Si}\psi_s}{qN_A}}$$

$$Q_b = -qN_A X_d = -\gamma C_{ox} \sqrt{\psi_s}$$

**Threshold voltage**

$$V_T = V_{FB} + \gamma \sqrt{2\phi_F + V_{SB}} + 2\phi_F = V_{FB} - Q_{bm}/C_{ox} + 2\phi_F$$

Strong-inversion:  $X_d = X_{dm}$

$$\psi_s = 2\phi_F + V_{SB} \quad Q_b = Q_{bm}(X_{dm})$$

**Body factor**

$$\gamma = \frac{\sqrt{2q\varepsilon_{Si}N_A}}{C_{ox}}$$

$$C_{ox} = \varepsilon_{ox}/T_{ox}$$

**Flatband voltage**

$$V_{FB} = \phi_{MS} - Q_{ox}/C_{ox}$$

$$Q_{ox} = Q_m + Q_f + Q_{ot}$$

$$\phi_{MS} = \Phi_M - \Phi_S = \Phi_M - (\chi + E_g/2q + \phi_F)$$

Bulk Fermi potential:

$$\phi_F = \frac{kT}{q} \ln\left(\frac{N_A}{n_i}\right)$$

# EE4613: Summary of Short-Channel MOSFET Equations

## □ Threshold voltage

- **Long-channel (1D theoretical model)**

$$\phi_F = \frac{kT}{q} \ln \left( \frac{N_A}{n_i} \right) \quad \gamma = \sqrt{2q\epsilon_{Si}N_A} / C_{ox} \quad C_{ox} = \epsilon_{ox} / T_{ox}$$

$$V_t \equiv V_{gs} \Big|_{\psi_s=2\phi_F+V_{sb}} = V_{FB} + \gamma \sqrt{2\phi_F + V_{sb}} + 2\phi_F$$

$$V_{FB} \equiv \phi_{MS} - Q_{ox} / C_{ox} = \Phi_M - (\chi + E_g / 2 + \phi_F) - Q_{ox} / C_{ox}$$

- **Short-channel (triangle charge-sharing model)**

$$V_{t0}(L_g) \equiv V_{t0\_long} - \Delta V_{t0} = V_{t0\_long} - \frac{4\epsilon_{Si}\phi_F}{\epsilon_{ox}} \frac{T_{ox}}{L_g - 2\sigma X_j}$$

- **Short-channel DIBL**

$$\Delta V_{DIBL}(L_g) \equiv V_{t0}(L_g) - V_{ts}(L_g)$$

## □ Drain current

- **Linear**

$$I_{ds} = \mu_0 C_{ox} \frac{W}{L} \left( V_{gs} - V_t - \frac{1}{2} A_b V_{ds} \right) V_{ds}$$

- **Subthreshold**

$$I_{ds} = \mu_0 C_d v_{th}^2 \frac{W}{L} e^{(V_{gs}-V_t)/(nv_{th})} (1 - e^{-V_{ds}/v_{th}})$$

$$n = 1 + C_d / C_{ox}$$

$$C_d = \epsilon_{Si} / X_{dm}$$

$$= \frac{\gamma C_{ox}}{2\sqrt{2\phi_F + V_{sb}}}$$

- **Saturation**

$$I_{dsat} = W v_{sat} C_{ox} \frac{(V_{gs} - V_t)^2}{V_{gs} - V_t + A_b E_{sat} L_{eff}}$$

$$\Rightarrow \propto \begin{cases} (V_{gs} - V_t)^2 & (L_{eff} \rightarrow \infty; \text{long-channel: quadratic}) \\ (V_{gs} - V_t) & (L_{eff} \rightarrow 0; \text{short-channel: linear}) \end{cases}$$