

# Cooling Codes

## Thermal-Management Coding for High-Performance Interconnects

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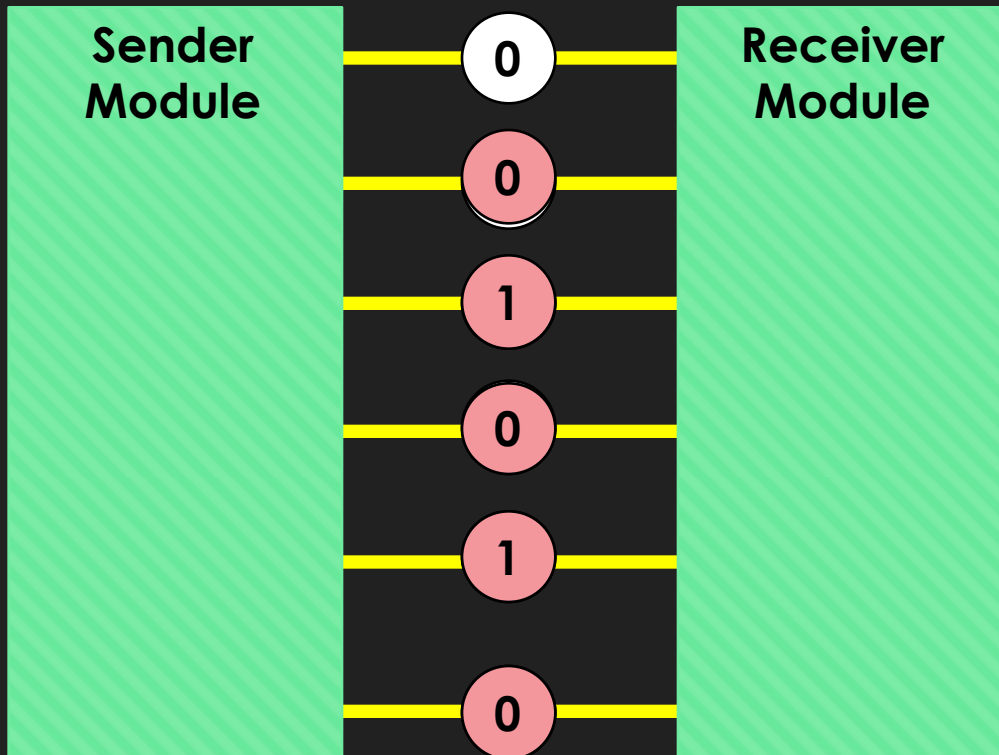
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Tuvi Etzion, Technion, Israel Institute of Technology

Alexander Vardy, University of California, San Diego

# DSM Bus Communication



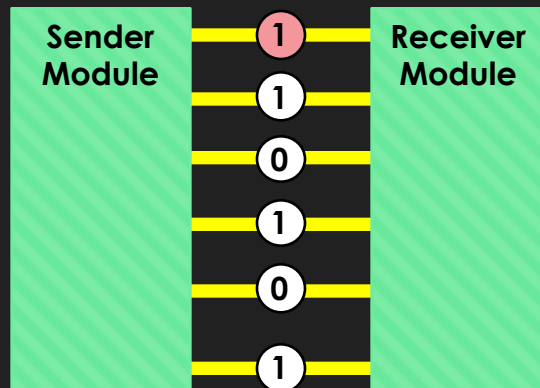
- Modules are connected via **wires**.
- Each wire has two states to represent a bit of information.

## Problem

When a wire **switches state**, or when there is a **state transition**, the wire **heats** up.

# Minimizing Switching Activity

- Previous work focus on reducing the number of state transitions.
- Encoding techniques:
  - Bus-Invert (Stan and Burleson 1995)
  - Thermal Spreading (Wang et al. 2007)
- Information theoretic analysis (Sotiriadis et al. 2003, Koch et al. 2009)



## Bus-Invert

- Introduces one redundant wire.
- Chooses to send  $x$  or its complement  $\bar{x}$  so that the number of state transitions is at most  $n/2$  for  $n$  wires.

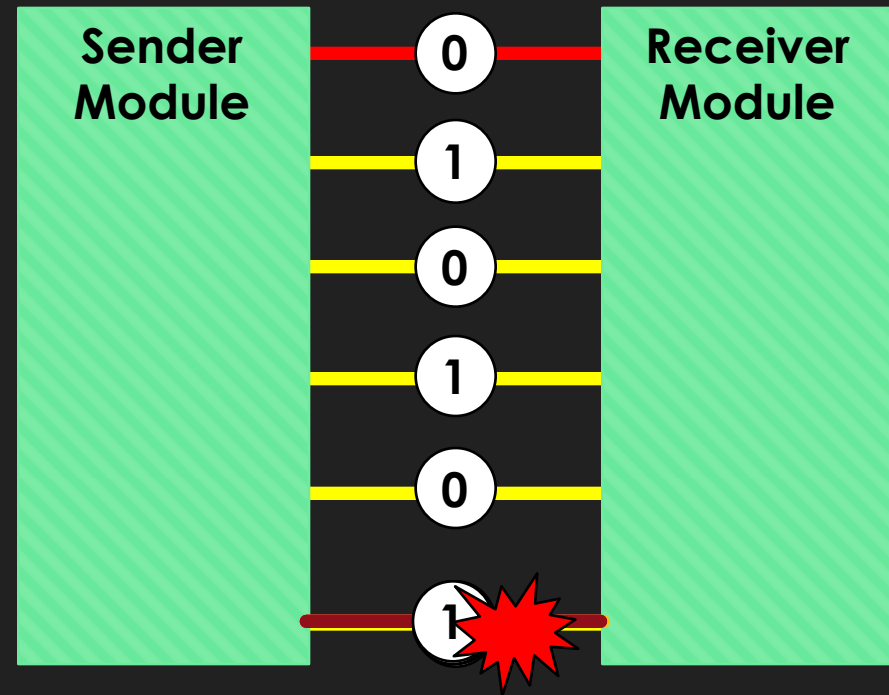
# Controlling **Peak** Temperature

How?

- Avoiding state transitions on the hottest wires.

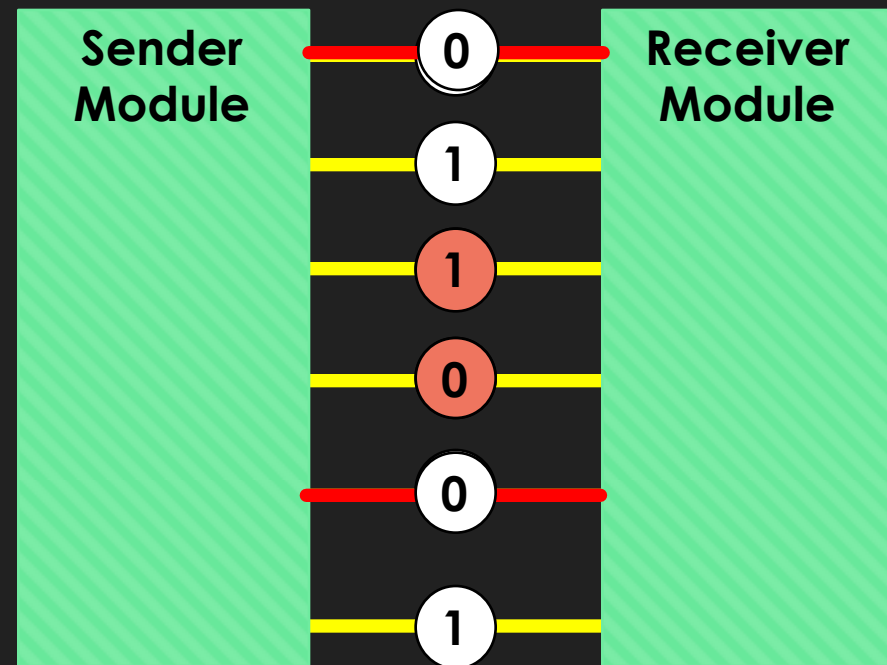
Why?

- To handle anomalous events.
- Source signals are not usually uniformly distributed.



# Key Features of Coding Scheme

- Consider a bus comprising  $n$  wires.
- **Property A( $t$ )**: Every transmission does not cause state transitions on the  $t$  hottest wires.
- **Property B( $w$ )**: Every transmission causes state transitions on at most  $w$  wires.
- **Property C( $e$ )**: Correct up to at most  $e$  transmission errors.



$$n = 6$$

$$t = 2$$

$$w = 2$$

# This talk

**Property A( $t$ ):** Every transmission does not cause state transitions on the  $t$  hottest wires.

**Property B( $w$ ):** Every transmission causes state transitions on at most  $w$  wires.

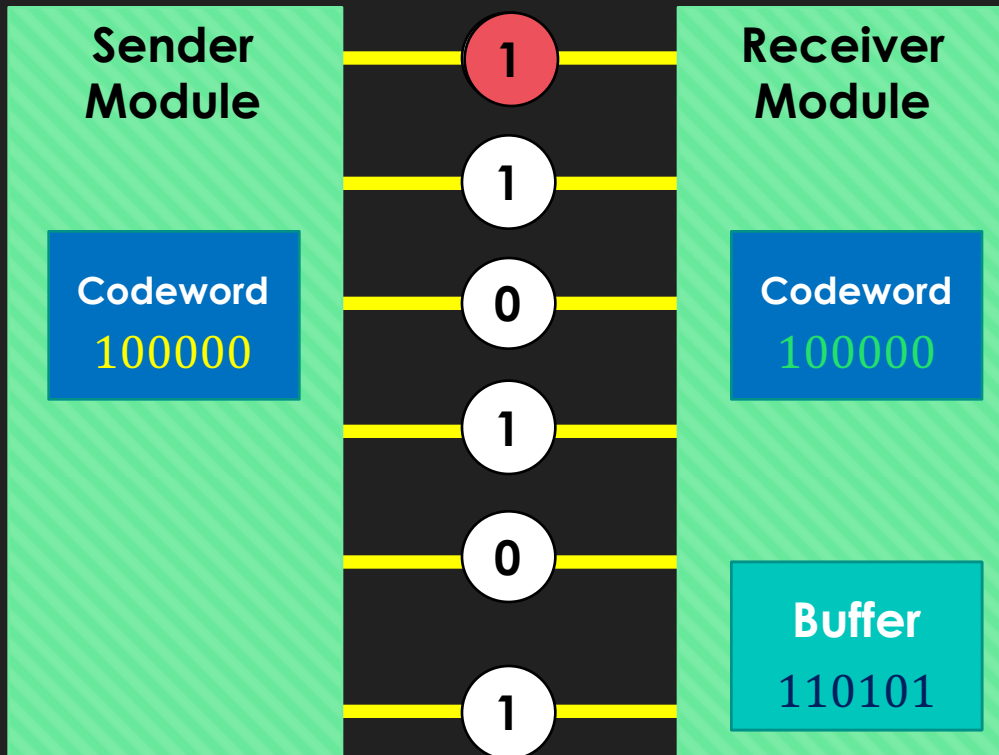
**Property C( $e$ ):** Correct up to at most  $e$  transmission errors.

- Code constructions that achieve
  - **Property B( $w$ )** only - Optimal
  - **Property A( $t$ )** only – Optimal redundancy
  - **Property A( $t$ ) + Property B( $w$ )** only – Asymptotically optimal redundancy
- Adaptive coding schemes.

In the full paper on arxiv,

- More constructions that achieve
  - **Property A( $t$ ) + Property C( $e$ )** only
  - **Property B( $w$ ) + Property C( $e$ )** only
  - **Property A( $t$ ) + Property B( $w$ ) + Property C( $e$ )**
- Nonadaptive coding schemes

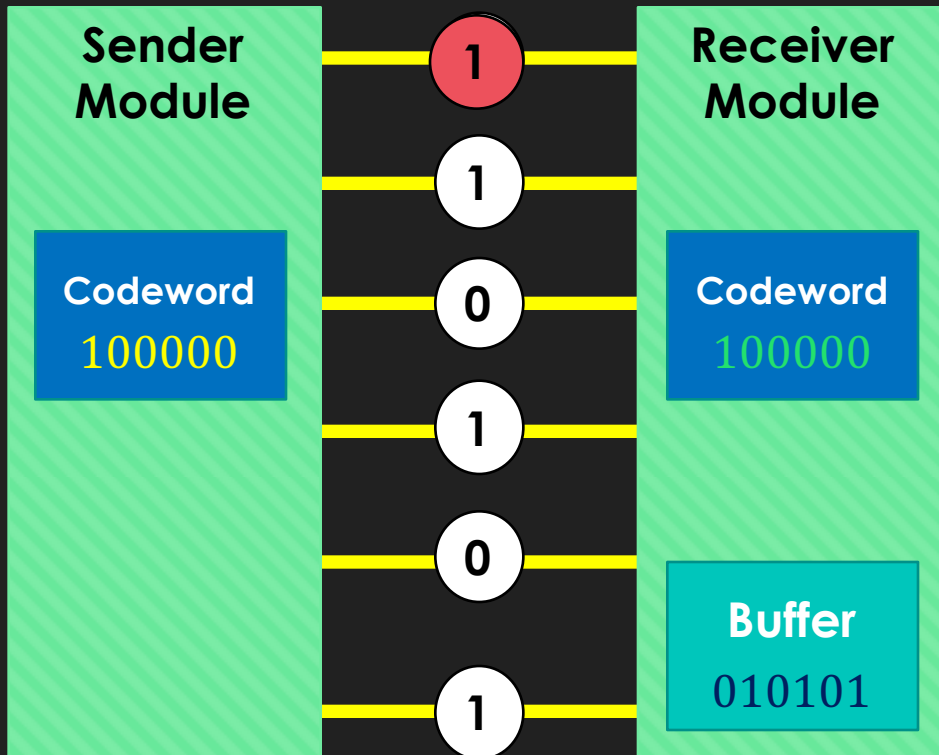
# Adaptive + Differential Coding



- Suppose Sender wants to communicate **100000**.
- We change the states to **110101**.
- Receiver adds the current state vector to the vector in the buffer to retrieve the message **100000**.
- Receiver updates the buffer.



# Differential Coding and Property B

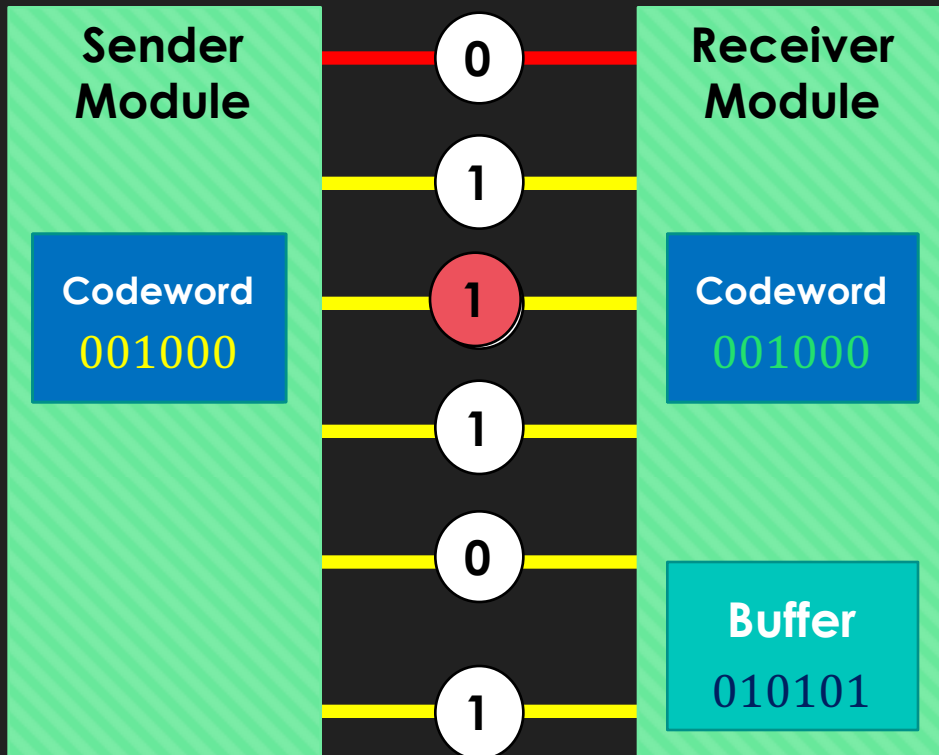


**Property B( $w$ )**: Every transmission causes state transitions on at most  $w$  wires.

- A code satisfies **Property B( $w$ )** if and only if  $wt(x) \leq w$  for codewords  $x$ .
- In other words, the optimal code that satisfies **Property B( $w$ )** is  $J^+(n, w) = \{x : wt(x) \leq w\}$ .



# Differential Coding and Property A



**Property A( $t$ )**: Every transmission does not cause state transitions on the  $t$  hottest wires.

A code satisfies **Property A( $t$ )** if and only if

for all codewords  $x$ ,

for all subsets  $S$  of size  $t$ ,

$$x_i = 0 \text{ for all } i \in S.$$

This implies that  $x = \mathbf{0}$  !!

# Cooling Codes

**Property A( $t$ ):** Every transmission does not cause state transitions on the  $t$  hottest wires.

- Instead of vectors, we consider *codesets*

An (6,2)-cooling code

$$C_1 = \{100000, 101011, 111010, 001011, 010001, 110001, 011010\},$$

$$C_2 = \{010000, 100011, 011101, 110011, 111110, 101110, 001101\},$$

$$C_3 = \{001000, 100111, 111000, 101111, 011111, 010111, 110000\},$$

$$C_4 = \{000100, 100101, 011100, 100001, 111001, 111101, 011000\},$$

$$C_5 = \{000010, 100100, 001110, 100110, 101010, 101000, 001100\},$$

$$C_6 = \{000001, 010010, 000111, 010011, 010101, 010100, 000110\},$$

$$C_7 = \{110110, 001001, 110101, 111111, 111100, 001010, 000011\},$$

$$C_8 = \{011011, 110010, 101100, 101001, 011110, 000101, 110111\},$$

$$C_9 = \{111011, 011001, 010110, 100010, 001111, 110100, 101101\}.$$

- An  $(n, t)$ -*cooling code* is a collection of  $M$  codesets  $C_1, C_2, \dots, C_M$  such that
  - $C_1, C_2, \dots, C_M$  are disjoint subsets.
  - for all codesets  $C_i$ , for all subsets  $S$  of size  $t$ , there exist a word  $x \in C_i$   
 $x_j = 0$  for all  $j \in S$ .

# Cooling Codes

**Property A( $t$ ):** Every transmission does not cause state transitions on the  $t$  hottest wires.

- Consider the codeset  $C_1$  and  $S = \{1,2\}$ .

An (6,2)-cooling code

$$C_1 = \{100000, 101011, 111010, 001011, 010001, 110001, 011010\}.$$



- An  $(n, t)$ -cooling code is a collection of  $M$  codesets  $C_1, C_2, \dots, C_M$  such that
  - $C_1, C_2, \dots, C_M$  are disjoint subsets.
  - for all codesets  $C_i$ , for all subsets  $S$  of size  $t$ , there exist a word  $\mathbf{x} \in C_i$

$$\mathbf{x}_j = 0 \text{ for all } j \in S, \text{ or, } \mathbf{x} \Big|_S = \mathbf{0}.$$

# Cooling Codes and Related Codes

- Coding for Stuck-At Cells

- Additional requirement:  $\mathbf{x}|_S = \mathbf{z}$  for all patterns  $\mathbf{z}$  of length  $t$

- Cooling codes require significantly lesser redundancy

- Dumer (1989) constructed a special class of **codes for stuck-at-cells**

- Write-Once Memories (WOM) codes

- Different requirement:  $\mathbf{x}|_S = \mathbf{1}$

- Different requirement:  $S$  need not be a subset of size  $t$

- Cooling codes can be used to construct WOM codes.

- “Explicit Constructions of Finite-Length WOM Codes”

- [ISIT, 30 Jun Fri, 12:30pm, Room: K5](#)

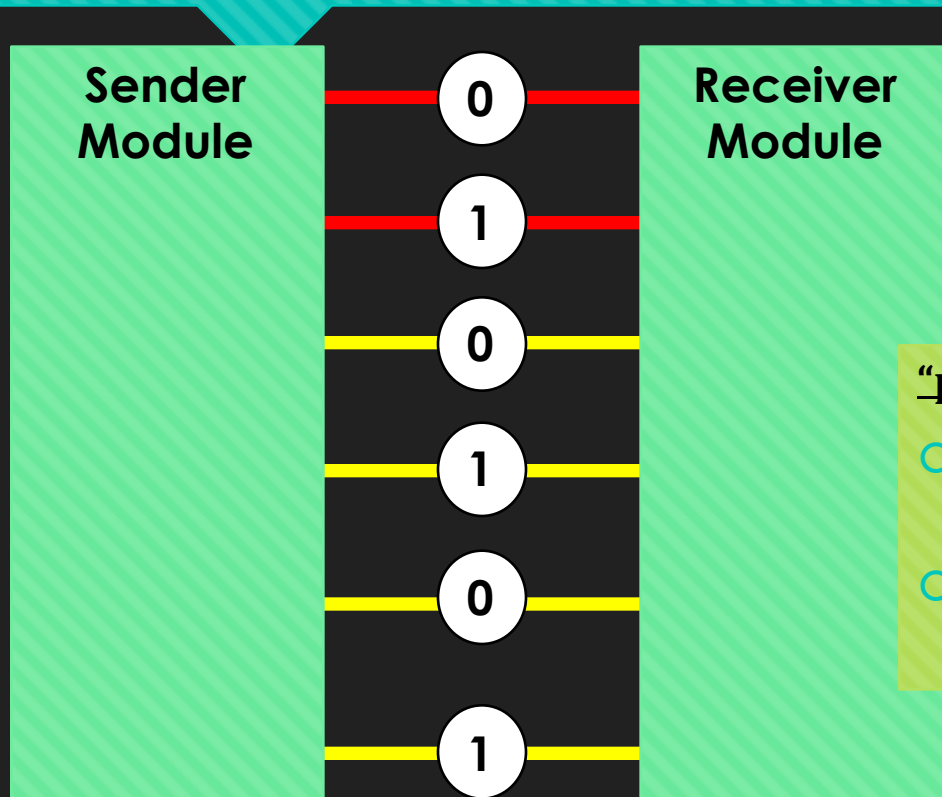
## Definition

An  $(n, t)$ -cooling code is a collection of  $M$  codesets  $C_1, C_2, \dots, C_M$  such that

- $C_1, C_2, \dots, C_M$  are disjoint subsets.
- for all codesets  $C_i$ , for all subsets  $S$  of size  $t$ , there exist a word  $\mathbf{x} \in C_i$   
 $\mathbf{x}_j = 0$  for all  $j \in S$ , or

$$\mathbf{x}|_S = \mathbf{0}.$$

# Upper Bound on the Code Size



## Lemma

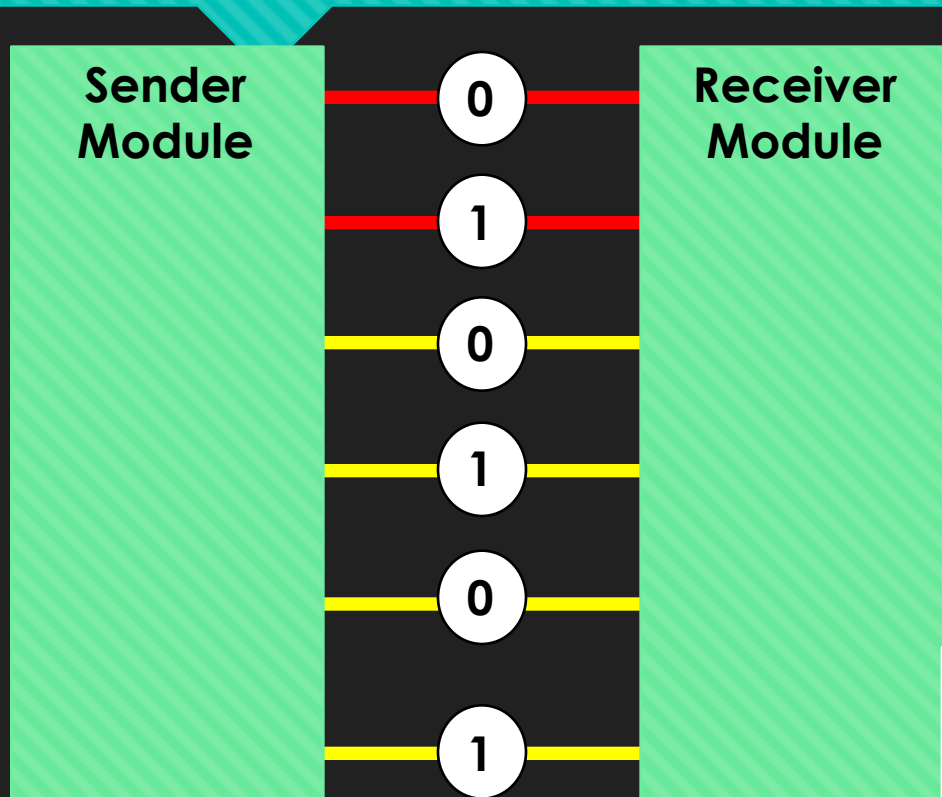
A  $(n, t)$ -cooling code has at most  $2^{n-t}$  codesets.

## “pro Corollary

- If  $1 < t < n - 1$ , an  $(n, t)$ -cooling  $t$  code has at most  $2^{n-t} - 1$  codesets.
- Therefore, at most  $n - t$  bits of information can be transmitted.

**Property A( $t$ ):** Every transmission does not cause state transitions on the  $t$  hottest wires.

# Lower Bound on the Code Size



## Lemma

A  $(n, t)$ -cooling code has at most  $2^{n-t}$  codesets.

## Theorem

If  $t + 1 \leq n/2$ , there is an  $(n, t)$ -cooling code of size at least  $2^{n-t-1}$  codesets.

In other words, construction is **optimal in terms of redundancy.**

**Property A( $t$ ):** Every transmission does not cause state transitions on the  $t$  hottest wires.



# Spreads and Partial Spreads

- A **partial  $\tau$ -spread** of  $F_2^n$  is a collection of  $\tau$ -dimensional subspaces  $V_1, V_2, \dots, V_M$  of  $F_2^n$  such that
  - $V_i \cap V_j = \{\mathbf{0}\}$  for all  $i \neq j$ .
- If  $\cup V_i = F_2^n$ , then  $V_1, V_2, \dots, V_M$  is called a  **$\tau$ -spread**.

A 3-spread of  $F_2^6$

$$\begin{aligned}V_1 &= \text{span}\{100000, 101011, 111010\}, \\V_2 &= \text{span}\{010000, 100011, 011101\}, \\V_3 &= \text{span}\{001000, 100111, 111000\}, \\V_4 &= \text{span}\{000100, 100101, 011100\}, \\V_5 &= \text{span}\{000010, 100100, 001110\}, \\V_6 &= \text{span}\{000001, 010010, 000111\}, \\V_7 &= \text{span}\{110110, 001001, 110101\}, \\V_8 &= \text{span}\{011011, 110010, 101100\}, \\V_9 &= \text{span}\{111011, 011001, 010110\}.\end{aligned}$$



# Spreads yields Cooling Codes

## Theorem

Let  $V_1, V_2, \dots, V_M$  be a partial  $(t + 1)$ -spread.

Set  $V_i^* = V_i \setminus \{\mathbf{0}\}$ .

Then  $V_1^*, V_2^*, \dots, V_M^*$  forms an  $(n, t)$ -cooling code.

$$V_1^* = \text{span}\{100000, 101011, 111010\} \setminus \{\mathbf{0}\},$$

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## Definition

A partial  $\tau$ -spread of  $F_2^n$  is a collection of  $\tau$ -dim subspaces  $V_1, V_2, \dots, V_M$  of  $F_2^n$  such that  $V_i \cap V_j = \{\mathbf{0}\}$  for all  $i \neq j$ .

## Definition

An  $(n, t)$ -cooling code is a collection of  $M$  codesets  $C_1, C_2, \dots, C_M$  such that

- $C_1, C_2, \dots, C_M$  are disjoint subsets.
- for all codesets  $C_i$ , for all subsets  $S$  of size  $t$ , there exist a word  $\mathbf{x} \in C_i$  such that  $x_j = 0$  for all  $j \in S$ .

A 3-spread of  $F_2^6$  yields  
a  $(6, 2)$ -cooling code

# 'proof'

## Spreads yields Cooling Codes

### Theorem

Let  $V_1, V_2, \dots, V_M$  be a  $(t + 1)$ -partial spread. Set  $V_i^* = V_i \setminus \{\mathbf{0}\}$ .

Then  $V_1^*, V_2^*, \dots, V_M^*$  forms an  $(n, t)$ -cooling code.

### Definition

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- for all codesets  $C_i$ , for all subsets  $S$  of size  $t$ , there exist a word  $\mathbf{x} \in C_i$   
 $x_j = 0$  for all  $j \in S$ .

$V_i^* \cap V_j^* = \emptyset$  for  $i \neq j$  follows from definition.

# 'proof'

## Spreads yields Cooling Codes

### Theorem

Let  $V_1, V_2, \dots, V_M$  be a  $(t + 1)$ -partial spread. Set  $V_i^* = V_i \setminus \{\mathbf{0}\}$ .  
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 $\mathbf{x}_j = 0$  for all  $j \in S$ .

Consider the codeset  $V_1^*$  and  $S = \{1, 2\}$ .

$$V_1^* = \text{span}\{100000, 101011, 111010\} \setminus \{\mathbf{0}\}.$$

Want to find  $\mathbf{x} \in V_1^*$  such that

$$\mathbf{x}_j = 0 \text{ for all } j \in S, \text{ or,}$$

$$\mathbf{x}_1 = \mathbf{x}_2 = 0.$$

‘proof’

# Spreads yields Cooling Codes

Consider the codeset  $V_1^*$  and  $S = \{1,2\}$ .

$$V_1^* = \text{span}\{100000, 101011, 111010\} \setminus \{0\}.$$

Want to find

$$\mathbf{x} \in V_1^* \text{ such that } x_1 = x_2 = 0. \quad (*)$$

- Let  $K$  be the collection of vectors that satisfy  $(*)$ .
- Suppose  $\mathbf{x}, \mathbf{y} \in K$ . i.e.
  - $\mathbf{x} \in V_1^*$  such that  $x_1 = x_2 = 0$ .
  - $\mathbf{y} \in V_1^*$  such that  $y_1 = y_2 = 0$ .
- Then  $\mathbf{x} + \mathbf{y} \in K \cup \{0\}$ .
- In other words,  $K \cup \{0\}$  is a vector subspace of  $V_1$ .

‘proof’

# Spreads yields Cooling Codes

Consider the codeset  $V_1^*$  and  $S = \{1,2\}$ .

$$V_1^* = \text{span}\{100000, 101011, 111010\} \setminus \{0\}.$$

Want to find

$$\mathbf{x} \in V_1^* \text{ such that } x_1 = x_2 = 0. \quad (*)$$

- Let  $K$  be the collection of vectors that satisfy  $(*)$ .
- In fact,  $K \cup \{0\}$  is the kernel of the map  $\phi$  that projects  $V_1$  onto the coordinates at  $S$ .

$$\phi: V_1 \rightarrow F_2^{|S|}$$

- Since  $V_1$  has dimension three and its image has dimension two, its kernel  $K \cup \{0\}$  must be nontrivial.
- So, there exists nonzero  $\mathbf{x}$  that satisfies  $(*)$ .

# Cooling Codes - Property A

## Theorem

Let  $V_1, V_2, \dots, V_M$  be a  $(t + 1)$ -partial spread.

Set  $V_i^* = V_i \setminus \{\mathbf{0}\}$ .

Then  $V_1^*, V_2^*, \dots, V_M^*$  forms an  $(n, t)$ -cooling code.

## Theorem (Classic + Etzion and Vardy 2011)

Let  $\tau \leq n/2$ .

There is a  $\tau$ -partial spread of size at least  $2^{n-\tau}$ .

## Theorem

If  $t + 1 \leq n/2$ , there is an  $(n, t)$ -cooling code of size at least  $2^{n-t-1}$  codesets.

The construction is *optimal in terms of redundancy*.

**(Dumer 1989)** There exist *efficient encoding and decoding* methods for spreads.

## Definition

A partial  $\tau$ -spread of  $F_2^n$  is a collection of  $\tau$ -dim subspaces  $V_1, V_2, \dots, V_M$  of  $F_2^n$  such that  $V_i \cap V_j = \{\mathbf{0}\}$  for all  $i \neq j$ .

## Definition

An  $(n, t)$ -cooling code is a collection of  $M$  codesets  $C_1, C_2, \dots, C_M$  such that

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# Property A and B

**Property A( $t$ ):** Every transmission does not cause state transitions on the  $t$  hottest wires.

**Property B( $w$ ):** Every transmission causes state transitions on at most  $w$  wires.

- Let  $n = 286, t = 30, w = 127$ .
- Consider a 40-partial spread of  $F_2^{286}$  of size  $2^{246}$ .
- Let  $V_1, V_2, \dots, V_{2^{246}}$  be the vector spaces.
- Let  $V_i^* = V_i \setminus \{\mathbf{0}\}$  be the codesets.
- Choose a codeset  $V_i^*$ .
- For any subset  $S$  of size 30, the kernel  $K$  of the projection  $\phi: V_i \rightarrow F_2^{|S|}$  has dimension of at least 10.
- We puncture  $K$  at the coordinates in  $S$  to obtain a  
subspace  $K'$  of dim 10 and length 256.
- By Plotkin bound,  
there exists nonzero  $\mathbf{x} \in K'$  with  $\text{wt}(\mathbf{x}) \leq 127$ .



# Property A and B

**Property A( $t$ ):** Every transmission does not cause state transitions on the  $t$  hottest wires.

**Property B( $w$ ):** Every transmission causes state transitions on at most  $w$  wires.

- Let  $n = 286, t = 30, w = 124$ .
- Consider a 40- partial spread of  $F_2^{286}$  of size  $2^{246}$ .
- Let  $V_1, V_2, \dots, V_{2^{246}}$  be the vector spaces.
- Let  $V_i^* = V_i \setminus \{\mathbf{0}\}$  be the codesets.
- Choose a codeset  $V_i^*$ .
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- We puncture  $K$  at the coordinates in  $S$  to obtain a  
subspace  $K'$  of dim 10 and length 256.
- Checking [codetables.de](https://codetables.de),  
there exists nonzero  $\mathbf{x} \in K'$  with  $\text{wt}(\mathbf{x}) \leq 124$ .

# Property A and B

## Theorem

Suppose that  $t + r \leq (n + s)/2$ . If

- i. there is an  $[n, s, w + 1]$ -linear code, and
- ii. an  $[n - t, r, w + 1]$ -linear code does not exist,

then there is a code that satisfies **Property A**( $t$ ) and **Property B**( $w$ ) of size  $2^{n-t-r}$ .

**Property A**( $t$ ): Every transmission does not cause state transitions on the  $t$  hottest wires.

**Property B**( $w$ ): Every transmission causes state transitions on at most  $w$  wires.

# Cooling Codes:

## Thermal-Management Coding for High-Performance Interconnects

### Full Paper

- Available at <https://arxiv.org/abs/1701.07872>

### Other Results:

- Construction of  $(n, t)$ -cooling code for  $t + 1 > n/2$ .
- Construction of codes that satisfy **Property A**( $t$ ) and **B**( $w$ ) using Baranyai's theorem, concatenation
- Construction of codes that satisfy additional **Property C**( $e$ )