An Empirical Investigation of the Impact of Server Virtualization on Energy Efficiency for Green Data Center

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The swift adoption of cloud services is accelerating the deployment of data centers. These data centers are consuming a large amount of energy, which is expected to grow dramatically under the existing technological trends. Therefore, research efforts are in great need to architect green data centers with better energy efficiency. The most prominent approach is the consolidation enabled by virtualization. However, little effort has been paid to the potential overhead in energy usage and the throughput reduction for virtualized servers. Clear understanding of energy usage on virtualized servers lays out a solid foundation for green data center architecture. This paper investigates how virtualization affects the energy usage in servers under different task loads, aiming to understand a fundamental trade-off between the energy saving from consolidation and the detrimental effects from virtualization. We adopt an empirical approach to measure the server energy usage with different configurations, including a benchmark case and two alternative hypervisors. Based on the collected data, we report a few findings on the impact of virtualization on server energy usage and their implications to green data center architecture. We envision that these technical insights would bring tremendous value propositions to green data center architecture and operations.

Keywords: Virtualization; Server Consolidation; Green Data Center; Energy Efficiency Received 26 June 2012; revised 04 January 2013

1. INTRODUCTION

Recent advances in cloud computing are transforming the information and communication technologies (ICT) [1]. The rapid adoption of cloud services is accelerating the deployment of data centers. These data centers in turn are contributing a dramatic growth of energy consumption in the ICT sector. It was estimated that data centers in the United States consumed about 61 billion kWh of electricity in 2006, accounting for 1.5% of all U.S. electricity consumption and more than doubled the energy consumption in 2000 [2]. The cost were expected to double again by reaching 120 billion kWh, or $4\ kWh$ per person in 2011 [3]. Moreover, an annual growth rate at 30% on data center energy consumption was predicted from 2012 to 2016 [4]. This exploding energy cost, which would overshadow the capital cost of

data centers, must be tamed for a sustainable growth.

The energy usage in data centers consists of two parts, including energy consumed by the ICT subsystem (i.e., servers, storage and networkings) and energy consumed by infrastructure (e.g., Heating, Ventilation, Air-Conditioning (HVAC)). This research focuses on the energy consumed by the ICT subsystem in data centers. Specifically, the energy usage by the ICT subsytem depends on both the specifications of individual building components and the operational configurations (e.g., applications and load balancing in the ICT subsystem) [5]. Previous research efforts have explored both areas of improvements for energy conservation. On the former aspect, early in 1992, the US Environmental Protection Agency (EPA) introduced the ENERGY STAR as a voluntary labeling program to identify and promote energy-efficient

products and to reduce greenhouse gas emissions and the electricity consumption of computers and monitors Recently, new hardware designs, for example, next-generation memory solutions (i.e., DDR3 SRAM) and solid state drive (SSD), are emerging to improve both the computing speed and the energy efficiency in the ICT subsystem [7]. On the latter aspect, researchers aim to optimize the energy consumption of computing systems via dynamic resource allocation on an operation-system (OS) level and a data-center level [8, 9]. It has been generally accepted that server consolidation will improve the energy efficiency in data center operations [10]. More specifically, it was expected [11] that savings on the order of 20% can be achieved in server and network energy consumption, by optimizing the data center operations. Although some works have addressed the energy issue for data centers as a whole [12, 13], little effort has been paid to investigate the server energy usage under the context of cloud computing, in particular, the impact of datacenter virtualization.

The technical feasibility of curbing the growing energy usage for the ICT sub-system in data centers is enabled by the latest development of cloud computing technologies. The most prominent solution is to consolidate applications from multiple servers to one server, enabled by server virtualization. On a first-order approximation, server virtualization could potentially reduce energy usage by turning off those idle savers. However, virtualization would also lead to other potential hazard effects, in particular, a possible overhead in energy usage and a possible reduction in maximum throughput. These detrimental effects, if not well understood and controlled, could offset the benefits of server virtualization. As a result, clear understanding and precise modeling of server energy usage in data centers, coupled with virtualization in cloud computing, will provide a fundamental basis for data center operational optimizations, to accomplish the green ICT vision [14].

In this research, we investigate the impact of server virtualization on energy usage for data centers, with an ultimate goal to provide insights for optimizing datacenter architecture and operations. In particular, we adopt an empirical approach to measure the energy consumed by servers under different virtualization configurations, including a benchmark case (i.e., physical machine) and two alternative hypervisors (i.e., Xen and KVM). Our experiments aim to characterize the energy overhead incurred by computing-intensive tasks and networking-intensive applications, corresponding to two important resources (computing and networking⁴) in cloud computing [16], under the situation in which a physical server is virtualized into multiple virtual machines (VM). During the experiments, we obtain statis-

tics for the CPU usage, the power level, the elapsed time, and the energy consumption, under both local (internal) and network (external) traffic stresses.

Our empirical characterization generates fundamental understandings of server energy usage in the context of cloud computing and suggests engineering insights for energy-efficient data center operations. In-depth analysis of the empirical results reveals a few fundamental insights about the impact of virtualization on server energy usage, including:

- Server architecture is still far from being energyproportional in that a significant amount of power is consumed when the server is idle, thus opening an opportunity for server consolidation in data centers for reducing energy cost.
- Virtualized servers consume more energy than physical ones, for both computing and networking intensive traffic. The energy overhead from virtualized servers increases as the utilization of physical resources increases.
- The energy overhead resulted from server virtualization highly depends on the hypervisor used, which in turn is determined by the software architecture of the hypervisor (e.g., CPU scheduling and I/O design, etc).
- From a given traffic load, the energy consumption can be minimized by launching an optimal number of virtual machines.
- In a multi-core server running multi-process applications, physical servers, if a multi-core optimization mechanism is absent, could consume more energy than virtualized servers.

These empirical insights suggest some operational optimizations toward an energy-efficient data center design and operations, including

- Server consolidation for green data center should aim to balance a fundamental trade-off between the energy saving from shutting down idle servers and the detrimental effects (i.e., the energy overhead and the throughput reduction from hypervisor) due to server virtualization.
- Hypervisors should be architect with energy consumption objectives, while providing the maximal flexibility in resource management.
- Resources should be allocated dynamically according to the real-time demand, with an objective to minimize the energy consumption.
- Multi-core scheduling algorithms should be incorporated in hypervisor design for virtualized servers and OS design for physical servers, to minimize the energy consumption.

The reminder of this paper is structured as follows. We describe the virtualization model and its impact on energy consumption in Section 2. Our detailed experimental setup is illustrated in Section 3. Section

⁴Energy consumption in storage is not investigated in this research, because its energy consumption is comparatively smaller than that for computing and networking[15].

4 presents the empirical results and the fundamental insights. Relevant engineering impacts on data-center operations are outlined in Section 5. In Section 6, we explain the fundamental trade-off in server virtualization and its application to server consolidation in data center operations. Section 7 presents previous work in similar realms. Section 8 concludes our work and discusses future research directions.

2. SERVER VIRTUALIZATION

One of the key technical enablers for server consolidation in cloud computing is virtualization. Specifically, a server administrator uses a software application (i.e., hypervisor) to divide one physical server into multiple isolated virtual machines (VM), each of which runs its own guest operating system and specific applications. In this section, we present two leading virtualization models, including their implementation mechanisms in I/O, CPU and networking resource management. The impacts of these implementation details on server energy usage will be characterized with our proposed measurement objectives.

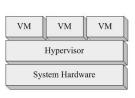
2.1. Virtualization Model

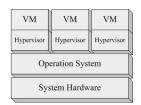
Hypervisor, also referred to as virtual machine manager (VMM), is one of hardware virtualization techniques that allow multiple operating systems to run concurrently on a host server. The hypervisor presents to the guest operating systems a virtual operating platform and manages the execution of the guest operating systems. Existing hypervisors, based on their relationship with the hardware platform, can be classified into two alternative types [17], including:

- Type 1: hypervisor runs directly on the host's hardware to control the underlying hardware and to manage the guest operating system. The guest operating system thus runs on the level above the hypervisor.
- Type 2: hypervisor runs as a module within the operating system environment. In this case, the hypervisor layer can be considered as a second software level, while the guest operating system runs at the third level above the hardware.

In Figure 1, we illustrate the logic structure of both types of hypervisors.

In this research, we focus on the leading opensource hypervisors, including Xen [18] and KVM [19], as exemplary hypervisors. Specifically, Xen is a type-1 hypervisor, which directly interfaces with the underlying hardware and uses a special, privileged domain 0 to manage other kernel modified guests [18]. KVM is designed as a type-2 hypervisor, in which the virtualization interface is designed to function the same as the actual physical hardware [19]. As such, their design principles and mechanisms are





Type 1

Type 2

FIGURE 1. Two Alternative Types of Hypervisors: type 1 hypervisor runs directly over the hardware, and type 2 hypervisor runs within the host system.

different in the areas of hardware resource scheduling, interrupt handling, and virtual machine management, as elaborated on the following subsections.

2.1.1. Virtualized I/O Mechanism

Xen exposes a hypercall mechanism (also known as paravirtualization interface) in which all guest operating systems have to be modified to perform privileged operations (e.g., updating page table). Moreover, an event notification mechanism is proposed to deliver virtual interrupts derived from real device interrupts and other notifications to VMs.

KVM is an extension of the QEMU emulator with support for the x86 VT extensions (VTx), and it typically uses full virtualization [19]. Guest operating systems above KVM do not need to change, and they appear as normal Linux processes instead of separated domains. When I/O instructions are issued by a guest operating system, a process context switch in the hypervisor is enabled to allow I/O signals passing through the hypervisor and the host OS.

The difference in virtualized I/O mechanisms for Xen and KVM directly impacts the energy consumption for virtualized servers. Specifically, Xen allows guest VMs to make system calls without invoking the kernel in the host operation system, whereas KVM incurs additional kernel operations to support the I/O behaviors of guest systems. The set of additional operations translates to extra CPU cycles and memory access. Consequently, KVM is expected consume more energy than Xen does, for similar traffic patterns and loads.

2.1.2. Virtualized CPU Model

The current default CPU scheduler in Xen is a proportional fair share scheduler, called Credit-Based CPU scheduler [20]. This scheduler assigns each VM a weight, and an optional cap. The weight indicates the relative physical CPU allocation of a domain, and the cap sets an absolute limit by percentage of a real CPU on the amount of time a domain can consume. The scheduler, running on a separate accounting thread in the host system, transforms the weight into a credit allocation for each virtualized CPU (VCPU). When a VCPU is running, it consumes the credit allocated to it. Once the VCPU runs out of the credit, it only runs

when other, more thrifty VCPUs have finished their executing. Periodically, the accounting thread is reactivated and distributes more credits to VMs [21].

As a comparison, KVM is a part of Linux and uses the regular Linux CPU scheduler and memory management [22]. It is known that by default, KVM makes use of the Linux Kernel component, namely Completely Fair Scheduler (CFS), to treat every KVM guest machine as a normal thread. Every task running on KVM has a priority from 0 to 139 in the kernel. The range from 0 to 99 is reserved for real time processes, and the range from 100 to 139 is for the user space. The smaller priority number is, the more important the task is viewed. In contrast to Xen's Credit Scheduler, the CFS scheduler implementation is not based on run queues. Instead, a red-black tree implements a timeline of future task execution. This data structure ensures that every runnable task chases other tasks to maintain a balance of execution across the set of runnable tasks including guest domains.

In spite of their different implementations, these two CPU schedulers fairly allocate CPU cycles among all the active guest systems. As a result, the CPU scheduler of either Xen or KVM is capable of balancing global load on multi-processors to balance the both computing and energy usage, which will be verified by our measurements.

2.1.3. Virtualized Networking Model

Xen with the default configuration uses network bridging and virtual firewall router (VFR) within domain 0 to allow all domains to appear on the network as individual hosts. Each guest domain attaches to one or more virtual network interfaces (VIF), which consists of two I/O rings of buffer descriptors for transmitting and receiving, to identify its unique IP address.

In comparison, KVM inherits the networking virtualization ability from QEMU to use TUN (network TUNnel)/TAP (network tap) in Linux kernel to create virtual network bridge and routing. The bridge essentially emulates a software switch, allowing each VM to have individual networking resources.

KVM would consume more energy than that consumed by Xen when they are exposed to networking-intensive tasks, because significant software operations are required by KVM, while Xen takes advantage of its modified interface which needs relatively less software participation.

2.2. Measurement Objectives

The objective of our experiment is two fold. First, we aim to quantify and profile energy consumption of virtualized servers under different traffic patterns. Second, our measurement aims to map out the energy consumed in different virtualization components, as shown in table 1. Our measurements will be based on comparison between virtualized servers and physical

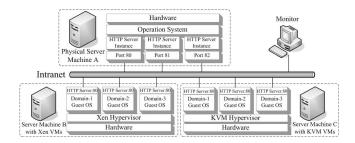


FIGURE 2. Experimental Setup: three systems under test were configured, including a non-virtualized server, a Xen-virtualized server and a KVM-virtualized server.

servers under local computing-intensive tasks and networking-intensive applications.

3. EXPERIMENTAL SETUP

This section describes our experimental environment.

3.1. Physical Setup

Figure 2 illustrates our experimental setup, consisting of three identical physical servers. The machines under test are Inspur 3060 servers, each of which contains a quad-core Intel 2.13 GHz Xeon processor with 8 MB L2 cache, 2 GB RAM, 500 GB hard disk and an 1 Gigabit Ethernet card. All of them are connected to our test intranet over a D-link GDS-1024T 24-ports 1000 Base-T switch. We use CentOS 5.6-final-x86_64 with Linux kernel 2.6.18 as our OS platform for both host and guest systems. Xen 3.0.3 and KVM 83 are installed from CentOS packages on server machine B and machine C respectively. Three guest virtual machines running on server B and C are allocated with 4 VCPUs, 512 MB RAM, 50 GB image and also installed CentOS 5.6-final-x86_64 as guest OS. We leave all the software parameters intact. Besides, a Kill-A-Watt power meter, with a standard accuracy of 0.2%, is connected to each physical server, measuring the energy usage. Finally, our experiment is controlled by a desktop computer, connected to the testbed intranet as a monitor.

3.2. Test Case Design

We begin with collecting the background energy consumption when all the servers are idle. Following that, we launch a set of different profiles of local and network traffic to stress all three servers. During these tests, statistics on the CPU usage, the power consumption, and the task completion time, are collected. Detailed test cases are explained as follows.

3.2.1. Local Computation Benchmark

For computing task benchmark, an easy and widely accepted way is to calculate π to as many as decimal places. In our experiment, bc command in Linux is utilized to calculate the mathematic constant π into an

	Xen	KVM		
Structure	Type 1 Hypervisor	Type 2 Hypervisor		
I/O Mechanism	Hypercall & Virtual Event	Software Contest Switch & VTx		
CPU Scheduler	Credit Based Scheduler	Completely Fair Scheduler		
Networking	VFR & VIF	TUN & TAP		

TABLE 1. Major Components of Virtualization Model

accurate level (100,000 digits after the decimal point). We simultaneously run multiple instances of this π -based CPU/RAM intensive application as concurrent processes to generate different local computing loads.

Specifically, five cases with an increasing number of concurrent processes, ranging from 3 to 7 instances, are tested for two domain configurations (i.e., 2 or 3 active domains). On the physical machine, all the instances are executed on the same host OS, while on the virtualized servers, the concurrent instances are distributed evenly across all the active domains. For instance, when there are 3 guest domains running 7 instances in parallel, two domains will execute two instances each and the last domain will execute 3 instances. The same configuration will rotate among all three guest domains and the final result will be calculated as the mean of three sets of data collected.

3.2.2. Http Request Benchmark

The network-intensive traffic benchmark is simulated through HTTP requests, since the HTTP based traffic is the most widely used one, which accounts for more than 85% of the total web usage [23]. Our approach is similar to the web-server workload benchmark used in [24]. The configurations on the server and client side are explained as follows.

On the server side, three Apache servers (version 2.2.18) are configured on all servers under test (SUT). On the physical server (Server A), these three Apache servers are executed on three TCP ports (80, 81 and 82) for traffic segregation. For virtualized servers (Server B and C), the three instances of HTTP servers are uniformly distributed across all active guest domains, for both domain configurations (i.e., 2 or 3 active The same TCP ports are used for fair comparison. The contents stored on the HTTP servers are 1000 unique files retrieved from one commercial web site, with a mean file size of 10.8 KB. In addition, we configure the Apache servers to allow a high density volume of web traffic, by increasing the MaxClients⁵ value from 256 to 2000, and the MaxRequestsPerChild⁶ value from 100 to 10000.

On the client side, we use *ab* (Apache Bench) tool [25] to simulate real web traffic. Specifically, three clients are configured to generate http GET requests at specific rates, each of which dedicates to one instance of the

Apache server on one TCP port. Every client sends 5000 requests for each file, to cover two importance test cases of HTTP benchmark including: i) single unique hit⁷ and ii) all unique hit⁸. Note that the cache miss test⁹ is not conduct because we are only interested in networking energy consumption, which is being covered by the two cases tested. In this test profile, the overall size of data transferred is approximately 150 GB, which is large enough to thoroughly exercise the network interface controller (NIC) device.

In our experiment, we gradually increase the request rate by the clients to scope the energy consumption as a function of the workload. Specifically, four increasing request rates of 2,500 requests/sec, 5,000 requests/sec, 10,000 requests/sec and 15,000 requests/sec are used to simulate low, moderate and peak web traffic workloads, suggested by the workload for real commercial web server [26].

3.3. Methodologies on Result Gathering

3.3.1. CPU Utilization

We implement a Python script on each server to obtain the average CPU utilization during the test period. Specifically, by using *top* command, it starts the monitor as soon as the workloads are initialized, and ends the data gathering once the workloads are completed. Finally, it can automatically record the obtained results into a local file.

3.3.2. Elapsed Time

For the local computation benchmark, the elapsed time is obtained by the server via a Python script. The script uses a timer to record the test period.

For the http request benchmark, the elapsed time is obtained by the monitor via a Python script. By using *iftop* command, the script uses a timer to record the period when there are significant networking traffics.

3.3.3. Power/Energy Consumption

The average power consumption and the overall energy consumption is obtained by the readings on the power meters. Specifically, we manually reset the power meter before each test round, and get the reading

 $^{^5 \}mathit{MaxClients}$ sets the limit on the number of simultaneous requests that will be served

 $^{^6}MaxRequestsPerChild$ sets the limit on the number of requests that an individual child server process will handle

⁷For the single unique hit case, the same content file is served to the client consistently from the memory.

⁸In the all-unique test case, all content files are read from the disk into the memory to serve the client.

⁹In the cache-miss case, the content files are retrieved from remote servers to serve the client.

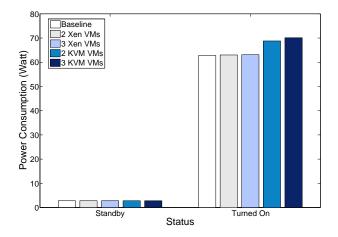


FIGURE 3. Background Power Consumption

including power and energy consumptions once each specific benchmark has been finished.

4. EMPIRICAL RESULTS AND FUNDA-MENTAL INSIGHTS

In this section, we present our empirical findings of the impact of virtualization on server energy consumption. Moreover, we generalize a few fundamental insights from this set of empirical findings.

4.1. Background Energy Consumption

In Figure 3, we plot the background power consumption of the physical machine A, the Xen-based server B and the KVM-based server C. Specifically, we measure the power consumed by all the servers when they are turned off and when they are turn on but idle. For the virtualized servers (i.e., B and C), two domain configurations (i.e., 2 or 3 active domains) are tested. The bar indicates the average power consumption, and the line refers to the fluctuation based on our observed maximum and minimum power during the test period.

From Figure 3, we have the following empirical findings, including:

Finding (a): All the servers consume about the same power (around 2.8W) when turned off, but plugged into their power supplies. This amount of power is simply wasted in supporting server internal indicators (e.g., LED). Therefore, it is advantageous to turn off the power supply, instead of the servers, when servers are scheduled to shut down.

Finding (b): When servers are turned on and active virtual machines stay idle, the power overhead of different hypervisors against the physical server varies significantly from each other. Specifically, the Xenbased server consumes almost the same amount of power as the physical server does. Specifically, it consumes 63.1W (3 active Xen VMs) and 63.0W (2 active Xen VMs) of power, which is only 0.47% and 0.32% more than 62.8W consumed by the physical

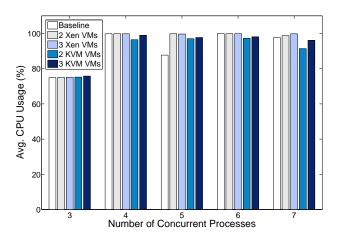


FIGURE 4. CPU Usage Comparison for Local CPU-intensive Task Benchmark

server. However, the KVM-based server incurs a much higher overhead, consuming 70.1W (11.6% overhead) for 3 active VMs and 68.8W (9.55% overhead) for 2 active VMs. Moreover, the power usage of the KVM-based server C fluctuates within a wider range.

The Finding (b) can be explained by the different virtualization models adopted by Xen and KVM, which impact the usage of CPU and RAM resources. The CPU utilization of the idle physical server is generally less than 0.3 %, compared with approximately 0.7-0.8 % for the Xen-based server, and 0.8%-2.6% for the KVM-based server. The extra CPU usage of virtualized servers accounts for a portion of the energy overhead. The larger energy overhead for the KVM-based server can also be due to the larger memory footprint in KVM, as indicated by the results of memory test in [27, 28]. Tests are being conduct to collect additional data for principle component analysis to attribute the overhead into extra CPU cycle and memory footprint.

4.2. Local Calculation Benchmark

Results from local computation benchmark are illustrated in Figure 4-8 and Table 2. Figure 4-7 present our measurements of the CPU usage, the power consumption, the task completion time, and the calculated energy consumption respectively. Figure 8 presents the relative energy overhead consumed by the virtualized servers compared to the physical server. Table 2 summarizes the energy overhead for all the test cases.

In the following, a few key observations from these empirical results are explained.

Finding (c): It was observed that the virtualized server could consume less energy than the physical server does. Specifically, when 5 instances of the bc application are executed in parallel (the number of concurrencies is one more than CPU cores in the server), the energy overhead is negative for the Xenbased server, as the valley point shown in figure 8.

Such an observation can be understood as the inter-

Workload	3 Tasks	4 Tasks	5 Tasks	6 Tasks	7 Tasks
Baseline	0.0065	0.0072	0.0104	0.0109	0.0130
Overhead	0.0%	0.0%	0.0%	0.0%	0.0%
2 Xen	0.0065	0.0072	0.0093	0.0107	0.0130
Overhead	0.0%	0.0%	-10.6%	-1.8%	0.0%
3 Xen	0.0065	0.0073	0.0093	0.0110	0.0131
Overhead	0.0%	1.4%	-10.6%	0.9%	0.8%
2 KVM	0.0067	0.0082	0.0102	0.0122	0.0137
Overhead	3.1%	13.9%	-1.9%	11.9%	5.4%
3 KVM	0.0068	0.0083	0.0113	0.0147	0.0161
Overhead	4.6%	15.3%	8.7%	34.9%	23.8%

TABLE 2. Energy Consumed by CPU-Intensive Benchmark (unit: kwh)

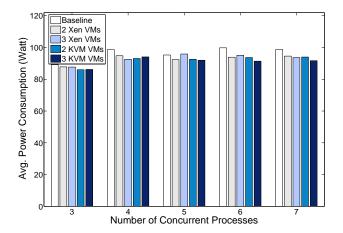
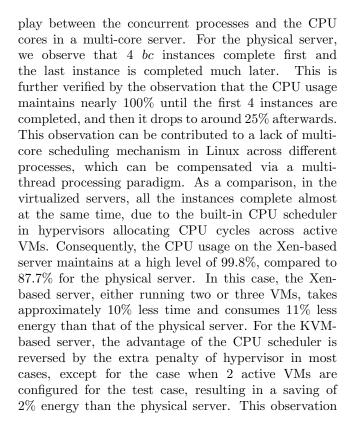


FIGURE 5. Power Consumption Comparison for Local CPU-intensive Task Benchmark



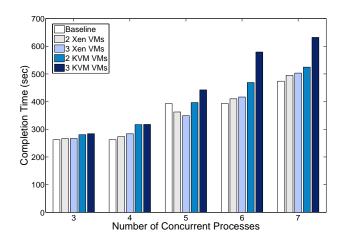


FIGURE 6. Completion Time Comparison for Local CPU-intensive Task Benchmark

suggests that, if there is no binding between running processes and CPU-cores, native operation system can not truly take advantage of multi-core architecture; in contrast, virtualized systems, based on either Xen or KVM, is able to partition computing resources into smaller pieces to achieve energy saving.

Finding (d): The KVM-based server consumes more energy than the Xen-base server. For example, when processing 7 concurrent tasks, 2 KVM VMs based server consumes 5.4% energy more than that based on 2 Xen VMs, and the gap reaches 23% between 3 KVM VMs and 3 Xen VMs. This is due to the fact that the KVM hypervisor consumes more CPU cyles and occupies higher memory footprint, compared to the Xen hypervisor. The additional requirement for system resources translates into higher energy consumption.

Finding (e): The number of active VMs affects the energy consumption for the KVM-based server. Specifically, when configuring three active VMs, the KVM-based server consumes more energy than that consumed by two active VMs configured on the same server. Such an observation can be understood from the Frequent Lock Holder Preemption (LHP) mechanism, investigated in [22]. A guest VCPU in the KVM-based server is a normal thread in the host operating system,

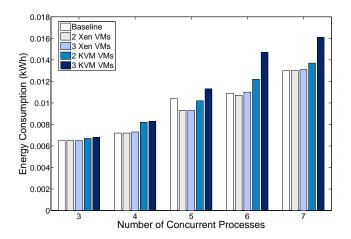


FIGURE 7. Energy Consumption Comparison for Local CPU-intensive Task Benchmark

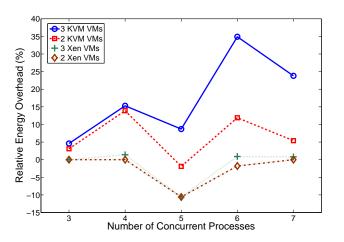


FIGURE 8. Energy Overhead of CPU-Intensive Benchmark: the abnormality for 5 concurrent processes is explained in Finding (c).

which may be preempted when the host system deschedules the VCPU threads. If the preempted VCPU is running in a critical section, the lock will be held for a long time from the perspective of the guest operating system. The probability of LHP increases with a higher number of active VMs. As a result, CPU resources are simply wastes in the lock holding period, which in turn increses the task completion time. It is observed that the average power consumption for the KVM-based server with 3 active VMs is the lowest, but the task completion time is the longest, resulting in a high energy consumption. This suggests that the number of VMs can be optimally configured to reduce the energy consumption for the KVM-based server.

4.3. HTTP Request Benchmark

Results from the HTTP request benchmark are plotted in Figure 9-13 and Table 3, respectively. Figure 9-12 present the statistics collected for our HTTP request benchmark test, including the average CPU usage, the

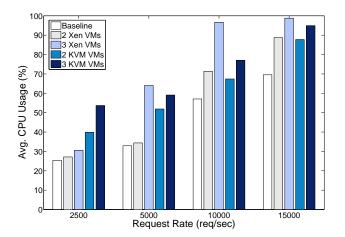
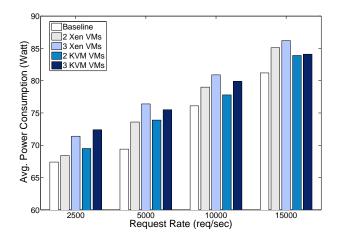


FIGURE 9. CPU Usage Comparison for HTTP Benchmark



 $\begin{tabular}{ll} {\bf FIGURE~10.} & {\bf Power~Consumption~Comparison~for~HTTP} \\ {\bf Benchmark} \\ \end{tabular}$

average power, the task completion time, and the total energy consumption. Figure 13 illustrates the energy overhead for the virtualized servers, compared to the physical server. Table 3 summarizes the result of the energy consumption for different test cases.

In the following, we highlight a few findings suggested by the set of data collected for the HTTP request test.

Finding (f): The virtualization overhead for network-intensive traffic is significantly larger than that for computing-intensive traffic. For the Xen-based server, the energy overhead for computing-intensive traffic is less than 5%, while the overhead for network-intensive traffic could rise up to 70%. The same situation happens to the KVM-based server.

The cause of this finding is at least two-fold. First, notice that, for network-intensive traffic, the CPU usage for virtualized servers is higher than that for the physical server; while for computing-intensive traffic, the CPU usage for all the servers is almost equal for the same test case. This difference suggests that a significant amount of CPU usage is budget for the hypervisors to handle the vNIC operations (i.e.,

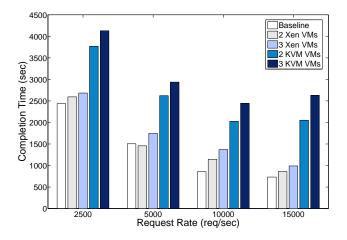


FIGURE 11. Completion Time Comparison for HTTP Benchmark

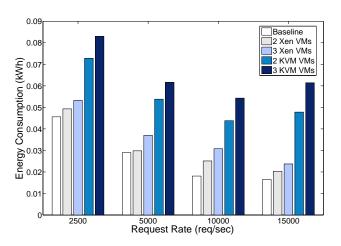


FIGURE 12. Energy Consumption Comparison for HTTP Benchmark

VFR/VIF for Xen and TUN/TAP for KVM). Second, it is shown in [29] that the probability of the occurrence of Lock Hold Preemption (LHP) for I/O-intensive workloads reaches at 39% on average for virtualized machine. As a result, it takes much longer to complete the task by virtualized system, translating into higher energy cost.

Finding (g): The energy overhead for the virtualized server is highly correlated with the number of active VMs. Specifically, the energy overhead is consistently higher for a larger number of active VMs. The energy overhead for 3 active VMs in the KVMbased server is around 1.5 times higher than that for 2 active VMs; similarly, the energy overhead for 3 active VMs in the Xen-based server is almost twice of that for the case of 2 active VMs. Moreover, the gap for the KVM-based server is higher. For example, in the case of 15,000 reg/sec, the overhead gap between 3 active VMs and 2 active VMs for the KVM-based server is more than 80%; while it is around 20% for the Xenbased server. The explanation could be more active guests aggravate the impact of LHP. Therefore it takes

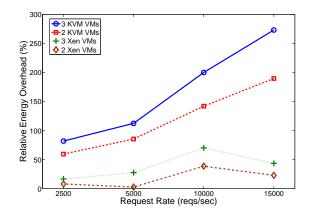


FIGURE 13. Relative Energy Overhead of Networking Benchmark

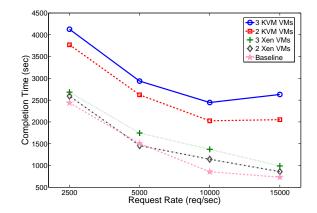


FIGURE 14. Completion Time Curve for HTTP Benchmark

much longer to complete the task with more active guest domains, resulting in additional energy consumption.

Finding (h): The network throughput for the KVM-based server reaches its maximum between 10,000 reqs/sec and 15,000 reqs/sec, while the network throughput for the physical server and the Xen-based server continue to grow up as the traffic rate increases. This observation can be made clearer in Figure 14-14, where the task completion time and the energy cost are plotted as a function of the request rate. Specifically, when the request rate is 15,000 reqs/sec, the KVM-based server takes longer time to complete the task and thus consumes more energy, compared to the case of 10,000 reqs/sec; as a comparison, the task completion time and the energy cost for the physical machine and the Xen-based server monotonically decrease as the request rate increases up to 15,000 reqs/sec.

This observation is largely due to the extra memory footprint for the KVM hypervisor. In the Apache web server, each serving request takes some amount of memory. The maximum number of requests that can be served simultaneously is thus proportional to the amount of available resources. For the case of KVM hypervisor, the extra memory footprint shrink the amount of available memory for request serving. As

Workload	$2500 \mathrm{req/sec}$	$5000 \mathrm{req/sec}$	$10000 \mathrm{req/sec}$	$15000 \mathrm{req/sec}$
Baseline	0.0456	0.0290	0.0181	0.0165
Overhead	0.0%	0.0%	0.0%	0.0%
2 Xen	0.0493	0.0298	0.0251	0.0203
Overhead	8.1%	2.8%	38.7%	23.0%
3 Xen	0.0532	0.0370	0.0308	0.0237
Overhead	16.7%	27.6%	70.2%	43.6%
2 KVM	0.0728	0.0538	0.0438	0.0478
Overhead	59.6%	85.5%	142.0%	189.7%
3 KVM	0.0830	0.0616	0.0543	0.0614
Overhead	82.0%	112.4%	200.0%	273.1%

TABLE 3. Energy Consumed by Networking Benchmark (unit: kwh)

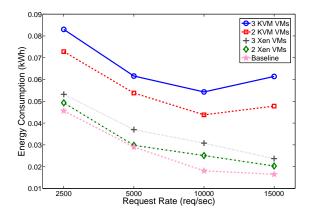


FIGURE 15. Energy Consumption Curve for HTTP Benchmark

a result, the network throughput is smaller, compared to the physical server and the Xen-based server.

Finding (i): The marginal power consumed by the server under different load conditions is limited, compared to the power consumed when the server is idle. Specifically, the additional power consumed by the server under different level of networking requests is at most 37.3 % against the idle state, and the maximum additional power consumption for the local computation benchmark is 57.6 %. Moreover, the marginal power consumption is highly correlated with the CPU usage observed in our experience. As a result, our experiment verifies a previously power consumption model for the server, in which the power consumption of the server can be viewed almost as an affline function of CPU usage with the idle power consumption as the y-intercept [30]. It is desirable for the y-intercept to be as small as possible, to achieve an energy-proportional architecture.

Finding (j): The energy overhead for the virtualized servers is expansive, as a function of the traffic throughput. As illustrated in figure 16 where the lines are generated by one degree polynomial curve fitting based on the power consumption of different configurations, the power gap between the baseline and the virtualized servers for both Xen and KVM hypervisors increases as the throughput increases,

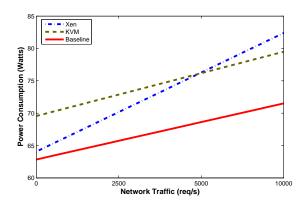


FIGURE 16. Expansive Energy Overhead due to Server Virtualization

before the maximum throughput of the KVM-based server is reached. When there is no network traffic, the gap between Xen and baseline is around 1%(0.8 Watt), and the gap between the KVM-based server and the baseline server is approximately 10% (6.9 Watt). When the throughput grows to 10000 reqs/sec, the gap becomes 15.2%(10.8 Watt) for Xen and 11.2%(7.9 Watt) for KVM.

4.4. Fundamental Insights

Generalizing from the list of empirical findings, we present the following fundamental insights about the impact of virtualization on server energy consumption, as follows:

- (1) The server is still far from energy-proportional. The idle server even consumes approximately two thirds of the energy when its computing resource is fully occupied. Hence, it will be advantageous to consolidate applications from multiple servers to one and turn off those idle servers to save energy.
- (2) The virtualized server in general consumes more energy than the physical server does, for both computing-intensive and networkingintensive tasks, even when they are idle. Besides, the energy overhead for the virtualized servers

increases as the resource utilization increases. When the virtualized servers are idle, the Xen hypervisor incurs less than 1% energy overhead, and the KVM hypervisor contributes around 10% extra energy cost. For networking-intensive benchmark, Xen's virtual firewall router, virtual network interface, and virtual event mechanism add an energy overhead ranging from 2.8% to 70.2% for different workloads and VM configurations; and KVM's Linux kernel based virtual network bridge and x86 VTx invoking results in an energy overhead between 59.6% and 273.1% for various combinations of configuration and workload.

- (3) The two types of hypervisors exhibit different characteristics in energy cost for various tasks. The KVM-based server consumes more energy than the Xen-based server under the same test case, due to their different architectural principles. Specifically, KVM embeds the virtualization capability into the native Linux kernel. As a result, it adds one more layer into the software stack and accordingly consumes more energy. KVM's networking model consumes more energy (on average nearly twice) than that of Xen, and the KVM-based server consumes on average 12% more energy than its counterpart with a XEN hypervisor. On the other hand, the benefit of using a type-2 hypervisor is that it requires almost no modification to the host operating system; while the host operating system needs to be modified to work with a type-1 hypervisor.
- (4) Significant energy saving can be achieved by launching the traffic to an optimum number of virtual machines. In our measurement, the virtualized server with 2 active VMs consumes less than energy than the one with 3 active VMs. Specifically, approximately 20% energy for KVM and 15% energy for Xen on average could be conserved for all cases under network-intensive traffic benchmark, by migrating tasks from one VM to another and turning off the idle VM. For the computing-intensive traffic benchmark, a similar pattern is observed for the KVM-based server when more than 5 bc instances are executed in parallel.
- (5) When a multi-core server is running multi-process applications, the physical machine could consume more energy than the virtualized servers. It is due to a lack of the multi-core optimization in the physical machine. By default, each application is executed with a single thread, which can be processed only by one CPU core, even if other cores are idle. As a comparison, both Xen and KVM are able to distribute physical CPU cores into virtual CPU cores, avoiding starvation. This demonstrates

TABLE 4. Match-up between Findings and Insights*

						0		0	
I 0	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
(1)	Μ		M					Μ	
(2)	Μ								Μ
(3)	M		Μ				M		
(4)				M		M			
(5)		Μ							

^{* &#}x27;M' marks the supporting relationship.

one key advantage of virtualization in improving resource utilization.

To connect these insights with our empirical findings, we summarize them in a tabular format in Table 4. In the table, an entry marked with 'M' indicates that the corresponding finding in that column supports the insight associated with the corresponding row.

5. ENGINEERING IMPLICATIONS

As mentioned perviously, the purpose of our empirical study is to develop engineering guidelines for energy-efficient architecture and operations of green data centers. In this section, we leverage the empirical findings and the derived fundamental insights to suggest some engineering implications for data center operations.

5.1. Hypervisor Optimization

Our measurements indicate that both hypervisors incur an energy overhead, compared to the physical server. This suggests that, in addition to the standard performance optimization for hypervisors, minimizing energy consumption should another objective in their software architecture.

Xen, as a type 1 hypervisor, is more energy efficient than KVM under both networking and local computation traffic benchmark. In some case, Xen's CPU scheduler even makes it more energy efficient than the physical machine for the multi-process application. However, the energy cost for networking-intensive traffic for the Xen-based server is still relatively expensive. Some studies [20, 31] have aimed to optimize the mechanism of Xen to improve the performance, such as tuning the weight and cap value in Xen domain 0, optimizing CPU scheduler algorithm and networking structure like netfilter on bridge, etc. Those efforts are provable to improve Xen's performance, but still need verifications when performance and energy consumption are both concerned.

KVM runs inside the Linux kernel, making it easier to deploy and manage than Xen. However, there is always a trade-off between convenience and efficiency. Based on our observations, it spends more energy on coordinating all the guest OSes, even when they are idle. As such, some kind of energy-efficient CPU scheduler

could be proposed for KVM . In addition, strategies towards LHP avoidance should be in order. Finally, given the advantageous energy performance of the Xen para-virtualization established in our study, introducing virtual hardware mechanism could improve the energy efficiency for KVM.

5.2. Dynamic Resource Management

Our study suggests a two-tier dynamic resource management framework for data center operations. First, in a more coarse granularity, physical servers can be turned off, completely saving the energy cost, if the total load is not high enough. This optimization is derived from that the fact that the idle server consumes a large portion of power compared to its fully loaded situation. Second, in a more fine granular, VMs can be shut down and/or migrate into other physical server to save energy. This optimization is derived from our observation that energy consumption is sensitive to the number of active VMs and is enabled by the live migration mechanism in virtualization [32].

5.3. Multi-Thread Programming Paradigm for Multi-Core System

Modern servers are usually equipped with multiple CPU cores. It provides an opportunity to enhance the raw computing capability; however, harness such a raw capability requires additional care paid for programming practice when deploying applications onto data centers. As shown in our measurement, the energy consumption is sensitive to the binding between applications and CPU cores. As a result, the software architect should decide whether to bind applications with CPU cores judiciously for the non-virtualized servers (or adopt a multi-thread programming paradigm); while the hypervisor inherently provides the capability to distribute the computing capability among different VMs.

6. FUNDAMENTAL TRADE-OFF IN SERVER VIRTUALIZATION

Our research has also revealed a fundamental trade-off for server virtualization, as illustrated in Figure 17.

On one hand, the energy consumption in data centers can be reduced by consolidating applications from multiple servers to one server and shutting down the idle servers. This benefit results from our observation of an affline power consumption model for native servers.

On the other hand, for the virtualized servers, there are two detrimental effects that would hamper their energy efficiency. First, the hypervisor introduces a potential energy overhead over the physical machine, by allocating system resources for its execution. This overhead is expansive as a function of the "goodput", which denotes the portion of computation capabilities used for support applications. Second, the maximum supportable goodput for virtualized server is reduced,

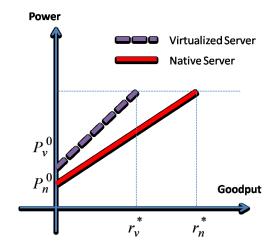


FIGURE 17. Fundamental Trade-Off In Server Virtualization

compared to its native server. The combination of these two detrimental effects would offset the energy benefit of server consolidation. Moreover, the impact of these detrimental effects depends on the type of hypervisor chosen. Specifically, the relationship between the goodput and the power consumption for native server can be expressed as,

$$P_n = P_n^0 + (P_{max} - P_n^0) * r_n / r_n^*, \tag{1}$$

where P_n is the power consumption for native server, P_n^0 is the power consumption when native server is idle, P_{max} is the maximum power consumption, r_n is the goodput, and r_n^{\star} is the maximum supportable goodput.

Similarly, for the virtualized server, the relationship is as,

$$P_v = P_v^0 + (P_{max} - P_v^0) * r_v / r_v^*, \tag{2}$$

where $P_v^0 > P_n^0$ and $r_v^* < r_n^*$. The detailed value of P_v^0 and r_v^* largely depends on the type of hypervisor.

This fundamental trade-off dictates how server consolidation should be designed to reduce energy usage for green data centers. Specifically, the decision of server consolidation should balance the two competing forces, to reduce the energy usage by data centers.

7. RELATED WORK

The popularity of open-source hypervisors (i.e., Xen and KVM) benefits from an easy access to their detailed design. In [18], Xen was described as an x86 virtual machine monitor that allows multiple commodity operating systems to share conventional hardware in a safe and resource managed fashion without sacrificing either performance or functionality. Alternatively the Kernel-based Virtual Machine, namely, KVM, emerges as a new Linux subsystem that leverages virtualization extensions to add a virtual machine monitor (or hypervisor) capability to Linux [19].

Deshane et al. presented the initial quantitative analysis of these two hypervisors in [33], focusing

on the overall performance, performance isolation and scalability of virtual machines running on them. An extensive empirical performance measurement on such evaluation was conducted in [27, 28]. In [22], the authors analyzed the CFS CPU scheduler of KVM on a multi-core environment, and proposed optimizations for performance enhancement. [34] presented a framework for VM-based computing for High Performance Computing (HPC) applications. It built a computing cluster with virtual machines, and evaluates the performance as well as the cost compared to a native, non-virtualized environment.

Kim et al. investigated a power-aware provisioning of virtual machines for real-time services, with a dual objective of reducing operating costs and improving system reliability [35]. The architectural principles for energy-efficient management of clouds, resource allocation policies, scheduling algorithms were discussed in [36], demonstrating the immense potential of cloud computing to offer significant cost savings under dynamic workload scenarios. [37] reviewed the methods and technologies currently used for energy-efficient operation of computer hardware and network infrastructure. It also concluded that cloud computing with virtualization can greatly reduce the energy consumption.

Another area of study in the green ICT focuses on attributing energy cost to various components in a computing system. [38] characterized the energy consumed by desktop computers, suggesting that one typical desktop PC consumes 80-110 W when active, and 60-80 W when idle. Pat Bohrer et al. created a power simulator for web serving workloads that is able to estimate CPU energy consumption with low error rate [26]. Contreras and Martonosi used hardware event counters to derive power estimates that are accurate at sub-second time intervals [39]. Our approach is similar to that of Economou et al. [40], which focuses on the coarser activity metrics such as CPU load and I/O activities by using a variety of workloads. The novelty of our research is that we are the first group to cast this research agenda in a data center under cloud computing context. The results obtained in our research shed new lights in energy-efficient architecture and operations of data centers, which in turn would help to curb the energy explosion predicted for ICT systems.

8. CONCLUSION AND FUTURE WORK

This paper reported an empirical study on the impact of server virtualization on energy efficiency for green data centers. Through intensive measurements, we have obtained sufficient statistics for energy usage for both the native server and the virtualized servers with two alternative hypervisors (i.e., Xen and KVM). Through an in-depth analysis of this data set, we presented a few important findings, regarding to the energy usage of the virtualized server, and crucial

implications of these empirical findings. Moreover, our investigation suggested a few engineering implications for architecture green data centers. Finally, the most important result from our study is the fundamental trade-off in virtualized servers, which would dictate how server consolidation should be designed and deployed to tame the explosive energy usage in data centers.

Our future work will concentrate on three domains, including measurements, model and verifications. First, a green modular data center, which consists of more than 270 physical servers, is under construction at NTU. We will continue to measure the energy usage with the virtualized servers under real web trace with combined traffic features (including HTTP traffic, P2P traffic, VoIP, etc.) in this data center. Second, based on our fine-granulated measurements, we will develop an analytical model for energy usage of the virtualized servers and focus on optimization techniques for server consolidation in green data center operations. Finally, our optimization strategies will be configured on our data center and additional measurements will be taken to verify their effectiveness. The ultimate goal of this research is to develop an analytical framework for green data centers and provide a list of best practices for energy-efficient operations for data centers.

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